

Agenda



Cabinet

Date: Wednesday, 22 May 2019

Time: 4.00 pm

Venue: Committee Room 1 - Civic Centre

To: Councillors D Wilcox (Chair), P Cockeram, G Giles, D Harvey, R Jeavons, D Mayer, J Mudd, R Truman and M Whitcutt

Item		Wards Affected
1	<u>Agenda yn Gymraeg (Pages 3 - 4)</u>	
2	<u>Apologies for Absence</u>	
3	<u>Declarations of Interest</u>	
4	<u>Minutes of the Last Meeting (Pages 5 - 10)</u>	
5	<u>Wales Audit Office Report on the Council's Well-being Step to Maintain our Focus on regenerating the City Centre to become one of the UKs top cities (Pages 11 - 56)</u>	All Wards
6	<u>Corporate Safeguarding Report (Pages 57 - 86)</u>	All Wards
7	<u>Revenue Budget Outturn 2018/19 (Pages 87 - 114)</u>	All Wards
8	<u>Work Programme (Pages 115 - 122)</u>	All Wards
9	<u>Date of next meeting: 19 June 2019 at 4pm in Committee Room 1, Civic Centre</u>	

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Date of Issue: Wednesday, 15 May 2019

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Agenda

Cabinet

Dyddiad: 22 Mai 2019

Amser: 4 y.p

Lleoliad: Ystafell Bwyllgor 1 – Y Ganolfan

At: Cynghorwyr D Wilcox (Cadeirydd), P Cockeram, G Giles, D Harvey, R Jeavons, D Mayer, J Mudd, R Truman and M Whitcutt

Eitem

**Wardiau
Dan Sylw**

Rhan 1

1. Agenda yn Gymraeg
2. Ymddiheuriadau dros Absenoldeb
3. Datganiadau o fuddiant
4. Cofnodian y cyfarod a 17 Ebrill 2019
5. Adroddiad Swyddfa Archwilio Cymru ar Gam Lles y Cyngor i gynnal ein ffocws ar adfywio canol y ddinas i ddod yn un o brif ddinasoedd y DU '
6. Adroddiad Diogelu Corfforaethol
7. Alldro'r Gyllideb Refeniw 2018/19
8. Rhaglen Waith

Dyddiad y Cyfarfod Nesaf: 19 Mehefin 2019, 4y.p, Ystafell Bwyllgor 1 – Y Ganolfan

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Minutes



Cabinet

Date: 17 April 2019

Time: 4.00 pm

Present: Cllrs Debbie Wilcox (Chair); P Cockeram, D Harvey, J Mudd, R Jeavons, D Mayer, M Whitcutt, G Giles, R Truman

In attendance: Mr W Godfrey; Mr J Harris; Mr G Price; Mr M Rushworth; Mr K Duffin

Part 1

1 Apologies for Absence

There were no apologies.

2 Declarations of Interest

There were no Declarations of Interest.

3 Minutes

The minutes of the meeting held on 13 March 2019 were confirmed as a true record.

4 Pay and Reward Policy

The Leader introduced the report and confirmed the Council's Pay and Reward Policy for the workforce is an annual report that requires adoption. The policy sets out the internal mechanisms for remunerating Council officers and provides any changes since the last adoption. The Leader confirmed that Cabinet are asked to approve the policy for recommendation to Council.

The Cabinet Member for Community and Resources explained the key changes in this year's Pay and Reward Policy update centre around the NJC pay structure and the updated gender pay gap reporting. The NJC workforce includes all officers other than centrally employed teachers, Soulbury paid staff and Chief Officers.

The national employers and trade unions agreed a pay award for 2019 which had resulted in a realignment of the spinal column points that staff are assigned to. Officers and local trade unions agreed a new collective agreement that implements the national pay award. As part of those negotiations an equality impact assessment was conducted which did not identify any significant issues. It was noted that no employees have been detrimentally affected by the realignment of the pay structure.

The Cabinet Member confirmed that Cabinet has previously committed to paying a supplement to the lowest paid employees to ensure that they received salary equivalent to the Foundation Living Wage. The 2019 pay structure brings the lowest spinal column point to £9.00 per hour, which is in line with the

Foundation Living Wage. It was noted a pay supplement will not be required this year and those lowest paid employees will receive it as contractual pay.

The report confirmed the Pay and Reward Policy offers the opportunity to publish the Council's annual gender pay gap. This year the gap has increased to 4% as a result of a larger number of men at the lower pay points leaving employment and being replaced by women. This means that more women in the lower pay points brings the average salary down and increases the gap.

The Cabinet Member asked Cabinet to agree to recommend the updated Pay and Reward Policy to full Council for approval.

The Cabinet Member for Education and Skills welcomed the report which identifies the administration's approach to equality and fairness for all staff.

Decision:

Cabinet agreed to recommend the updated Pay and Reward Policy to full Council for approval.

5 Dying to Work Charter

The Leader introduced the report and explained the Charter is in relation to a TUC campaign to protect workers from dismissal by their employers when they are diagnosed with a terminal illness. The TUC are encouraging employers to sign the Charter and commit to supporting staff with such a diagnosis by not dismissing them for their condition. The Leader asked Cabinet to consider: a) signing the Charter and committing Newport City Council to its requirements, or b) not to sign the Charter.

The Cabinet Member for Community and Resources confirmed the report outlines the outcome that the TUC is seeking from the Dying to Work campaign; that a diagnoses of terminal illness is treated as a 'protected characteristic' and employees are not dismissed as a result of their condition.

Supporting vulnerable people is a key priority for Cabinet and making a decision to sign the Dying to Work Charter shows commitment to delivering this support. No employee of the Council who has been diagnosed with a terminal illness should be dismissed because of their condition or absence they have taken as a result of their condition. Managers should treat such staff with sensitivity and respect and work with them and their families to either maintain the ability to attend work at a future point, or allow the individual to best determine when they may wish to consider the right time to cease employment. The Cabinet Member expressed confidence that in such situations currently, the Council's managers already treat staff and their families with sensitivity and respect. Officers explore all options that would be beneficial to the individual and their family, including ill health retirement and death in service. Where employment does come to an end, this is a mutually agreed outcome after considering all options and is certainly not an enforced action against the will of an employee with this type of diagnosis.

The Cabinet Member recommended that Cabinet agreed to sign the Charter to demonstrate the ongoing commitment to supporting vulnerable people from within the workforce.

The Cabinet were unanimous in their support for the Charter which demonstrates that Newport City Council is a compassionate and caring Council; the Charter also aligns with the Wellbeing of Future Generations Legislation. The Leader confirmed signing the Charter means Newport City Council will join a small number of Welsh Councils who have already signed the Charter, and will demonstrate the on-going commitment to supporting vulnerable people who are both members of the workforce and the local community.

Cabinet was asked to consider the following options:

- 1) To agree to sign the Dying to Work Charter
- 2) Not to agree to sign the Dying to Work Charter.

Decision:

Cabinet unanimously agreed to sign the Dying to Work Charter.

6 Children's Charter – The Young Person's Promise

The Leader welcomed a member of the Newport Youth Council to the meeting.

The Leader introduced the report and confirmed this administration talked about this in its manifesto, and set out in the Corporate Plan its intention to have in place a Children's Charter which sets out the administration's key commitments to children and young people.

The Charter is a set of promises that guide policy development and decision-making and set out what young people can expect from the Council. It was quickly understood that young people preferred the term Young Person's Promise rather than Children's Charter; this respect for young people's views reflects the participatory approach to developing the Promise.

The Cabinet Member for Education and Skills explained the Promise has been developed for young people by young people and shows how young people can be empowered to take ownership of something that will be so important for their futures, as it covers equalities, health and wellbeing, safeguarding and participation in public life. The Promise builds on the United Nations' Convention on the Rights of the Child, the National Participation Standards for Wales and also the 'involvement' duty of the Wellbeing of Future Generations Act.

The report outlines the young people of Newport have been instrumental in shaping the Promise by drafting the commitments, leading engagement with groups from a range of backgrounds, and working on the design of the document; this will have involved a lot of commitment and the Cabinet Member thanked them for this work. In particular Newport Youth Council has led this process from the beginning and has worked hard to involve seldom heard voices. As mentioned previously, the Cabinet Member was delighted to see representation from the Youth Council in attendance at today's Cabinet meeting as Cabinet considers the Promise and on behalf of Cabinet the Cabinet Member thanked Newport Youth Council for their excellent work on the Promise.

Going forward, the Promise will apply to all Council services, not just traditional children's services, and it will influence many aspects of the work of this Council. As part of the commitment there will be a need to ensure that it is taken forward through the Council's service planning and performance management arrangements. A delivery plan will be devised setting out how the Council will deliver on the commitments and how this will be measured, part of this will include involving young people in monitoring arrangements.

The Cabinet Member asked Cabinet to:

1. Consider the draft Young Person's Promise and the engagement work undertaken to develop it and;
2. Endorse the Promise as a set of commitments for how the Council will work with and for children and young people going forward.

This would mean the 'Children's Charter' becomes the first of the key commitments from this administration's Corporate Plan to be achieved and would also make it one of the first of its kind in Wales.

Work is being done on a fitting way to launch and promote this key milestone in young person's rights in Newport.

Cabinet agreed that young people are the future and it is important to invest in them. Cabinet thanked members of staff who had worked on bringing this Charter to a positive conclusion.

Decision:

Cabinet endorsed the Promise as a set of expectations for how the Council will work with and for children and young people.

7 Commercialisation Strategy

The Leader presented the report and asked Cabinet to consider this as a first report as part of a process to develop a commercialisation strategy for the council.

The report outlined the reasons for such a strategy, namely:

- Funding isn't keeping pace with the increase in the Council's costs – and there is very little evidence this will change in the near future.
- The demand for services is growing which is a consequence of Newport growing.
- The focus to date has been on finding savings. Whilst this has been successful, it creates ever growing pressure on the organisation and this approach isn't sustainable in the future.

Commercialisation activity has been ongoing for some time in the big English authorities, Birmingham, Manchester and the London Boroughs. Many authorities are looking at increasing income as part of a financial strategy to help deal with the impact of austerity, therefore this is a path well-travelled and Newport is able to learn from the experience of other councils.

The Leader appraised Cabinet of the challenge/risks ahead and highlighted:

- Generating income is not easy and there is a need to be very clear about attitude to risk.
- Investment will be required in developing the skills needed as this approach will require a different organisational approach.
- This report is the first step in the process and many more reports will be required to be considered by Cabinet in the future as the details emerge for this strategy.
- Cabinet are required to keep firmly in mind the very clear principles to guide their approach as the next steps in this process are considered.

Essentially, today's report outlines three strands to provide the foundations for future work:

- 1) Current services that could be provided on a more commercial basis;
- 2) New services that could be provided, e.g., energy services;
- 3) Property investment – commercial and/or residential.

Cabinet is asked to consider two main actions:

- 1) To undertake a feasibility study into the establishment of a Trading Company through which to manage this commercial activity;
- 2) Newport is becoming an even more attractive place to invest and there is a need to be able to explore the opportunity of commercial investment. The report proposes the establishment of an Investment Panel to undertake this work. No investment would be made without extensive due diligence and Council would be required to amend the Capital Strategy before proceeding further. However it is important we make a start and the report sets out how that can be done.

The Leader recognised there may be concerns about exposure to risk, hence the need to tread carefully. However, the concern is that if a more commercial approach is not adopted, as part of the overall financial strategy, the choices being faced will be even more difficult with further cuts to jobs and services.

The Leader asked Cabinet colleagues to support taking this initial step and confirmed there will be regular progress reports as this approach develops further.

Cabinet understood the need to look at different ways to fund services as in future years further cuts to budgets/staffing levels is unsustainable. The administration is keen to ensure that any investment will be predicated on the social value associated with individual projects. It was noted this approach would have a positive multiplier effect on investment in the local economy and is about generating surplus that can be used to provide core services to the people of Newport. This approach again emphasises the Council's innovative approach and emphasises the Leader's comment that 'Newport is open for business'

The Chief Executive did not suggest that this will fund the budget gap completely but will, hopefully, give a more balanced approach. He also emphasised this is Step 1 of a long process and the need to tread carefully, and whilst there are risks associated with this, nothing inappropriate will be suggested to the Board. He also confirmed there are opportunities for generating income and a mechanism needs to be in place to consider the opportunities as they arise.

The Chief Executive confirmed the Capital strategy will need to be amended and subject to Cabinet's agreement today, a report will be taken to Council on the 30th April.

Cabinet was asked to consider the following proposals:

Agree the outline approach set out in the report;
Delegate operational decision making regarding the Commercialisation Strategy to the Chief Executive;
Establish the Investment Board, as outlined in the report, with immediate effect to manage the property investment portfolio;
Agree the principle of a Commercial Property Fund to be taken to the Council for approval within the Capital Strategy, including the approval of £50m for this purpose, noting that detailed terms of reference and investment guidelines and parameters for the Investment Board will be agreed by the Cabinet before any activity commences;
Ask the Chief Executive to progress a Feasibility Study on the establishment of a Local Authority Company to manage the Council's commercialisation activity and approve up to £100k from the Council's Invest to Save Fund for this purpose.

Following consideration of the above proposals, Cabinet further considered the following options:

Option 1 – Agree the recommendations and framework set out in the report and work towards developing a detailed Commercialisation Strategy.
Option 2 – Reject the recommendations and retain our current approach to financial sustainability

Decision:

Cabinet agreed to approve Option 1 and to recommend to Council the proposed £50m investment fund and the commercialisation approach as an integral part of agreeing to the establishment of the fund which will be included in the revised Capital Strategy.

8 Work Programme

The Leader presented the Cabinet Work Programme.

Decision:

To agree the proposed programme.

Part 2

9 Exclusion of the Press and Public

To consider whether to exclude the press and public during consideration of the following item on the grounds that its consideration involved the likely disclosure of exempt information as defined in the Local Government Act 1972 and the exemption outweighs the public interest in disclosure.

10 City Centre Presentation – IAC Mill Street

Officers provided Cabinet with a presentation on IAC Mill Street.

The developer is South Wales based and has been established since 1995 with a growing presence in the local market, its portfolio includes a mix of vacant commercial stock primed for redevelopment. Developments have been achieved in Bristol, Swansea, Cardiff and other parts of South Wales. The Council is currently working with this developer on Chartist Tower.

This project will bring economic benefits to Newport including; growth in key knowledge-intensive business service sectors; jobs (via construction/new enterprise), new enterprises, investment, increase in revenue to the local supply chain, increase in Grade A office space as well as the re-use of a key building within the City Centre, public/private partnership working; elimination of anti-social behaviour currently linked to the building.

In order to take the project forward Cabinet was asked to:

- i) To approve in principle the provision of a £4M loan facility to GB Estates Ltd for the purpose of the IAC redevelopment subject to:
 - a) Provision of adequate security for the loan in the form of charge;
 - b) Satisfactory completion of all financial and legal due diligence checks;
 - c) Authorisation for officers to agree the final terms and conditions of the loan and relevant legal documentation.

Decision:

Cabinet unanimously approved the proposal.

11 Date of Next Meeting

The next meeting will take place on Wednesday 22 May 2019, at 4.00 pm in Committee Room 1, at the Civic Centre, Newport



Report

Cabinet

Part 1

Date: 22 May 2019

Item No: 5

Subject **Wales Audit Office Report on the Council's Well-being Step to 'Maintain our focus on regenerating the city centre to become one of the UK's top cities'**

Purpose To present Cabinet with the Wales Audit Office (WAO) report including the Management Action Plan on how the Council is acting in accordance with the Well-being of Future Generations (Wales) Act in delivering its Well-being Objective 'To promote economic growth and regeneration whilst protecting the environment' and its Well-being Step 'Maintain our focus on regenerating the city centre to become one of the UK's top cities.'

Author Head of Regeneration Investment and Housing
Head of People and Business Change

Ward All

Summary As part of the programme of regulatory activity, in accordance with the Well-being for Future Generations Act 2015, the Wales Audit Office undertakes a programme of work to ensure the Council is discharging its duties under the Act. The report outlines WAO findings from the review and concludes that: 'In taking steps to regenerate the city centre the Council has many positive examples of how it has taken account of the sustainable development principle (SDP). However, the Council recognises that there is more to do for it to consistently embed all of the five ways of working across its ambitions for the regeneration of the city centre. The report raised 10 'areas of development' for the Council to implement.

Proposal Cabinet are requested to note the outcome of the review, areas of development and management response in implementing the necessary actions.

Action by Cabinet

Timetable Immediate

This report was prepared after consultation with:

- Senior Leadership Team

Signed

Background

As part of the programme of regulatory activity, and in accordance with the Well-being of Future Generations (Wales) Act 2015, the Wales Audit Office (WAO) undertakes a programme of work to ensure the Council is discharging its duties under both Acts. The Well-being of Future Generations (Wales) Act 2015 places a duty on the Council to have regard for sustainable development and the five ways of working:

- **Long Term** – The importance of balancing short-term needs with the need to safeguard the ability to meet long-term needs;
- **Collaboration** – Acting in collaboration with any other person (or different parts of NCC) that could help us meet our well-being objectives;
- **Involvement** – The importance of involving people with an interest in achieving the well-being goals and ensuring that those people reflect the diversity of Newport;
- **Preventative** – How acting to prevent problems occurring or getting worse may help us meet our objectives; and
- **Integration** – Considering how our well-being objectives may impact upon each of the well-being goals, on our objectives or on the objectives of other bodies.

In 2018/19, WAO completed work to assess the extent to which Newport City Council have acted in accordance with the sustainable development principle when: setting our well-being objective; and taking steps to meet them. For the purpose of this review, WAO focused their review on the Council's Well-being Objective: *'To promote economic growth and regeneration whilst protecting the environment'* and its Well-being Step *'Maintain our focus on regenerating the city centre to become one of the UK's top cities.'* The review took the views and observations of the Council and key partners that are involved with supporting the delivery of this Well-being Step. The report focuses on how the Council has adopted the Well-being principles '5 Ways of Working' in delivering the Well-being Objective and Step in the Corporate Plan.

In conclusion WAO found that: *'In taking steps to regenerate the city centre the Council has many positive examples of how it has taken account of the SDP. However, the Council recognises that there is more to do for it to consistently embed all of the five ways of working across its ambitions for the regeneration of the city centre.'*

The report noted the work undertaken by the Council and Regeneration, Investment & Housing in delivering the Step including:

- **Long Term** – The Council's forthcoming update to its Economic Growth Strategy presents an opportunity to extend the vision into the longer-term;
- **Prevention** – The Council is using data to improve its understanding of prevention, but the Council needs to be clearer about how its environmental aspirations for the city centre can deliver long-term benefits;
- **Integration** – The Council has made progress towards embedding an integrated approach to the step. However, this could be further improved by developing a consistent understanding of integration across the Council.
- **Collaboration** – As the Council's collaborative forums evolve, it will be important for the Council to be clear on their respective roles in supporting its regeneration (city centre) ambition
- **Involvement** – There are a number of good examples of involvement in this step, however, the Council recognises that it needs to build on its approaches to ensure that the full diversity of citizens and stakeholders can influence Council decision making.

The report also raised 10 *'areas for development'* (including management responses) for the Council to implement covering the following areas:

- **Long Term** – Three areas of development were raised in relation to the use of data to make better, long term, informed decisions; key risks to the delivery of the Well-being step take account the long term impacts; and for the Economic Growth Strategy (EGS) and City Centre Masterplan to take a

longer term (20-25 years) view. In response the Council will be considering these areas as part of its EGS Refresh and will also be utilising the findings of the Gwent Futures Report to examine the longer term risks and issues to the delivery of the step. The Council will also be refreshing its approach to risk management including setting a risk appetite for the Council to enable the organisation to make informed decisions on the opportunities and risks that may present themselves in the delivery of the Well-being Objectives.

- **Integration** – Two areas of development raised focused on the Council's understanding of the definition for 'integration' in the Act and to have a more common approach adopted across the Council; and for the Council to take the opportunity as part of the EGS and Masterplan refresh to demonstrate how the regeneration of the city centre will support the delivery of the Well-being Objectives. In response the Council confirmed that the EGS and Masterplan will incorporate and align the Act and Well-being Objectives; and will be undertaking further work to embed the principles including Integration through mechanisms such as the democratic process, corporate / service planning, monitoring and reporting.
- **Involvement** – One area of development was raised in relation to how the Council involves citizens, businesses and other stakeholders in the refresh of the EGS and Masterplan. As an ongoing action the Council continues to explore different avenues in how we engage and involve our stakeholders in the decision making process by using the Bus Wi-Fi, social media platforms, Citizens Panel, online surveys.
- **Collaboration** – Two areas of development were raised for the Council to maximise its current relationships with existing partners and to take the opportunity in collaborating with key partners to influence the EGS and Masterplan. In response, we acknowledged that further work will be undertaken to build on its existing arrangements through the PSB, G10, Newport Economic Network et al. We also confirmed that the refreshed EGS and Masterplan will be presented to the Newport Economic Network and PSB with ongoing updates on the delivery of the strategy and plan.
- **Prevention** – Two areas of development for the Council to demonstrate and take the opportunity on how the EGS and Masterplan will deliver the long term environmental vision; and how it can support the PSB plan in delivering green spaces and sustainable travel. Both of these areas will be incorporated into the EGS and for the EGS to be reported to the PSB Intervention Board.

Detailed findings of the report including the Council's management response is attached at Appendix 1 (Welsh) and Appendix 2 (English).

Financial Summary

The financial implications of actions and projects identified by this and other regulatory work will be reported and considered in the normal way, in accordance with the council's financial plans and regulations.

Risks

Risk	Impact of Risk if it occurs* (H/M/L)	Probability of risk occurring (H/M/L)	What is the Council doing or what has it done to avoid the risk or reduce its effect	Who is responsible for dealing with the risk?
The 'areas of development' are not actioned by the Council which could impact on adherence with the Well-being Act and delivery of the Corporate Plan.	Medium	Low	There will be regular monitoring and reporting of the management actions to ensure that they are implemented by the Council.	Head of Regeneration, Investment & Housing Head of People & Business Change

Links to Council Policies and Priorities

This work is aligned with the Council's Well-being Plan, Corporate Plan Well-being objectives.

Options Available and considered

- a) To note the outcomes from the Wales Audit Office report and to receive regular updates on the implementation of the management actions;
- b) To disregard the contents of the report and its findings.

Preferred Option and Why

The preferred option is (a) and for Cabinet to note the outcomes of the report and to be provided with regular updates on the progress of the service area implementing the necessary management actions.

Comments of Chief Financial Officer

There are no direct financial implications arising from this report as it is simply requesting that Cabinet note the outcomes of the review. Consideration will need to be given to budgets impacts when planning / implementing any of the agreed actions.

Comments of Monitoring Officer

There are no specific legal issues arising from the Report. The Council has a statutory duty under the Well-being of Future Generations (Wales) Act 2015 to act in accordance with the sustainable development principle when setting and meeting its well-being objectives. This WAO review has examined the way in which the Council has discharged this duty in relation to the regeneration of the City Centre. The review has concluded that, in delivering this regeneration objective, the Council has acted in accordance with the sustainable development principle and there are many good examples of how the five ways of working have been applied. However, the review also identifies 10 areas for development and improvement in relation to embedding the five ways of working and the proposed Action Plan sets out the management action and timescales for addressing these issues. The report also sets out the comments of the Scrutiny Management Committee. Cabinet are asked to note the outcome of the WAO review and endorse the proposed Action Plan.

Comments of Head of People and Business Change

The Wales Audit Office Report has taken into consideration how the Council is implementing the 5 ways of working principles into its decision making processes and delivery of services. The report has acknowledged strengths where the Council is embedding these principles but also recognises the areas where further development can be made by the Council.

From a HR perspective there was no direct Human Resource impact as a result of this review.

Comments of Cabinet Member

The Cabinet Member has noted the findings of the Wales Audit Office report and management responses to implementing the necessary actions to improve the delivery of the service.

Local issues

None

Scrutiny Committees

The contents of the report was presented to the Overview and Scrutiny Management Committee on 18th April 2019.

The Committee noted the Wales Audit Office – Wellbeing of Future Generations report prior to it being reported to the Cabinet.

During the course of discussion, the Committee asked the Performance Audit Lead to provide information on who from the Newport Economic Network were interviewed for the report as contextual information for the Committee.

No further recommendations were raised.

Equalities Impact Assessment and the Equalities Act 2010

Not Applicable

Children and Families (Wales) Measure

Not Applicable

Wellbeing of Future Generations (Wales) Act 2015

The review undertaken by the Wales Audit Office was completed with regard to the Well-being Act and its five ways of working principles. As identified in the report (Appendices 1 and 2), the review focused on how the Council is embedding the 5 Ways of Working principles into its decision making processes service delivery of the Corporate Plan 2017-22

Crime and Disorder Act 1998

Section 17(1) of the Crime and Disorder Act 1998 imposes a duty on the Local Authority to exercise its various functions with due regard to the likely effect of the exercise of those functions on, and the need to do all that it reasonably can to prevent, crime and disorder in its area.

Consultation

Comments received from wider consultation, including comments from elected members, are detailed in each application report in the attached schedule.

Background Papers

Appendix 1 – Wales Audit Office Report April 2019 (Welsh)
Appendix 2 – Wales Audit Office Report April 2019 (English)
Overview and Scrutiny Management Committee – 18th April 2019
Newport City Council Corporate Plan 2017-22

Dated: May 2019

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WALES AUDIT OFFICE
SWYDDFA ARCHWILIO CYMRU

Archwilydd Cyffredinol Cymru
Auditor General for Wales

Llesiant Cenedlaethau'r Dyfodol: Ymchwiliad i'r cam i 'Gynnal a chadw ein ffocws ar adfywio canol y ddinas fel ei bod yn un o ddinasoedd gorau'r DU' – **Cyngor Dinas Casnewydd**

Blwyddyn archwilio: 2018-19

Dyddiad cyhoeddi: Mawrth 2019

Cyfeirnod y ddogfen: 1138A2019-20

Paratowyd y ddogfen hon at ddefnydd mewnol Cyngor Dinas Casnewydd fel rhan o'r gwaith a gyflawnir yn unol â Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015.

Nid yw'r Archwilydd Cyffredinol na staff Swyddfa Archwilio Cymru yn ysgwyddo unrhyw gyfrifoldeb o ran unrhyw aelod, cyfarwyddwr, swyddog na chyflogai arall yn unigol, nac o ran unrhyw drydydd parti.

Os gwneir cais am wybodaeth y gallai'r ddogfen hon fod yn berthnasol iddi, tynnir sylw at y Cod Ymarfer a gyhoeddwyd o dan adran 45 o Ddeddf Rhyddid Gwybodaeth 2000. Mae adran 45 o'r Cod yn nodi sut y disgwylir i awdurdodau cyhoeddus ymdrin â cheisiadau o'r fath, gan gynnwys ymgynghori â thrydydd partïon perthnasol. O ran y ddogfen hon, mae Archwilydd Cyffredinol Cymru a Swyddfa Archwilio Cymru yn drydydd partïon perthnasol. Dylid anfon unrhyw ymholiadau ynglŷn â datgelu neu aildefnyddio'r ddogfen hon i Swyddfa Archwilio Cymru yn swyddog.gwybodaeth@archwilio.cymru.

Rydym yn croesawu gohebiaeth a galwadau ffôn yn Gymraeg a Saesneg. Ni fydd gohebu yn Gymraeg yn arwain at oedi.

Mae'r ddogfen hon hefyd ar gael yn Saesneg.

Cyflawnwyd y gwaith hwn gan dîm a oedd yn cynnwys Ian Phillips, Jeff Brown a Non Jenkins o dan gyfarwyddyd Huw Rees.

Cynnwys

Wrth iddo fynd ati i adfywio canol y ddinas, mae gan y Cyngor lawer o enghreifftiau cadarnhaol o'r ffordd y mae wedi ystyried yr egwyddor datblygu cynaliadwy.

Serch hynny, mae'r Cyngor yn cydnabod bod mwy i'w wneud i sicrhau bod pob un o'r pum ffordd o weithio'n cael eu hymgorffori'n gyson ar draws pob rhan o'i uchelgais i adfywio canol y ddinas.

Adroddiad cryno	4
Adroddiad manwl	7
Rhan Un: Canfyddiadau'r ymchwiliad	7
Mae'r Cyngor wedi amlinellu ei weledigaeth i adfywio canol y ddinas dros gyfnod o ddeng mlynedd. Mae'r Cyngor yn bwriadu diweddarau ei Strategaeth Twf Economaidd a bydd hyn yn gyfle i estyn y weledigaeth i'r tymor hirach	7
Mae'r Cyngor yn defnyddio data i wella ei ddealltwriaeth o atal, ond mae angen i'r Cyngor nodi'n gliriach sut y gall ei amcanion amgylcheddol ar gyfer canol y ddinas sicrhau buddion hirdymor	8
Mae'r Cyngor wedi gwneud cynnydd o ran sefydlu dull integredig i fynd i'r afael â'r cam hwn. Fodd bynnag, byddai modd gwella hyn ymhellach drwy ddatblygu dealltwriaeth gyson o integreiddio ar draws y Cyngor	9
Wrth i fforymau cydweithredol y Cyngor esblygu, bydd yn bwysig bod y Cyngor yn egluro swyddogaethau'r fforymau hyn o ran cefnogi ei uchelgais i adfywio (canol y ddinas)	10
Ceir nifer o enghreifftiau da o gynnwys yn y cam hwn, ond mae'r Cyngor yn cydnabod bod angen iddo adeiladu ar y dulliau a ddefnyddir ganddo i sicrhau bod modd i'r holl ddinasyddion a rhanddeiliaid ddylanwadu ar broses benderfynu'r Cyngor	11
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Adroddiad cryno

Crynodeb

Pam y gwnaethom gynnal yr ymchwiliad

- 1 Yn unol â Deddf Cenedlaethau'r Dyfodol (Cymru) 2015 (y Ddeddf), mae'n statudol ofynnol i Archwilydd Cyffredinol Cymru (yr Archwilydd Cyffredinol) gynnal ymchwiliadau o gyrrff cyhoeddus i asesu i ba raddau y maent wedi gweithredu yn unol â'r egwyddor datblygu cynaliadwy pan fyddant:
 - a. yn pennu eu hamcanion llesiant;
 - b. yn mynd ati i gyflawni'r amcanion hynny.

Mae'r Ddeddf yn diffinio'r egwyddor datblygu cynaliadwy fel gweithredu mewn modd: '...sy'n ceisio sicrhau bod anghenion y presennol yn cael eu diwallu heb beryglu gallu cenedlaethau'r dyfodol i ddiwallu eu hanghenion hwythau'.
- 2 Rhaid i'r Archwilydd Cyffredinol ddarparu adroddiad am ei ymchwiliadau i'r Cynulliad Cenedlaethol o leiaf flwyddyn cyn pob un o etholiadau'r Cynulliad. Rhaid iddo gyhoeddi'r adroddiad cyntaf erbyn 2020 cyn etholiad y Cynulliad yn 2021.
- 3 Yn ystod 2018-19, mae'r Archwilydd Cyffredinol yn cynnal ymchwiliadau ar draws y 44 corff y mae'r Ddeddf yn berthnasol iddynt i osod sylfaen ar gyfer ei adroddiad i'r Cynulliad Cenedlaethol.
- 4 Ym mis Mai 2018, cyhoeddodd yr Archwilydd Cyffredinol ei adroddiad 'Myfyrion ar Flwyddyn Un – Sut mae cyrrff cyhoeddus wedi ymateb i Ddeddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015'. Daeth i'r casgliad fod cyrrff cyhoeddus yn cefnogi egwyddorion y Ddeddf a'u bod yn mynd ati i newid eu ffordd o weithio.
- 5 Wrth ddatblygu ein dull o gynnal yr ymchwiliadau hyn yn ystod 2018-19, buom yn ymgysylltu â rhanddeiliaid amrywiol, gan gynnwys drwy ein gwaith peilot yn 2017-18. Buom hefyd yn cydweithio'n agos â Chomisiynydd Cenedlaethau'r Dyfodol.
- 6 Gan fod y gwaith rhagarweiniol a wnaed yn ystod y flwyddyn gyntaf yn ystyried sut yr oedd y cyrrff cyhoeddus wedi pennu eu hamcanion llesiant, mae'r gwaith hwn yn canolbwyntio'n bennaf ar y ffordd y mae'r cyrrff cyhoeddus yn mynd ati i gyflawni eu hamcanion llesiant.
- 7 Mae canfyddiadau'r adroddiad hwn yn seiliedig ar waith maes a gynhaliwyd rhwng mis Hydref a mis Rhagfyr 2018. Cynhaliwyd gweithdy cwmpasu gwaith maes cychwynnol ym mis Hydref 2018 gyda swyddogion y Cyngor i drin a thrafod sut y cafodd pob un o'r pum ffordd o weithio eu rhoi ar waith.
- 8 Yna, aethpwyd ati i adolygu dogfennau pwysig ac i gyf-weld swyddogion y Cyngor, swyddogion sefydliadau sy'n bartneriaid ac aelodau etholedig.
- 9 Mae'r adroddiad hwn yn amlinellu canfyddiadau ein hymchwiliad i'r cam i 'Gynnal a chadw ein ffocws ar adfywio canol y ddinas fel ei bod yn un o ddinasoedd gorau'r DU', cam y mae'r Cyngor yn ei gymryd i gyflawni ei amcanion llesiant.
- 10 Mae hefyd yn amlinellu ymateb cychwynnol y Cyngor i'n canfyddiadau.

Beth y buom yn ymchwilio iddo

- 11 Buom yn ymchwilio i'r graddau y mae'r Cyngor yn gweithredu yn unol â'r egwyddor datblygu cynaliadwy wrth iddo fynd ati i 'Gynnal a chadw ein ffocws ar adfywio canol y ddinas fel ei bod yn un o ddinasoedd gorau'r DU'.
- 12 Rhestrir y cam y bu inni ymchwilio iddo o dan amcan llesiant y Cyngor i 'Hyrwyddo twf economaidd ac adfywio wrth amddiffyn yr amgylchedd'.
- 13 Mae'r Cyngor wedi cyflawni nifer o brosiectau adfywio ers i Gasnewydd ennill statws dinas yn 2002 ac mae gan y Cyngor gynlluniau adfywio sy'n mynd rhagddynt. Mae Cynllun Corfforaethol y Cyngor yn amlinellu'r ymrwymiad i ailddatblygu safleoedd fel ardal Westgate, Tŵr y Siartwyr a'r Farchnad i roi strategaethau ar waith i annog busnesau i ddod i'r ddinas, i agor adeiladau a siopau gwag, ac i hwyluso camau i ddatblygu swyddfeydd gradd uchel.
- 14 Mae'r cam yn seiliedig ar amryw o strategaethau a chynlluniau pwysig, gan gynnwys Strategaeth Twf Economaidd 2015-25, Uwchgynllun Canol Dinas Casnewydd a'r Cynllun Datblygu Lleol.
- 15 Mae'r Uwchgynllun yn canolbwyntio ar dair prif ardal: Porth y Gogledd, Canol y Ddinas a Glan-yr-afon. Mae'r Cyngor yn bwriadu llunio is-uwchgynlluniau ar gyfer pob un o'r ardaloedd hyn.
- 16 I weithredu yn unol â'r egwyddor datblygu cynaliadwy, rhaid i gyrff cyhoeddus ystyried y 'ffyrdd o weithio' a ganlyn:

Arddangosyn 1: y 'pum ffordd o weithio'

Mae'r tabl isod yn amlinellu'r 'pum ffordd o weithio' fel y'u diffinnir yn nogfen Llywodraeth Cymru, Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015: Yr hanfodion¹.

Y pum ffordd o weithio
Hirdymor Pwysigrwydd sicrhau cydbwysedd rhwng anghenion tymor byr a'r angen am ddiogelu'r gallu i ddiwallu anghenion tymor hir hefyd
Atal Sut gall gweithredu i atal problemau rhag digwydd neu waethygu helpu cyrff cyhoeddus i gyflawni eu hamcanion.
Integreiddio Ystyried sut gall amcanion llesiant y corff cyhoeddus effeithio ar bob un o'r nodau llesiant, ar bob un o'u hamcanion eraill, neu ar amcanion cyrff cyhoeddus eraill.
Cydweithio Gallai cydweithredu ag unrhyw berson arall (neu wahanol adrannau yn y corff ei hun) helpu'r corff i fodloni ei amcanion llesiant.

¹ Deddf Llesiant Cenedlaethau'r Dyfodol (Cymru) 2015: Yr hanfodion, Llywodraeth Cymru (2015)

Y pum ffordd o weithio

Cynnwys

Pwysigrwydd cynnwys pobl sydd â diddordeb mewn cyflawni'r nodau llesiant, a sicrhau bod y bobl hynny'n adlewyrchu amrywiaeth yr ardal maent yn ei gwasanaethu.

- 17 Canfu ein hymchwiliad yr hyn a ganlyn: Wrth iddo fynd ati i adfywio canol y ddinas, mae gan y Cyngor lawer o enghreifftiau cadarnhaol o'r ffordd y mae wedi ystyried yr egwyddor datblygu cynaliadwy.
- 18 Serch hynny, mae'r Cyngor yn cydnabod bod mwy i'w wneud i sicrhau bod pob un o'r pum ffordd o weithio'n cael eu hymgorffori'n gyson ar draws pob rhan o'i uchelgais i adfywio canol y ddinas.

Adroddiad manwl

Rhan Un: Canfyddiadau'r ymchwiliad

Mae'r Cyngor wedi amlinellu ei weledigaeth i adfywio canol y ddinas dros gyfnod o ddeng mlynedd. Mae'r Cyngor yn bwriadu diweddarau ei Strategaeth Twf Economaidd a bydd hyn yn gyfle i estyn y weledigaeth i'r tymor hirach

Beth y buom yn chwilio amdano

- 19 Buom yn chwilio am dystiolaeth o'r pethau a ganlyn:
- dealltwriaeth drylwyr o'r anghenion cyfredol a hirdymor a'r heriau a'r cyfleoedd cysylltiedig;
 - cynllunio dros gyfnod priodol;
 - dyrannu adnoddau i sicrhau buddion hirdymor;
 - monitro ac adolygu priodol.
- 20 Roedd ein hymchwiliad hefyd yn seiliedig ar y dangosyddion cadarnhaol ar gyfer 'hirdymor' a bennwyd gennym ac a ddefnyddiwyd fel rhan o'r ymchwiliad hwn.²

Beth y gwnaethom ei ganfod

- 21 Pennwyd y cryfderau a ganlyn:
- Mae'r Cyngor yn gwneud defnydd da o ddata rhanbarthol hanesyddol a hirdymor i lywio ei broses benderfynu
 - Mae gan y Cyngor strategaeth ddeng mlynedd sy'n pennu ei uchelgais i adfywio canol y ddinas. Mae disgwyl i'r strategaeth hon gael ei diweddarau yn 2019 a bydd hyn yn gyfle i fynegi gweledigaeth fwy hirdymor
 - Mae'r Cyngor yn ceisio mynd ati i liniaru rhai o'r prif risgiau a allai effeithio ar y gwaith o adfywio canol y ddinas, gan gynnwys Brexit a'r camau i ddiddymu tollau'r M4.
- 22 Pennwyd y meysydd a ganlyn i'w datblygu:
- Gwneud gwell defnydd o ddata o ffynonellau eraill tu allan i'r rhanbarth fel sail i'w ddull mwy hirdymor o weithredu, fel defnyddio gwybodaeth y Ganolfan Dinasoedd a dadansoddiad Cenhedlaeth 2050 Cymdeithas Llywodraeth Leol Cymru
 - Wrth i effeithiau'r prif risgiau ar y cam ddod yn fwy eglur, eu rheoli mewn ffordd sy'n rhoi ystyriaeth ddigonol i'r tymor hirach
 - Sicrhau ei fod yn bwrw golwg dros gyfnod hirach pan fydd yn diweddarau'r Strategaeth Twf Economaidd a'r is-uwchgynlluniau/cynlluniau cyflawni ar gyfer canol y ddinas

² Gweler Atodiad 1

Mae'r Cyngor yn defnyddio data i wella ei ddealltwriaeth o atal, ond mae angen i'r Cyngor nodi'n gliriach sut y gall ei amcanion amgylcheddol ar gyfer canol y ddinas sicrhau buddion hirdymor

Beth y buom yn chwilio amdano

- 23 Buom yn chwilio am dystiolaeth o'r pethau a ganlyn:
- dealltwriaeth drylwyr o natur y broblem, a'r math o broblem, y gallai'r cam helpu i'w hatal rhag digwydd neu waethygu;
 - yr adnoddau a ddyrannwyd i sicrhau y bydd y manteision ataliol yn cael eu gwireddu;
 - gwaith i fonitro ac i adolygu pa mor effeithiol yw'r cam o ran atal problemau rhag digwydd neu waethygu.
- 24 Roedd ein hymchwiliad hefyd yn seiliedig ar y dangosyddion cadarnhaol ar gyfer 'atal' a bennwyd gennym ac a ddefnyddiwyd fel rhan o'r ymchwiliad hwn.³

Beth y gwnaethom ei ganfod

- 25 Pennwyd y cryfderau a ganlyn:
- Mae gan y Cyngor nifer o enghreifftiau cadarnhaol o'r ffordd y mae wedi defnyddio data'n effeithiol i lywio ei benderfyniadau ar gamau ataliol
 - Mae wedi mynd ati i atal adeiladau eiconig pwysig rhag dirywio ac i sicrhau eu bod yn addas at y dyfodol
 - Mae'n defnyddio pecyn cymorth buddion cymunedol i ddiffinio buddion ataliol hirdymor, a helpu i'w gwireddu, a hynny o fewn llawer o'i brosiectau i adfywio canol y ddinas
 - Cymerwyd risgiau beiddgar, ond risgiau a reolir, i sicrhau bod adnoddau effeithiol ar gael ar gyfer strategaeth adfywio canol y ddinas
- 26 Pennwyd y meysydd a ganlyn i'w datblygu:
- Mae'r Strategaeth Twf Economaidd gyfredol ac amcan llesiant y Cyngor yn nodi bod gwella/amddiffyn yr amgylchedd yn nod pwysig, ac mae cyfle i'r is-uwchgynlluniau ddarparu gweledigaeth amgylcheddol hirdymor gliriach, a mynegi'r buddion ataliol a gyflawnir yng nghyd-destun adfywio canol y ddinas
 - Mae cyfle i alinio'r ymyriadau ataliol pwysig yng Nghynllun Llesiant cyfredol y Bwrdd Gwasanaethau Cyhoeddus o ran mannau gwyrdd a theithio cynaliadwy o fewn y gwaith arfaethedig i ddiweddarau'r Strategaeth Twf Economaidd yn 2019.

³ Gweler Atodiad 1

Mae'r Cyngor wedi gwneud cynnydd o ran sefydlu dull integredig i fynd i'r afael â'r cam hwn. Fodd bynnag, byddai modd gwella hyn ymhellach drwy ddatblygu dealltwriaeth gyson o integreiddio ar draws y Cyngor

Beth y buom yn chwilio amdano

- 27 Buom yn chwilio am dystiolaeth bod y Cyngor wedi ystyried:
- sut y gallai'r cam hwn gyfrannu at y saith nod llesiant cenedlaethol;
 - sut y bydd cyflawni'r cam hwn yn effeithio ar amcanion llesiant a blaenoriaethau ehangach y Cyngor;
 - sut y bydd cyflawni'r cam hwn yn effeithio ar amcanion llesiant cyrff cyhoeddus eraill.
- 28 Roedd ein hymchwiliad hefyd yn seiliedig ar y dangosyddion cadarnhaol ar gyfer 'integreiddio' a bennwyd gennym ac a ddefnyddiwyd fel rhan o'r ymchwiliad hwn.⁴

Beth y gwnaethom ei ganfod

- 29 Pennwyd y cryfderau a ganlyn:
- Mae'r dull o adfywio canol y ddinas yn cydnabod na ellir ond cyflawni hyn drwy ymyriadau sy'n ymdrin â'r system gyfan (fel yr amgylchedd, diogelwch cymunedol, iechyd a llesiant) yn hytrach na chanolbwyntio ar ffactorau economaidd yn unig
 - Drwy'r Bwrdd Gwasanaethau Cyhoeddus a Rhwydwaith Economaidd Casnewydd, bu modd i bartneriaid a rhanddeiliaid ystyried sut y bydd y cam, a'r broses o'i gyflawni, yn effeithio ar eu hamcanion nhw
 - Wrth gynllunio a chyflawni'r cam hwn, mae'r Cyngor wedi cymryd rhai camau cadarnhaol i ystyried sut y gallai effeithio ar ei amcanion llesiant eraill. Yn sgil hyn, mae gwasanaethau gwahanol a chanddynt fuddiant yng nghanol y ddinas wedi gweithio mewn ffordd fwy cydgysylltiedig.
- 30 Pennwyd y meysydd a ganlyn i'w datblygu:
- Ceir rhywfaint o gamddealltwriaeth o hyd ynghylch ystyr gywir 'integreiddio' fel y'i diffinnir yn y Ddeddf. Mae angen i'r Cyngor sicrhau ei fod yn mabwysiadu dealltwriaeth gyffredin o'r pum ffordd o weithio
 - Er bod y gyfres gyffredol o gynlluniau a strategaethau'n mynegi'r uchelgais ar gyfer canol y ddinas, lluniwyd nifer ohonynt cyn i'r Ddeddf ddod i rym. Felly, pan fyddant yn cael eu diweddarau, bydd cyfle iddynt fod yn fwy cydnaws â'r Ddeddf a dangos yn glir sut y bydd y gwaith o adfywio canol y ddinas yn cefnogi'r camau i gyflawni amcanion a nodau llesiant y Cyngor.

⁴ Gweler Atodiad 1

Wrth i fforymau cydweithredol y Cyngor esblygu, bydd yn bwysig bod y Cyngor yn egluro swyddogaethau'r fforymau hyn o ran cefnogi ei uchelgais i adfywio (canol y ddinas)

Beth y buom yn chwilio amdano

- 31 Buom yn chwilio am dystiolaeth bod y Cyngor:
- wedi ystyried sut y gallai gydweithio ag eraill i gyflawni'r cam (i fodloni ei amcanion llesiant, neu i gynorthwyo corff arall i fodloni ei amcanion llesiant);
 - yn cydweithio'n effeithiol i gyflawni'r cam;
 - yn monitro ac yn adolygu a yw'r cydweithio'n helpu'r Cyngor neu ei rhanddeiliaid i fodloni amcanion llesiant.
- 32 Roedd ein hymchwiliad hefyd yn seiliedig ar y dangosyddion cadarnhaol ar gyfer 'cydweithio' a bennwyd gennym ac a ddefnyddiwyd fel rhan o'r ymchwiliad hwn.⁵

Beth y gwnaethom ei ganfod

- 33 Pennwyd y cryfderau a ganlyn:
- Mae gan y Cyngor berthynas gydweithredol dda â nifer o bartneriaid a rhanddeiliaid pwysig sy'n ymwneud â'r gwaith o gyflawni'r cam hwn
 - Mae'r Cyngor wedi dechrau cwrdd â Rhwydwaith Economaidd Casnewydd. Er bod y rhain yn dal i fod yn ddyddiau cynnar i'r grŵp, mewn egwyddor, mae'n gyfle i hyrwyddo ac i wireddu gweledigaeth gydgysylltiedig a rennir ar gyfer canol y ddinas.
- 34 Pennwyd y meysydd a ganlyn i'w datblygu:
- Mae'r Cyngor wedi cydnabod bod bob amser mwy i'w wneud i gael y gorau o holl fuddion cydweithio effeithiol
 - Gan adeiladu ar y berthynas gydweithredol dda â phartneriaid a rhanddeiliaid, mae cyfle i ystyried sut y gellir galluogi partneriaid allweddol i ddylanwadu ar y Strategaeth Twf Economaidd a'r is-uwchgynlluniau a gaiff eu diweddarau maes o law i sicrhau aliniad strategol hirdymor o ran y weledigaeth i adfywio canol y ddinas.

⁵ Gweler Atodiad 1

Ceir nifer o enghreifftiau da o gynnwys yn y cam hwn, ond mae'r Cyngor yn cydnabod bod angen iddo adeiladu ar y dulliau a ddefnyddir ganddo i sicrhau bod modd i'r holl ddinasyddion a rhanddeiliaid ddylanwadu ar broses benderfynu'r Cyngor

Beth y buom yn chwilio amdano

- 35 Buom yn chwilio am dystiolaeth bod y Cyngor:
- wedi pennu pwy y mae angen iddo ei gynnwys yn y broses o gynllunio a chyflawni'r cam;
 - wedi cynnwys rhanddeiliaid allweddol yn effeithiol yn y broses o gynllunio a chyflawni'r cam;
 - wedi defnyddio canlyniadau cynnwys rhanddeiliaid yn ei waith i siapio'r broses o ddatblygu a chyflawni'r cam;
 - wedi ceisio dysgu gwersi a gwella'r modd y mae'n cynnwys rhanddeiliaid yn ei waith.
- 36 Roedd ein hymchwiliad hefyd yn seiliedig ar y dangosyddion cadarnhaol ar gyfer 'cynnwys' a bennwyd gennym ac a ddefnyddiwyd fel rhan o'r ymchwiliad hwn.⁶

Beth y gwnaethom ei ganfod

- 37 Pennwyd y cryfderau a ganlyn:
- Mae prosiect y Cyngor i ddarparu Wi-Fi am ddim yn ei alluogi i ymgysylltu â grŵp ehangach o ddinasyddion i helpu i siapio penderfyniadau o amgylch canol y ddinas
 - Mae'r Cyngor wedi ceisio ymgysylltu'n effeithiol â dinasyddion sy'n anodd eu cyrraedd a'u cynnwys mewn rhai prosiectau penodol yng nghanol y ddinas
 - Dylai'r Hybiau Cymdogaeth arfaethedig roi mwy o gyfle i'r Cyngor gynnwys pobl ar lefel y gymuned.
- 38 Pennwyd y meysydd a ganlyn i'w datblygu:
- Mae'r Cyngor wedi cydnabod bod angen iddo fod yn fwy soffistigedig o ran y ffordd y mae'n cofnodi gweithgareddau ymgysylltu ac yn sicrhau bod y dinasyddion sy'n rhan ohonynt yn cynrychioli amrywiaeth Casnewydd. Er enghraifft, mae'r gwaith o ddiweddarau'r Strategaeth Twf Economaidd a'r is-uwchgynlluniau yn gyfle i hwyluso gallu dinasyddion a rhanddeiliaid i ddylanwadu ar y dogfennau hynny.

⁶ Gweler Atodiad 1

Rhan Dau: Ymateb y Cyngor

- 39 Ar ôl i'n gwaith maes ddod i ben, cyflwynwyd ein canfyddiadau i'r Cyngor mewn gweithdy ar 20 Chwefror 2019. Roedd y Pennaeth Adfywio, Buddsoddi a Thai, y Partner Busnes Perfformiad ac Ymchwil, yr Uwch-swyddog Polisi a Pherfformiad a'r Rheolwr Datblygu ac Adfywio yn bresennol. Yn y gweithdy hwn, dechreuodd y Cyngor ystyried ei ymateb i'n canfyddiadau ac, o ganlyniad i'r trafodaethau a gafwyd yn y gweithdy ac ar ôl ystyried ein canfyddiadau ymhellach, mae'r Cyngor wedi llunio'r camau gweithredu a ganlyn.

Ymateb y Cyngor

Maes i'w ddatblygu	Ymateb y rheolwyr	Tîm / Swyddog Cyfrifol	Dyddiad cyflawni y cytunwyd arno
Hirdymor – Gwneud gwell defnydd o ddata o ffynonellau eraill tu allan i'r rhanbarth fel sail i'w ddull mwy hirdymor o weithredu, fel defnyddio gwybodaeth y Ganolfan Dinasoedd a dadansoddiad Cenhedlaeth 2050 Cymdeithas Llywodraeth Leol Cymru (CLILC).	<p>Datblygu Hwb Gwybodaeth Casnewydd a mynd ati i weithio ar sail tystiolaeth yw un o ymrwymadau'r Cynllun Corfforaethol.</p> <p>Yn ddiweddar, cynhaliodd y Cyngor weithdy Dyfodol Gwent gyda rhanddeiliaid allweddol, gan ganolbwyntio ar y sefyllfaoedd hirdymor (dros 25 mlynedd) ar gyfer Casnewydd. Caiff adroddiad ei gyhoeddi a'i rannu yn 2019-20.</p> <p>Bydd y Tîm Adfywio, Buddsoddi a Thai hefyd yn adolygu dadansoddiad 2050 CLILC ac yn ystyried ei ganfyddiadau pan fydd yn drafftio fersiwn derfynol y Strategeth Twf Economaidd nesaf.</p>	<p>Y Pennaeth Pobl a Newid Busnes</p> <p>Polisi, Partneriaeth a Chynnwys</p> <p>Adfywio, Buddsoddi a Thai</p>	30 Mehefin 2019

Maes i'w ddatblygu	Ymateb y rheolwyr	Tîm / Swyddog Cyfrifol	Dyddiad cyflawni y cytunwyd arno
Hirdymor – Wrth i effeithiau'r prif risgiau ar y cam ddod yn fwy eglur, eu rheoli mewn ffordd sy'n rhoi ystyriaeth ddigonol i'r tymor hirach.	Bydd Adroddiad Dyfodol Gwent yn amlinellu sefyllfaoedd a risgiau ar gyfer Casnewydd, a'r effeithiau arni, dros y 25 mlynedd nesaf, a bydd y canfyddiadau'n cael eu cyhoeddi a'u rhannu ar draws y sefydliad.	Polisi, Partneriaeth a Chynnwys	30 Mehefin 2019
	Mae'r Cyngor hefyd yn cynnal adolygiad risg corfforaethol, a bydd y Tîm Rheoli Corfforaethol yn cynnal gweithdy risg i adolygu ac i bennu prif risgiau corfforaethol yr Awdurdod. Bydd yr adolygiad hwn yn ystyried y pum ffordd o weithio ac effeithiau'r risgiau yn y tymor byr a'r tymor hir.	Polisi, Partneriaeth a Chynnwys	31 Rhagfyr 2019
Hirdymor – Sicrhau ei fod yn bwrw golwg dros gyfnod hirach pan fydd yn diweddarau'r Strategaeth Twf Economaidd a'r is-uwchgynlluniau/cynlluniau cyflawni ar gyfer canol y ddinas.	Wrth fynd ati i ddiweddarau'r Strategaeth Twf Economaidd, byddwn yn pwyso a mesur yr effeithiau dros y tymor hirach (20-25 mlynedd), a byddwn yn ystyried yr effeithiau hyn fel rhan o'r cynlluniau a'r dogfennau strategol.	Adfywio, Buddsoddi a Thai	Parhaus
Integreiddio – Ceir rhywfaint o gamddealltwriaeth o hyd ynghylch ystyr gywir 'integreiddio' fel y'i diffinnir yn y Ddeddf. Mae angen i'r Cyngor sicrhau ei fod yn mabwysiadu	Ar ôl cwblhau Pecyn Cymorth Cenedlaethau'r Dyfodol, mae angen mynd ati'n barhaus i ymgorffori'r egwyddorion (gan gynnwys integreiddio).	Polisi, Partneriaeth a Chynnwys	Parhaus

Maes i'w ddatblygu	Ymateb y rheolwyr	Tîm / Swyddog Cyfrifol	Dyddiad cyflawni y cytunwyd arno
dealltwriaeth gyffredin o'r pum ffordd o weithio.	Ar hyd a lled y Cyngor, defnyddir dulliau gwahanol i sicrhau bod negeseuon cyson yn cael eu rhannu. Ymhlith yr enghreifftiau mae'r prosesau democrataidd a'r prosesau penderfynu, a'r dulliau cynllunio, monitro ac adrodd a ddefnyddir gan y gwasanaethau ac yn gorfforaethol.		
Integreiddio – Er bod y gyfres gyfredol o gynlluniau a strategaethau'n mynegi'r uchelgais ar gyfer canol y ddinas, lluniwyd nifer ohonynt cyn i'r Ddeddf ddod i rym. Felly, pan fyddant yn cael eu diweddarau, bydd cyfle iddynt fod yn fwy cydnaws â'r Ddeddf a dangos yn glir sut y bydd y gwaith o adfywio canol y ddinas yn cefnogi'r camau i gyflawni amcanion a nodau llesiant y Cyngor.	Wrth fynd ati i ddiweddarau'r Strategaeth Twf Economaidd, byddwn yn sicrhau bod Deddf Cenedlaethau'r Dyfodol, y Cynllun Llesiant a'r Cynllun Corfforaethol yn cael eu hymgorffori a'u halinio.	Adfywio, Buddsoddi a Thai	Cychwyn yn 2019-20
Cynnwys – Mae'r Cyngor wedi cydnabod bod angen iddo fod yn fwy soffistigedig o ran y ffordd y mae'n cofnodi gweithgareddau ymgysylltu ac yn sicrhau bod y dinasyddion sy'n rhan ohonynt yn cynrychioli amrywiaeth Casnewydd. Er enghraifft, mae'r gwaith o ddiweddarau'r Strategaeth Twf Economaidd a'r is-uwchgynlluniau yn gyfle i hwyluso gallu	Fel y mae Swyddfa Archwilio Cymru wedi cydnabod, mae'r Cyngor yn barod i ystyried gwahanol ddulliau o ymgysylltu â'i randdeiliaid. Drwy ddatblygu'r Hybiau Cymdogaeth, Wi-Fi ar fysiau a chyfryngau eraill ee Panel Dinasyddion, Facebook, Twitter, byddwn yn ceisio eu defnyddio i ymgysylltu â'r rhanddeiliaid	Adfywio, Buddsoddi a Thai	30 Mehefin 2019

Maes i'w ddatblygu	Ymateb y rheolwyr	Tîm / Swyddog Cyfrifol	Dyddiad cyflawni y cytunwyd arno
dinasyddion a rhanddeiliaid i ddylanwadu ar y dogfennau hynny.	<p>ynghylch diweddaru'r Strategaeth Twf Economaidd a'r Uwchgynllun.</p> <p>Mae'r Cyngor yn mynd ati'n barhaus i adolygu'r ffordd y gallwn gynnwys rhanddeiliaid mor gynnar â phosibl mewn prosesau penderfynu.</p>	Polisi, Partneriaeth a Chynnwys	Parhaus
Cydweithio – Mae'r Cyngor wedi cydnabod bod bob amser mwy i'w wneud i gael y gorau o holl fuddion cydweithio effeithiol.	Mae'r Cyngor bob amser yn ceisio datblygu a chryfhau ei rwydwaith cydweithredol ar draws Gwent, Cymru a thu hwnt. Drwy ein hymwneud â sefydliadau drwy'r Bwrdd Gwasanaethau Cyhoeddus, G10, Rhwydwaith Economaidd Chasnewydd a grwpiau eraill, rydym bob amser yn adolygu sut y gallwn ddarparu gwasanaethau i'n defnyddwyr yn effeithlon ac yn effeithiol.	Y Tîm Rheoli Corfforaethol	Parhaus

Maes i'w ddatblygu	Ymateb y rheolwyr	Tîm / Swyddog Cyfrifol	Dyddiad cyflawni y cytunwyd arno
Cydweithio – Gan adeiladu ar y berthynas gydweithredol dda â phartneriaid a rhanddeiliaid, mae cyfle i ystyried sut y gellir galluogi partneriaid allweddol i ddylanwadu ar y Strategaeth Twf Economaidd a'r is-uwchgynlluniau a gaiff eu diweddarau maes o law i sicrhau aliniad strategol hirdymor o ran y weledigaeth i adfywio canol y ddinas.	Byddwn yn cyflwyno'r Strategaeth Twf Economaidd ddrafft i'r Bwrdd Gwasanaethau Cyhoeddus ac i Rwydwaith Economaidd Casnewydd. Byddwn yn ceisio sicrhau bod y Strategaeth yn cael ei chymeradwyo, a byddwn yn rhannu adroddiadau monitro rheolaidd â'r grwpiau hyn.	Adfywio, Buddsoddi a Thai	31 Rhagfyr 2019
Atal – Mae'r Strategaeth Twf Economaidd gyfredol ac amcan llesiant y Cyngor yn nodi bod gwella/amddiffyn yr amgylchedd yn nod pwysig, ac mae cyfle i'r is-uwchgynlluniau ddarparu gweledigaeth amgylcheddol hirdymor gliriach, a mynegi'r buddion ataliol a gyflawnir yng nghyd-destun adfywio canol y ddinas.	Byddwn yn ymgorffori'r nod o wella ac amddiffyn yr amgylchedd yn y Strategaeth Twf Economaidd ac mewn cynlluniau ategol eraill.	Adfywio, Buddsoddi a Thai	31 Rhagfyr 2019
Atal – Mae cyfle i alinio'r ymyriadau ataliol pwysig yng Nghynllun Llesiant cyfredol y Bwrdd Gwasanaethau Cyhoeddus o ran mannau gwyrdd a theithio cynaliadwy o fewn y gwaith arfaethedig i ddiweddarau'r Strategaeth Twf Economaidd yn 2019.	Cydnabyddir bod cyfle i gynnwys y Strategaeth Twf Economaidd ym Myrddau Ymyrryd y Bwrdd Gwasanaethau Cyhoeddus. Bydd hyn yn galluogi'r Cyngor i gyflawni gwaith mwy integredig i sicrhau bod yr effeithiau a'r weledigaeth amgylcheddol yn cael eu hystyried.	Polisi, Partneriaeth a Chynnwys Adfywio, Buddsoddi a Thai	31 Rhagfyr 2019

Atodiad 1

Dangosyddion cadarnhaol o'r pum ffordd o weithio

Mae'r tabl isod yn amlinellu'r 'dangosyddion cadarnhaol' a bennwyd gennym ar gyfer pob un o'r pum ffordd o weithio. Fe'u defnyddir i helpu i osod sail ar gyfer ein hasesiadau o'r graddau y mae'r cyrff yn rhoi'r egwyddor datblygu cynaliadwy ar waith. Nid ydym yn bwriadu defnyddio'r dangosyddion fel 'rhestr wirio'. Dylid eu hystyried fel 'dangosyddion' a fydd yn ein helpu i ddod i gasgliadau, yn hytrach na 'phenderfynyddion' o'r graddau y mae corff yn gweithredu yn unol â'r egwyddor datblygu cynaliadwy wrth iddo fynd ati i gyflawni ei amcanion llesiant.

Arddangosyn 2: Dangosyddion cadarnhaol o'r pum ffordd o weithio

Beth fydd yn dangos bod corff yn rhoi'r ffordd hirdymor o weithio ar waith yn llwyr?

- Ceir dealltwriaeth glir o ystyr 'hirdymor' yng nghyd-destun y Ddeddf.
- Mae wedi cynllunio'r cam i gyflawni'r amcan(ion) llesiant ac i gyfrannu at ei weledigaeth hirdymor.
- Mae wedi cynllunio'r cam i gyflawni buddion tymor byr neu ganolig sy'n gytbwys â'r effaith dros y tymor hir (yng nghyd-destun y prosiect).
- Mae wedi cynllunio'r cam ar sail dealltwriaeth soffistigedig o'r angen a'r pwysau yn awr ac yn y dyfodol, gan gynnwys dadansoddiad o'r tueddiadau yn y dyfodol.
- O ganlyniad i hyn, ceir dealltwriaeth gynhwysfawr o'r risgiau a'r cyfleoedd yn awr ac yn y dyfodol.
- Mae wedi dyrannu adnoddau i sicrhau bod buddion hirdymor yn ogystal â buddion byrdymor yn cael eu cyflawni.
- Mae'n canolbwyntio ar gyflawni canlyniadau, gan bennu cerrig milltir/camau cynnydd, a bydd yn cyflawni canlyniadau dros y tymor hir.
- Mae'n agored i ffyrdd newydd o wneud pethau a allai helpu i gyflawni buddion dros y tymor hirach.
- Mae'n rhoi gwerth ar wybodaeth ac yn defnyddio dulliau sy'n seiliedig ar dystiolaeth.

Beth fydd yn dangos bod corff yn rhoi'r ffordd ataliol o weithio ar waith yn llwyr?

- Mae'r corff yn ceisio deall yr hyn sydd wrth wraidd problemau er mwyn mynd i'r afael â chylchoedd negyddol a heriau sy'n pontio'r cenedlaethau.
- Mae'r corff yn ystyried heriau o safbwynt systemau cyfan, gan gydnabod y buddion hirdymor y gall eu cyflawni ar gyfer pobl a lleoedd, a rhoi gwerth ar y buddion hynny.
- Mae'r corff yn dyrannu adnoddau ar gyfer camau ataliol sy'n debygol o gyfrannu at ganlyniadau gwell ac at ddefnyddio adnoddau'n well dros y tymor hirach, hyd yn oed os gall hyn gyfyngu ar ei allu i ddiwallu rhai anghenion yn y tymor byr.
- Ceir trefniadau penderfynu ac atebolrwydd sy'n cydnabod gwerth camau ataliol ac yn derbyn gostyngiadau o ran perfformiad ac adnoddau yn y tymor byr er mwyn cyflawni gwelliannau disgwylidig o ran canlyniadau a defnyddio adnoddau.

Beth fydd yn dangos bod corff yn defnyddio dull 'integredig'?

- Mae unigolion ar bob lefel yn deall eu cyfraniad at gyflawni'r weledigaeth a'r amcanion llesiant.
- Mae unigolion ar bob lefel yn deall yr hyn y mae gwahanol rannau o'r sefydliad yn ei wneud ac yn mynd ati i chwilio am gyfleoedd i weithio ar draws ffiniau sefydliadol. Maent hefyd yn gwneud hyn pan fyddant yn gweithio gyda chyrff cyhoeddus eraill.
- Mae unigolion ar bob lefel yn cydnabod y dibyniaethau ar draws y sefydliad o ran cyflawni'r uchelgais a'r amcanion.
- Ceir diwylliant agored lle mae gwybodaeth yn cael ei rhannu.
- Ceir dealltwriaeth ddatblygedig o'r ffordd y mae'r amcanion llesiant, a'r camau i'w cyflawni, yn effeithio ar gyrff eraill yn y sector cyhoeddus.
- Mae unigolion yn gweithio'n rhagweithiol ar draws ffiniau sefydliadol i gyfrannu cymaint â phosibl ar draws y nodau llesiant ac i leihau'r effeithiau negyddol.
- Mae strwythurau, prosesau a threfniadau llywodraethu, yn ogystal ag ymddygiadau, yn ategu hyn.

Beth fydd yn dangos bod corff yn cydweithio'n effeithiol?

- Mae'r corff yn canolbwyntio ar leoedd, cymunedau a chanlyniadau yn hytrach na ffiniau sefydliadol.
- Mae gan y corff ddealltwriaeth dda o amcanion partneriaid a'u cyfrifoldebau, ac mae hyn yn helpu i hybu gweithgarwch cydweithredol.
- Ceir cysylltiadau cadarnhaol ac aeddfed rhwng y corff a rhanddeiliaid, ac mae gwybodaeth yn cael ei rhannu mewn ffordd agored a thryloyw.
- Mae'r corff yn cydnabod y cyfraniadau y gall pob partner eu gwneud, ac yn rhoi gwerth arnynt.
- Mae'r corff yn ceisio sefydlu prosesau a ffyrdd o weithio ar y cyd, lle bo'n briodol.

Beth fydd yn dangos bod corff yn cynnwys pobl yn effeithiol?

- Mae'n deall pwy y mae angen iddo eu cynnwys, a pham.
- Mae'n adfyfrio ar y graddau y deallir anghenion y bobl hynny a'r heriau y maent yn eu hwynebu ar hyn o bryd.
- Mae'n gweithio mewn ffordd gydgynghyrchiol, gan gydweithio â rhanddeiliaid i gynllunio ac i gyflawni.
- Mae'n tybio bod barn rhanddeiliaid yn ffynhonnell wybodaeth hollbwysig a fydd yn helpu i gyflawni canlyniadau gwell.
- Mae'n sicrhau bod yr holl rhanddeiliaid amrywiol yn cael eu cynrychioli a bod modd iddynt gymryd rhan.
- Ceir cysylltiadau aeddfed sy'n seiliedig ar ymddiriedaeth rhwng y corff a rhanddeiliaid, gyda deialog barhaus a gwybodaeth yn cael ei rhannu mewn ffordd agored a thryloyw.
- Mae'n sicrhau bod rhanddeiliaid yn deall effaith eu cyfraniad.
- Mae'n gofyn am adborth gan rhanddeiliaid allweddol, ac fe'i defnyddir i'w helpu i ddysgu ac i wella.

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Well-being of Future Generations: An examination of the step of 'Maintain our focus on regenerating the city centre to become one of the UK's top cities' – **Newport City Council**

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This document is also available in Welsh.

The team who delivered the work comprised Ian Phillips, Jeff Brown and Non Jenkins under the direction of Huw Rees.

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However the Council recognises that there is more to do for it to consistently embed all of the five ways of working across its ambitions for the regeneration of the city centre.

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Summary report

Summary

Why we undertook the Examination

- 1 In accordance with the Wellbeing of Future Generations (Wales) Act 2015 (the Act) the Auditor General for Wales (the Auditor General) is statutorily required to examine public bodies to assess the extent to which they have acted in accordance with the sustainable development principle when:
 - a. setting their wellbeing objectives; and
 - b. taking steps to meet them.

The Act defines the sustainable development principle as acting in a manner: '...which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs'

- 2 The Auditor General must provide a report on his examinations to the National Assembly for Wales at least a year before each Assembly election. The first such report must be published by 2020, before the 2021 Assembly election.
- 3 During 2018-19 the Auditor General is undertaking examinations across the 44 bodies covered by the Act to inform his report to the National Assembly.
- 4 In May 2018, the Auditor General published his report, 'Reflecting on Year One – How have public bodies responded to the Well-being of Future Generations Act (2015)'. He concluded that public bodies support the principles of the Act and are taking steps to change how they work.
- 5 In developing our approach to undertaking the examinations during 2018-19, we engaged with a range of stakeholders including through our pilot work during 2017-18. We also worked closely with the Future Generations Commissioner.
- 6 As the preliminary work in year one included a consideration of how public bodies had set their Well-being Objectives, the principal focus of this work is the way in which public bodies are taking steps to meet their wellbeing objectives.
- 7 The findings in this report are based on fieldwork that we undertook during the period October to December 2018. We ran an initial fieldwork scoping workshop in October 2018 with Council officers and explored how each of the five ways of working had been applied.
- 8 We then reviewed key documents and undertook interviews with Council officers, officials from partner organisations and elected members.
- 9 This report sets out our findings from our examination of the step of 'Maintain our focus on regenerating the city centre to become one of the UK's top cities', a step the Council is taking to meet its Wellbeing Objectives.
- 10 It also sets out the Council's initial response to our findings.

What we examined

- 11 We examined the extent to which the Council is acting in accordance with the sustainable development principle in taking the step of 'Maintain our focus on regenerating the city centre to become one of the UK's top cities'.
- 12 The step we examined sits under the Council's wellbeing objective of 'To promote economic growth and regeneration whilst protecting the environment'.
- 13 The Council has delivered a number of regeneration projects since gaining city status in 2002 and the Council has ongoing plans for regeneration. The Council's Corporate Plan sets out the commitment to redeveloping sites such as the Westgate, Chartist Tower and the Market, to implement strategies to proactively encourage businesses to come to the city, and to open up empty building and shops and facilitate the development of high grade offices.
- 14 There are various key strategies and plans which inform and underpin the step, including the Economic Growth Strategy 2015-25, the Newport City Centre Masterplan and the Local Development Plan.
- 15 The Masterplan has three main areas of focus: Northern Gateway, City Core and Riverside. The Council is planning sub-masterplans for each of these three areas.
- 16 In order to act in accordance with the sustainable development principle public bodies must take account of the following 'ways of working':

Exhibit 1: the 'five ways of working'

The table below sets out the 'five ways of working' as defined in the Welsh Government's 'Well-being of Future Generations (Wales) Act 2015 The Essentials'¹ document.

The Five Ways of Working
Long-term The importance of balancing short-term needs with the need to safeguard the ability to also meet long-term needs.
Prevention How acting to prevent problems occurring or getting worse may help public bodies meet their objectives.
Integration Considering how the public body's wellbeing objectives may impact upon each of the wellbeing goals, on their other objectives, or on the objectives of other public bodies.
Collaboration Acting in collaboration with any other person (or different parts of the body itself) that could help the body to meet its wellbeing objectives.

¹ Well-being of Future Generations (Wales) Act 2015 The Essentials, Welsh Government (2015)

The Five Ways of Working

Involvement

The importance of involving people with an interest in achieving the wellbeing goals, and ensuring that those people reflect the diversity of the area which the body serves.

- 17 Our examination found that: In taking steps to regenerate the city centre the Council has many positive examples of how it has taken account of the SDP.
- 18 However the Council recognises that there is more to do for it to consistently embed all of the five ways of working across its ambitions for the regeneration of the city centre.

Detailed report

Part One: Examination Findings

The Council has set out its vision for regenerating the city centre over a ten-year period. The Council's forthcoming update to its Economic Growth Strategy (EGS) presents an opportunity to extend the vision into the longer-term

What we looked for

- 19 We looked for evidence of:
- a thorough understanding of current and long-term needs and the associated challenges and opportunities;
 - planning over an appropriate timescale;
 - resources allocated to ensure long-term benefits; and
 - appropriate monitoring and review.
- 20 Our examination was also informed by the positive indicators for the 'long-term' that we have identified and used as part of this examination.²

What we found

- 21 We identified the following strengths:
- The Council makes good use of regional retrospective and long-term data to inform its decision making
 - The Council has a ten-year strategy which establishes its ambition for the regeneration of the city centre. The next refresh of this strategy is due in 2019 and presents an opportunity to articulate a longer-term vision
 - The Council is seeking to proactively mitigate some of the key risks that may impact on the regeneration of the City Centre, including Brexit and the removal of the M4 Tolls.
- 22 We identified the following areas for development:
- Make better use of data from other sources from outside of the region to inform its longer-term approach, such as using intelligence from the Centre for Cities and WLGA's Generations 2050 analysis
 - As the impacts of the key risks on the step become clearer, manage these in a way that adequately takes account of the longer-term
 - Ensure a longer-term view is taken when refreshing the EGS and the sub City Centre Master/delivery plans.

² See Appendix 1

The Council is using data to improve its understanding of prevention, however, the Council needs to be clearer about how its environmental aspirations for the city centre can deliver long-term benefits

What we looked for

- 23 We looked for evidence of:
- a thorough understanding of the nature and type of problem the step could help prevent from occurring or getting worse;
 - resources allocated to ensure preventative benefits will be delivered; and
 - monitoring and review of how effectively the step is preventing problems from occurring or getting worse.
- 24 Our examination was also informed by the positive indicators for 'prevention' that we have identified and used as part of this examination.³

What we found

- 25 We identified the following strengths:
- The Council has a number of positive examples of how it has used data effectively to inform its decisions to take preventative actions
 - Action has been taken to ensure that key iconic buildings are prevented from deteriorating and made fit for the future
 - Use of a community benefits toolkit to define and help deliver long-term preventative benefits within many of its city centre regeneration projects
 - Bold but managed risks taken to ensure its city centre regeneration strategy has been effectively resourced.
- 26 We identified the following areas for development:
- The current EGS and the Council's Well-being Objective identify environmental improvement/protection as a key aim, and there is an opportunity for the sub masterplans to provide a clearer long-term environmental vision, and articulate the preventative benefits to be delivered in the context of regenerating the city centre
 - There is an opportunity for key preventative interventions within the current Public Services Board (PSB) Well-Being Plan around green spaces and sustainable travel to be aligned within the proposed refresh of the next EGS in 2019.

³ See Appendix 1

The Council has made progress towards embedding an integrated approach to the step. However, this could be further improved by developing a consistent understanding of integration across the Council

What we looked for

- 27 We looked for evidence of consideration of:
- how this step could contribute to the seven national wellbeing goals;
 - how delivery of this step will impact on the Council's wellbeing objectives and wider priorities; and
 - how delivery of this step will impact on other public bodies' wellbeing objectives.
- 28 Our examination was also informed by the positive indicators for 'integration' that we have identified and used as part of this examination.⁴

What we found

- 29 We identified the following strengths:
- The approach to the city centre regeneration recognises that this can only be achieved with interventions that deal with the whole system (such as the environment, community safety, health and wellbeing) and not just focus on economic factors
 - Through the PSB and Newport Economic Network (NEN) the Council has enabled partners and stakeholders to consider how the step and its delivery will impact their own objectives
 - In designing and delivering this step the Council has taken some positive actions to consider how it might impact its other wellbeing objectives. This has resulted in different services which have an interest in the city centre working in a more joined up way.
- 30 We identified the following areas for development:
- There is still some misunderstanding as to the correct meaning of 'Integration', as defined by the Act. The Council needs to ensure it adopts a common understanding for the five ways of working
 - Whilst the current suite of plans and strategies articulate the ambition for the city centre, a number of these predate the Act. Therefore, as they are refreshed there is an opportunity for them to be more aligned and explicitly demonstrate how the regeneration of the city centre will support the delivery of the Council's wellbeing objectives and goals.

⁴ See Appendix 1

As the Council's collaborative forums evolve, it will be important for the Council to be clear on their respective roles in supporting its regeneration (city centre) ambition

What we looked for

- 31 We looked for evidence that the Council:
- has considered how it could work with others to deliver the step (to meet its wellbeing objectives, or assist another body to meet its wellbeing objectives);
 - is collaborating effectively to deliver the step; and
 - is monitoring and reviewing whether the collaboration is helping it or its stakeholders meet wellbeing objectives.
- 32 Our examination was also informed by the positive indicators for 'collaboration' that we have identified and used as part of this examination.⁵

What we found

- 33 We identified the following strengths:
- The Council has good collaborative relationships with many of the key partners and stakeholders involved in delivering this step
 - The Council has started to meet with the NEN. Whilst this group is fairly embryonic, in principle this has the opportunity of promoting and delivering a joined up and shared vision for the city centre.
- 34 We identified the following areas for development:
- The Council have recognised that there is always more to be done to ensure that the full benefits of effective collaboration are maximised
 - Building on the good collaborative relationships, there is an opportunity to consider how key partners can be enabled to influence the forthcoming refreshed EGS and the sub-masterplans to optimise long-term strategic alignment in terms of the vision for the regenerated city centre.

⁵ See Appendix 1

There are a number of good examples of involvement in this step, however, the Council recognises that it needs to build on its approaches to ensure that the full diversity of citizens and stakeholders can influence Council decision making

What we looked for

- 35 We looked for evidence that the Council has:
- identified who it needs to involve in designing and delivering the step;
 - effectively involved key stakeholders in designing and delivering the step;
 - used the results of involvement to shape the development and delivery of the step; and
 - sought to learn lessons and improve its approach to involvement.
- 36 Our examination was also informed by the positive indicators for 'involvement' that we have identified and used as part of this examination.⁶

What we found

- 37 We identified the following strengths:
- The Council's free WIFI project enables it to engage with a wider group of citizens to help shape decisions around the city centre
 - The Council has sought to effectively engage or involve hard to reach citizens in some of its specific city centre projects
 - The proposed Neighbourhood Hubs should provide more opportunity for the Council to involve people at the local community level.
- 38 We identified the following areas for development:
- Council has recognised it needs to be more sophisticated in how it captures engagement activity and ensures that the citizens involved fully represent the diversity of Newport. For example, there is an opportunity with the update of the EGS and sub-masterplans to facilitate the ability of citizens and stakeholders to influence those documents.

⁶ See Appendix 1

Part Two: Council's response

- 39 Following the conclusion of our fieldwork we presented our findings to the Council at a workshop on 20 February 2019 that was attended by the Head of Regeneration, Investment and Housing, the Performance and Research Business Partner, the Senior Policy and Performance Officer and the Development and Regeneration Manager. At this workshop the Council began to consider its response to our findings and as a result of discussions at the workshop and further reflection on our findings the Council has developed the following actions.

The Council's response

Area of Development	Management Response	Responsible Team / Officer	Agreed Date of Delivery
Long-Term – Make better use of data from other sources from outside of the region to inform its longer-term approach, such as using intelligence from the Centre for Cities and WLGA's Generations 2050 analysis.	Newport Intelligence Hub and the drive for evidence based working is a commitment of the Corporate Plan.	Head of People and Business Change	30 th June 2019
	The Council recently delivered the Gwent Futures workshop with key stakeholders focusing on the long-term scenarios (over 25 years) for Newport. A report will be published and shared in 2019-20.	Policy Partnership & Involvement.	
	The Regeneration Investment & Housing team will also review the WLGA 2050 analysis and will consider its findings when drafting the final version of the next iteration of the Economic Growth Strategy.	Regeneration Investment & Housing	

Area of Development	Management Response	Responsible Team / Officer	Agreed Date of Delivery
Long-Term – As the impacts of the key risks on the step become clearer, manage these in a way that adequately takes account of the longer-term.	The Gwent Futures Report will outline the scenarios, risks and impacts on Newport over the next 25 years and the findings will be published and shared across the organisation.	Policy Partnership & Involvement	30 th June 2019
	The Council is also undertaking a corporate wide risk review and the Corporate Management Team will be holding a risk workshop to review and identify the key corporate risks in the Authority. This review will consider the 5 ways of working and the short/long-term impacts of risk.	Policy Partnership & Involvement	31 st December 2019
Long-Term – Ensure a longer-term view is taken when refreshing the EGS and the sub City Centre Master/delivery plans.	As part of the Economic Growth Strategy (EGS) refresh we will examine the longer-term (20-25 years) impacts and will consider these as part of the Strategic plans and documents.	Regeneration Investment & Housing	Ongoing
Integration – There is still some misunderstanding as to the correct meaning of 'Integration', as defined by the Act. The Council needs to ensure it adopts a common understanding for the five ways of working.	<p>Following the completion of the Future Generations Toolkit, ongoing work is required to embed the principles (including integration).</p> <p>Throughout the Council different approaches are being undertaken to ensure consistent messages are being delivered. Examples</p>	Policy Partnership Involvement	Ongoing

Area of Development	Management Response	Responsible Team / Officer	Agreed Date of Delivery
	include the democratic and decision making processes; corporate/ service planning, monitoring and report.		
Integration – Whilst the current suite of plans and strategies articulate the ambition for the city centre, a number of these predate the Act. Therefore, as they are refreshed there is an opportunity for them to be more aligned and explicitly demonstrate how the regeneration of the city centre will support the delivery of the council's well-being objectives and goals.	As part of the EGS refresh we will ensure that the Future Generations Act, Well-being Plan and Corporate Plan are incorporated and aligned.	Regeneration Investment & Housing	To commence 2019-20
Involvement – The Council has recognised it needs to be more sophisticated in how it captures engagement activity and ensures that the citizens involved fully represent the diversity of Newport. For example, there is an opportunity with the update of the EGS and sub-masterplans to facilitate the ability of citizens and stakeholders to influence those documents.	As recognised by the Wales Audit Office the Council is open to look at different approaches to engage with its stakeholders. With the development of the Neighbourhood Hubs, Bus Wi-Fi and other media platforms eg Citizens Panel, Facebook, Twitter we will look to utilise these to engage with stakeholders for the EGS and Master Plan refresh.	Regeneration Involvement & Housing	30 th June 2019
	As an ongoing action the Council is reviewing how we can involve at stakeholders as early as possible in decision making processes.	Policy Partnership & Involvement	Ongoing

Area of Development	Management Response	Responsible Team / Officer	Agreed Date of Delivery
Collaboration – The Council have recognised that there is always more to be done to ensure that the full benefits of effective collaboration are maximised.	The Council is always looking to build and strengthen its collaborative network across Gwent, Wales and beyond. Through its involvement with organisations at the PSB, G10, Newport Economic Network et al we are always reviewing how services can be delivered efficiently and effectively to our users.	Corporate Management Team	Ongoing
Collaboration – Building on the good collaborative relationships, there is an opportunity to consider how key partners can be enabled to influence the forthcoming refreshed EGS and the sub-masterplans to optimise long-term strategic alignment in terms of the vision for the regenerated city centre.	We will be presenting the draft EGS to the Public Services Board and Newport Economic Network. We will be looking to ensure that the Strategy is endorsed and regular monitoring/reports will be shared with the groups.	Regeneration Investment & Housing	31 st December 2019
Prevention – The current EGS and the Council's Well-being objective identify environmental improvement/protection as a key aim, and there is an opportunity for the sub masterplans to provide a clearer long term environmental vision, and articulate the preventative benefits to be delivered in the context of regenerating the city centre.	We will incorporate the environmental improvement and protection into the EGS and other supporting plans.	Regeneration Investment & Housing	31 st December 2019

Area of Development	Management Response	Responsible Team / Officer	Agreed Date of Delivery
Prevention – There is an opportunity for key preventative interventions within the current PSB Well-being Plan around green spaces and sustainable travel to be aligned within the proposed refresh of the next EGS in 2019.	It is acknowledged that there is scope for the EGS to be incorporated into the PSB Intervention Boards. This will enable the Council to deliver more integrated work to ensure the environmental impacts and vision are considered.	Policy Partnership & Involvement Regeneration Investment & Housing	31 st December 2019

- 40 We will continue to monitor the Council's progress in implementing these actions, and the extent to which they address the issues we have identified in our findings.

Appendix 1

Positive Indicators of the Five Ways of Working

The table below sets out 'positive indicators' for each of the five ways of working that we have identified and will use to help inform our assessments of the extent to which bodies may be applying the SDP. We do not intend to use the indicators as a 'checklist'. They should be viewed as 'indicators' that will help us to form conclusions, rather than 'determinants' of the extent to which a body is acting in accordance with the SDP in taking steps to meet its wellbeing objectives.

Exhibit 2: Positive Indicators of the Five Ways of Working

What would show a body is fully applying the long-term way of working?
<ul style="list-style-type: none">• There is a clear understanding of what 'long-term' means in the context of the Act.• They have designed the step to deliver the wellbeing objective/s and contribute to their long-term vision• They have designed the step to deliver short or medium-term benefits, which are balanced with the impact over the long-term (within the project context).• They have designed the step based on a sophisticated understanding of current and future need and pressures, including analysis of future trends.• Consequently, there is a comprehensive understanding of current and future risks and opportunities.• Resources have been allocated to ensure long-term as well as short-term benefits are delivered.• There is a focus on delivering outcomes, with milestones/progression steps identified where outcomes will be delivered over the long-term.• They are open to new ways of doing things which could help deliver benefits over the longer-term.• They value intelligence and pursue evidence-based approaches.
What would show a body is fully applying the preventative way of working?
<ul style="list-style-type: none">• The body seeks to understand the root causes of problems so that negative cycles and intergenerational challenges can be tackled.• The body sees challenges from a system-wide perspective, recognising and valuing the long-term benefits that they can deliver for people and places.• The body allocates resources to preventative action that is likely to contribute to better outcomes and use of resources over the longer-term, even where this may limit the ability to meet some short-term needs.• There are decision-making and accountability arrangements that recognise the value of preventative action and accept short-term reductions in performance and resources in the pursuit of anticipated improvements in outcomes and use of resources.

What would show a body is taking an 'integrated' approach?

- Individuals at all levels understand their contribution to the delivery of the vision and wellbeing objectives.
- Individuals at all levels understand what different parts of the organisation do and proactively seek opportunities to work across organisational boundaries. This is replicated in their work with other public bodies.
- Individuals at all levels recognise the cross-organisation dependencies of achieving the ambition and objectives.
- There is an open culture where information is shared.
- There is a well-developed understanding of how the wellbeing objectives and steps to meet them impact on other public sector bodies.
- Individuals proactively work across organisational boundaries to maximise their contribution across the wellbeing goals and minimise negative impacts.
- Governance, structures and processes support this, as do behaviours.

What would show a body is collaborating effectively?

- The body is focused on place, community and outcomes rather than organisational boundaries.
- The body has a good understanding of partners' objectives and their responsibilities, which helps to drive collaborative activity.
- The body has positive and mature relationships with stakeholders, where information is shared in an open and transparent way.
- The body recognises and values the contributions that all partners can make.
- The body seeks to establish shared processes and ways of working, where appropriate.

What would show a body is involving people effectively?

- Having an understanding of who needs to be involved and why.
- Reflecting on how well the needs and challenges facing those people are currently understood.
- Working co-productively, working with stakeholders to design and deliver.
- Seeing the views of stakeholders as a vital source of information that will help deliver better outcomes.
- Ensuring that the full diversity of stakeholders is represented and they are able to take part.
- Having mature and trusting relationships with its stakeholders where there is ongoing dialogue and information is shared in an open and transparent way.
- Ensure stakeholders understand the impact of their contribution.
- Seek feedback from key stakeholders which is used to help learn and improve.

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Report

Select this text and type in name of meeting

Part 1

Date: 22 May 2019

Item No: 6

Subject Newport City Council Corporate Safeguarding Report

Purpose To, present the annual corporate safeguarding report, which monitors, scrutinises and objectively plans on the theme of “*safeguarding*” being fundamentally embedded within all aspects of council services, functions and duties.

Author Mary Ryan Head of Corporate Safeguarding Newport City Council

Ward All

Summary This is the second review of the annual corporate safeguarding report. The committee's recommendations made in February 2018 regarding the original report format are accepted and there has been a significant re-modelling of the report to present Scrutiny with a revised strategic and synthesised document.

Proposal For Cabinet to review the progress of the key priority work plans for both corporate safeguarding arrangements and safeguarding specific teams.

Action by 22 May 2019

Timetable Immediate

This report was prepared after consultation with:

- Strategic Director - People
- Head of People and Business Change
- Head of Finance
- Head of law and Regulation

Signed: Mary Ryan

Background

1.1 The Corporate Safeguarding Report was established in 2015 with the objective of reporting on Newport's performance based on the recommendations from The Wales Audit Office 'Review of Corporate Safeguarding Arrangements in Welsh Councils' (2014), in addition to bringing together all of the other safeguarding reporting strands across the council into one safeguarding reporting route. The Learning, Caring and Leisure Scrutiny Committee ratified the initial Corporate Safeguarding Annual

Report and Action Plan in April 2015. Since restructuring within the council this report has since presented to the Overview and Scrutiny Management Committee.

1.2 Newport City Council remains an active member of the “Gwent Safeguarding Board”. The Board an umbrella for both the South East Wales Safeguarding Children’s Board (SEWSCB) and Gwent Wide Adult Safeguarding Board (GWASB); working alongside both boards we also have strong partnership and embedding of the Violence Against Women, Domestic Abuse and Sexual Violence Partnership Board (VAWDASV).

1.4 Newport officers are involved at all levels of the work of the three boards. Heads of Children and Adult services are members on both Board’s, the safeguarding Head of Corporate Safeguarding chairs the Business Planning meeting for both boards and also chairs the local safeguarding network meetings in Newport. The local safeguarding network in Newport meets bi monthly and is open and attended to all partner agencies across Newport for Adults, Children and specialist services. The local safeguarding network also arranges two practitioner events per year, which is open to all practitioners in Newport. The events update practitioners on all local and regional developments such as learning/messages from Child/Adult practice reviews, new services and local developments, as well as introducing updated policies and/or procedures within safeguarding.

1.5 The annual report details the functions and duties of all Senior Officers in Newport Council, and responsibilities to embed safeguarding across the corporate landscape. The start of the ‘Safeguarding Champions’ roll out across all services commenced this year and will continue to be developed to ensure safeguarding is everybody’s business.

1.6 The report includes service area plans’ for those with requirements for safeguarding performance measures for Welsh Government. .

1.7 Scrutiny recommendation from April 2019 will be included for the next annual safeguarding report to scrutiny; ie Bench marking and a 3 years data analysis for service areas.

Financial Summary

- An action plan will be delivered within existing resources for each service area to address priorities such as the elearning packages with HR partners. Although each service are working to capacity the proposals within the action plan are not unrealistic, they are cost effective without the requirement for any of the work to be out-sourced and will ensure that we are compliant in terms of W.A.O recommendations.

Risks

The risks for Newport City Council are enshrined in legislation since the Social Services and Well-Being Act 2014 became statute. Welsh Government and Welsh Audit Office reporting requirements not to continue embedding safeguarding across the corporate landscape is a significant risk to the council.

The sustainability of embedding safeguarding across corporate services within Newport demonstrates a responsible positive and courageous council.

The embedding of safeguarding champions is across service areas and is proportionate to specific roles and responsibilities.

The report details the need for the Council to ensure and embed safe recruitment practices implemented and adhered to across the council. These are supported by updated HR policies and procedures. The introduction over the next year of a safeguarding elearning tool for all new employees will enable a data collection of staff trained.

Risk table

Risk	Impact of Risk if it occurs* (H/M/L)	Probability of risk occurring (H/M/L)	What is the Council doing or what has it done to avoid the risk or reduce its effect	Who is responsible for dealing with the risk?
Embed Safeguarding across the Corporate agenda as the report details.	Low	low	Safeguarding proposals and developments across the corporate landscape will continue to embed an enable staff to recognise, react and report as legislation requires. Produce safeguarding page on the intranet. Relaunch the 'safeguarding is everybody's business' campaign Introduce Safeguarding champions across corporate services	Mary Ryan in conjunction with all Service areas leads
Standstill the development of safeguarding within corporate services	High	High	Produce safeguarding page on the intranet. Relaunch the 'safeguarding is everybody's business' campaign Introduce Safeguarding champions across corporate services	Mary Ryan and safeguarding leads across corporate services
No further development of safeguarding across the Corporate agenda	High	High	Produce safeguarding page on the intranet. Relaunch the 'safeguarding is everybody's business' campaign Introduce Safeguarding champions across corporate services	Mary Ryan and safeguarding leads across corporate services

Links to Council Policies and Priorities

In this paragraph please set out how your proposals fit in with Council's priorities or plans.

Options Available and considered

1. To continue to develop corporate safeguarding practice within all services across the Council and embed all WAO recommendations to evidence a responsible and positive Council.
2. To halt the on-going development of safeguarding practice across the Council and for Social Services to be the one safeguarding measure within the Council.

Preferred Option and Why

1. **The preferred option, enable the Council to grow and ensure that safeguarding is 'everybody's business' and part of every Council service delivered to the citizens of Newport.**

Comments of Chief Financial Officer

The Cabinet and Safeguarding report confirms there are no cost implications as the identified action plans will be implemented from existing resources.

Comments of Monitoring Officer

There are no specific legal issues arising from the report. The Annual Corporate Safeguarding Report sets out how the Council is discharging its statutory safeguarding duties under the Social Services and Well-being (Wales) Act and how these corporate responsibilities have been embedded throughout the organisation, in relation to the delivery of all services and functions. The Annual Report sets out the progress made to date in delivering key actions and priorities, both in terms of corporate safeguarding and specific social care functions.

Comments of Head of People and Business Change

Effective safeguarding arrangements are a vital part of ensuring the safety and wellbeing of local people in the course of the Council's business. A corporate approach to safeguarding ensures clear and consistent procedures are in place so that council employees can recognise signs and dangers and will then act appropriately. The Corporate Safeguarding Report provides for openness and accountability and allows the Scrutiny Committee to have an overview role of Council safeguarding arrangements and activities. A Fairness and Equalities Impact Assessment has been completed, is attached to this report, and identifies equalities and wellbeing implications.

There are no direct human resources implications to this report.

Comments of Cabinet Member

Councillor Cockeram confirms the success of the safeguarding HUB pilot over the last year and continued development with Health partners will ensure a fully engaged partnership in the delivery of safeguarding practice in Newport.

Scrutiny Committees

The Committee wished to make the following comments to Cabinet.

1. The Committee noted that some of the information received such as the VAWDASV training data was out of date. It was recommended that in future annual safeguarding reports contained up to date information and deadlines related to actions in the individual team plans.
2. The committee requested that in future reports information is presented itself in a way that lends itself to scrutiny. For instance where possible using percentages instead of figures, and provide comparable data from previous years or other Local Authority data if available.

Equalities Impact Assessment and the Equalities Act 2010

The Equality Act 2010 contains a Public Sector Equality Duty which came into force on 06 April 2011.

Please see below



Wellbeing of Future Generations (Wales) Act 2015

- **Long term:** The annual safeguarding report evidences the Council's commitment to continually developing a corporate workforce that is knowledgeable and responsible regarding their duties as a Newport employee.
- **Prevention:** Considering the long- term outcomes for citizens of Newport, the prevention and early intervention services will assist in them determining support and what matters to them. Survivors s and their families and in particular any children and young people exposed to VAWDASV.
- **Integration:** The pilot safeguarding HUB within the Civic centre is evidence of integration with all public agencies in Newport across safeguarding practices and processes.
- **Collaboration:** The strong links Newport officers have with the Gwent Wide Safeguarding and VAWDASV Partnership Board's as well as the Public Service Board Newport (Domestic Homicide Reviews) ensures that safeguarding practice and process are included in all strategic themes of the Council's work.
- **Involvement:** The voice of the child, adult and families that Newport City Council work with in enshrined in legislation and a requirement for practice. All safeguarding practice involves and records not only the citizen's wishes and feelings but all partner agencies involved in the process.

Crime and Disorder Act 1998

Section 17(1) of the Crime and Disorder Act 1998 imposes a duty on the Local Authority to exercise its various functions with due regard to the likely effect of the exercise of those functions on, and the need to do all that it reasonably can to prevent, crime and disorder in its area.

Consultation

Comments received from wider consultation, including comments from elected members, are detailed in each application report in the attached schedule.

Background Papers

Dated: 01/05/2019

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Submission of Evidence to Scrutiny

Authors: Safeguarding Service Manager
Consultant Social Worker- Safeguarding
Date: March 2019

Corporate Safeguarding (2018- 2019)

- Purpose**
- To, present the annual corporate safeguarding report, which monitors, scrutinises and objectively plans on the theme of “*safeguarding*” being fundamentally embedded within all aspects of council services, functions and duties.
- To provide Scrutiny with essential and key information to allow effective scrutiny of the Councils’ safeguarding practice.
- To update Cabinet members on the work undertaken to improve arrangements for safeguarding and protecting children and adults who require specific Council services and to ensure that these arrangements are effective.
- The Committee is requested to scrutinise and review the progress of key priority work plans for both Corporate Safeguarding arrangements and the safeguarding specific teams.
- Summary**
- This is the second review of the annual corporate safeguarding report. The committee’s recommendations made in February 2018 regarding the original report format has been accepted and there has been a significant re-modelling of the report to present Scrutiny with a revised strategic and synthesised document.
- Proposal**
- To, scrutinise and review the progress of the key priority work plans for both corporate safeguarding arrangements and the safeguarding specific teams.

1. Background

1.1 The Corporate Safeguarding Report was established in 2015 with the objective of reporting on Newport's performance based on the recommendations from The Wales Audit Office 'Review of Corporate Safeguarding Arrangements in Welsh Councils' (2014), in addition to bringing together all of the other safeguarding reporting strands across the council into one safeguarding reporting route. The Learning, Caring and Leisure Scrutiny Committee ratified the initial Corporate Safeguarding Annual Report and Action Plan in April 2015, since restructuring within the Council this report has since been reviewed by the Overview and Scrutiny Management Committee.

1.2 When the Corporate Safeguarding Report was presented in February 2018 the scrutiny committee requested that the detail on providing members with "safeguarding" as a topic was reduced and future reports were formatted to present only strategic and performance based updates for members to scrutinise and review, therefore, the structure of this report is amended to accept these requests.

1.3 Newport City Council remains an active member of the "Gwent Safeguarding Boards", which is an amalgamation of the two specific regional boards, the South East Wales Safeguarding Children's Board (SEWSCB) and Gwent Wide Adult Safeguarding Board (GWASB); working alongside both boards we also have strong partnership and embedding of the Violence Against Women, Domestic Abuse and Sexual Violence Partnership Board (VAWDASVB).

1.4 Newport officers are involved at all levels of the work of the three boards. Heads of Children and Adult services are members at both Board's, the safeguarding service manager for Newport chairs the Business Planning meeting for both boards and also chairs the local safeguarding network meetings in Newport. The local safeguarding network in Newport meets bi monthly and is well attended from all partner agencies across Newport for Adults, Children and specialist services. The local safeguarding network also arranges 2 practitioner events per year, which is open to all practitioners in Newport. The events update practitioners on all local and regional developments such as learning/messages from Child/Adult practice reviews, new services and local developments, as well as introducing updated policies and/or procedures.

1.5 Data for Welsh Government key performance measures for children and adults. (these P.I's are currently under review with WG)

% of adult protection enquiries completed within statutory timescales	97.9% (YTD)	Newport have performed well in respect of the response to the "Duty to report an adult at risk", as 97.9% of all referrals received have a decision made within the statutory timescale of 7 working days in respect of the potential adult at risk. The WG target being 90% and the Welsh average currently recorded as 85.2%.
% of re-registrations of children on local authority child protection register	1.9% (YTD)	<p>The percentage of re-registrations of children on Newport's Child Protection Register within the year in this period is currently 1.9%, the target is 10% and the Welsh average is 5.4%.</p> <p>The actual number of re-registrations in this period has been very low, illustrating good safeguarding practice and that Newport is performing well in terms of achieving the identified outcomes/ needs of safeguarding plans for children.</p>
Average length of time for all children on the child protection register during year	233.3 (YTD)	We are performing well in terms of this indicator, which illustrates that children identified "at risk" are being supported appropriately, statutory intervention and timetabling is taking place in a timely way and when statutory intervention is no longer required this is respected and the right service/ support is provided to the child/ family. The target is 260 days, the Welsh average is 248.9.

Qualitative data:

Survey data responding to "People reporting that they feel safe"
 As the survey window is currently still active (1st September- 31st March 2019) there are no survey findings which can be presented currently.

1.6 Internal Audit Report “Safeguarding Audit Review 2017/2018” findings:-

In May 2018 an internal audit for safeguarding based on the recommendations of the WAO report was conducted, the audit opinion was graded as ‘Reasonable’ “where the assurance rating reflects that the systems reviewed are adequately controlled although some risks were identified which may compromise the overall control environment (although no critical risks were identified)”. Of the weaknesses identified an approved action plan in relation to these recommendations has been completed and has already addressed/ actioned a number of the weaknesses (i.e. reviewing the policies so they are up to date), the other identified areas have been linked to the MI Hub performance requirements or are specific actions for the Safeguarding Service Manager to complete with an agreed timescale.

1.7 Scrutiny Recommendations 2017/18

Scrutiny requested a detailed report of the full functions of all Newport Senior Officers in relation to Safeguarding.

Functions of Key Officers in Newport City Council Safeguarding:

Chief Executive Officer - Fulfilled by: Will Godfrey

The Chief Executive Officer has the overall responsibility for ensuring that there are effective safeguarding arrangements for children and adults and that these are in place. This will include suitable policies, practices and procedures and that they are implemented appropriately.

The Chief Executive Officer is also responsible for ensuring effective corporate governance arrangements and that all statutory requirements are being met.

Statutory Director of Social Services - Fulfilled by Strategic Director (People): James Harris

The Statutory Director has the final and indivisible responsibility for safeguarding issues and is responsible for ensuring that the Council has appropriate safeguarding measures to protect children, young people and adults at risk. The Statutory Director is also responsible for reporting at a National level via the Directors report for Social Services to Welsh Government and at the corporate level to Elected Members on the effectiveness of safeguarding arrangements.

The Statutory Director is responsible for the following:

- Overseeing the process of implementing, monitoring and improving the safeguarding and protection procedures for children, young people and adults at risk.
- Ensuring that there are robust reporting arrangements and processes for safeguarding children and adults at risk and to report at corporate level and to Elected Members;
- Ensuring that there are effective links to the South East Wales Safeguarding Children's Board (SEWSCB) and to the Gwent Wide Adults Safeguarding Board (GWASB)
- Raising the profile of safeguarding, supporting and ensuring that the Council complies fully with the Policy and Guidelines for Safeguarding children, young people and adults at risk;
- Promoting public awareness of issues relating to safeguarding children, young people and adults
- The effectiveness of safeguarding arrangements is reported in the Director of Social Services Annual Report.

Lead Cabinet Member for Social Services - Fulfilled by Lead Member: Paul Cockeram

The Lead Cabinet Member for Social Services will support the Chief Executive Officer and Statutory Director in;

- Raising the profile of safeguarding, supporting and ensuring that the Council complies fully with the Policy and Guidelines for Safeguarding children, young people and adults at risk;
- Promoting public awareness of issues relating to safeguarding children, young people and adults;
- Ensuring that there are robust reporting arrangements, processes for safeguarding children and adults at risk and these are scrutinised at corporate level with Elected Members annually, and recommendations provided for the authority to note and take appropriate action through the scrutiny process.
- The Overview & Scrutiny Committee will receive an annual report in respect of compliance with the corporate safeguarding policy prior to the report being received at Cabinet.
- The Lead Member will work closely with, and take professional advice from, a range of Senior Officers within the Authority, as appropriate.

(All elected Members will be invited to attend training in respect of safeguarding children and adults at risk and additional safeguarding training needs, e.g. in relation to their portfolios will be addressed as part of ongoing training).

Heads of Service for Social Services - Fulfilled by: Sally Ann Jenkins (**Children and Young People Services**) and Chris Humphries (**Adult & Community Services**)

The Heads of Service for Social Services are responsible for ensuring the effective delivery of safeguarding arrangements for children and adults at risk. They will support the Statutory Director and Lead Cabinet Member in ensuring that the Council has effective safeguarding measures to protect children, young people and adults at risk in the reporting requirements at a National level via the Directors report to Welsh Government and at the corporate level to Elected Members. They will delegate specific safeguarding duties via their service managers and team managers.

Heads of service are also members at both regional safeguarding boards and are responsible for ensuring that safeguarding learning/ protocols/ requirements from the regional boards are implemented within local practice.

They are responsible for raising the profile of safeguarding, supporting the policies and procedures and ensuring that the Council complies fully with Guidelines for Safeguarding children, young people and adults at risk.

Ensuring work identified via the Corporate Safeguarding Action Plan has been addressed and when this has not occurred that appropriate measures have been taken.

Safeguarding Service Manager - Fulfilled by: Mary Ryan

The Safeguarding Service Manager is responsible for ensuring the effective delivery of safeguarding arrangements for children and adults at risk. The Service Manager will;

- Inform the Heads of Service and lead Member via the annual scrutiny process that the Council's procedures regarding safeguarding are robust and compliant;
- Develop and maintain the policy for safeguarding across all Council service areas;
- Consider and understand the implications of Child and Adult Practice Reviews and Domestic Homicide Reviews and translate these into the action plans of the safeguarding Action plans of the corporate safeguarding report and Directors Report for Social Services;
- Identify and consider information about trends in relation to safeguarding issues and to respond and challenge these through the on-going work plans of the corporate safeguarding action plans and service plans.
- Be responsible for completing the annual corporate safeguarding report for scrutiny and for ensuring that actions identified within the work plans are completed and reported upon.

“Safeguarding Champions” for each service area - Fulfilled by: See Register of Safeguarding Champions (appendices)

The role of the “Safeguarding Champion” is;

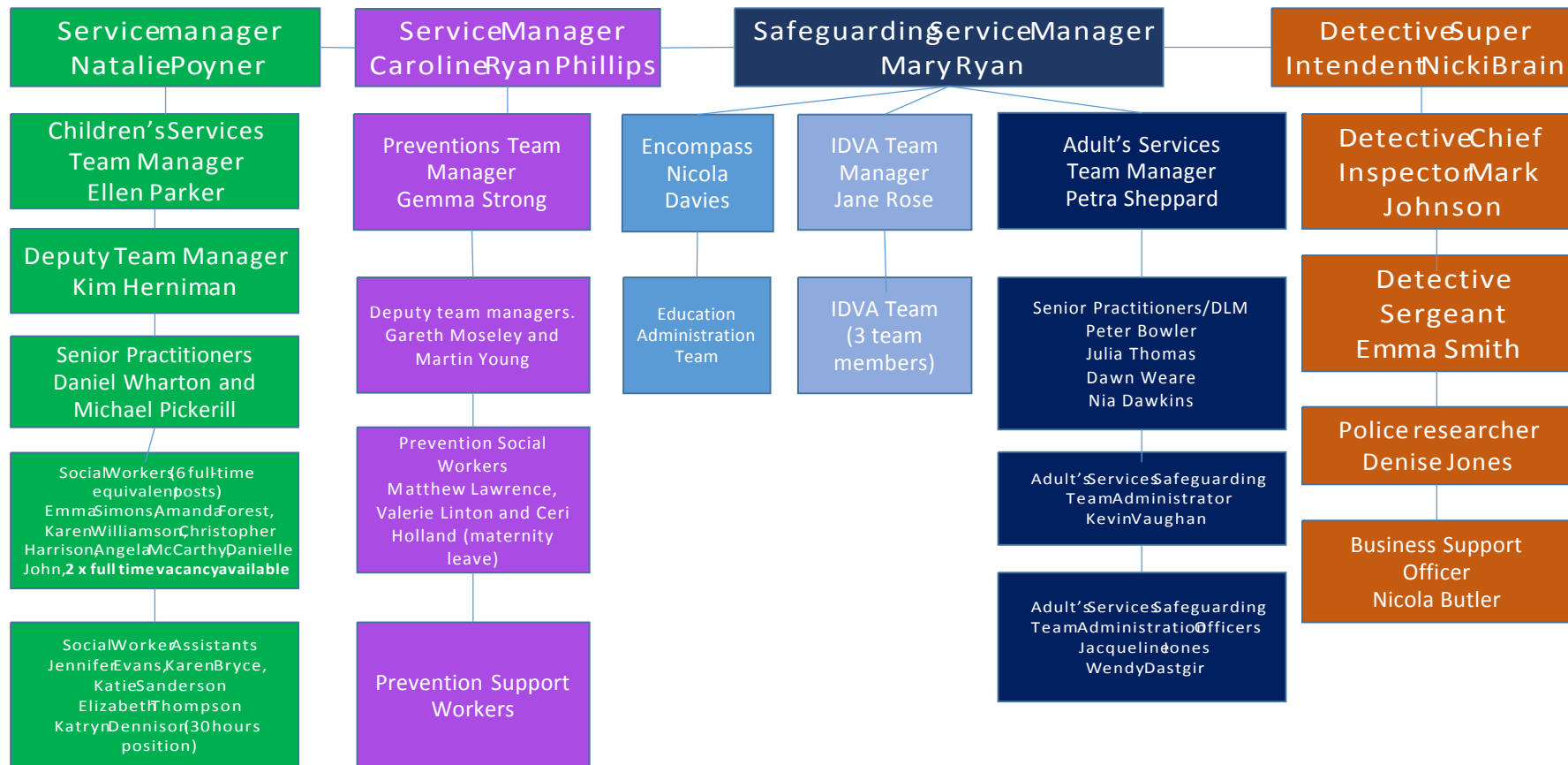
- To act as a resource and a point of contact for colleagues who require support and guidance with safeguarding issues. However, it is not the role of the Safeguarding Champion to be responsible for the submission of referrals on behalf of the service area.
- To cascade/disseminate safeguarding information received to colleagues within their teams.
- To maintain safeguarding as a standing agenda item at team meetings.
- To support staff in identifying those in need of protection and assist in their understanding of the action they need to take.
- To maintain an awareness of the Council’s policy and procedures in relation to Safeguarding including the referral processes to be followed internally within the organisation.
- To encourage colleagues to recognise and be aware of trends and themes within their area and communicate these as appropriate to line manager and safeguarding leads.
- To commit to attending the Bi-Annual Safeguarding Champions Forums (2 hour session).

2. Update on Newport Safeguarding Hub (Pilot)

2.1 Newport City Council recognises it is one partner in the multiagency approach to “Safeguarding” so it is vital that we work collaboratively with other agencies to achieve our safeguarding aims. The development and implementation of the Newport Safeguarding Hub (Pilot) affords greater collaborative working and planning to ensure effective safeguarding arrangements are in place to protect the citizens of Newport. preliminary findings suggest that there has been an improved way of working certainly between the statutory partner agencies of Police and Social Services in expediting decision making for duties to enquire (Children’s Services). Partner qualitative feedback has also indicated that there has been some improvement in information sharing which has led to better decision making/ improved outcomes which would therefore lead to an improved journey and outcome for the citizen.

2.2 Although key quantitative data from the HUB cannot be provided at this stage considering the data extrapolated from the Director’s Annual Report For Social Services relating to “Duty to Enquire” measures for Adults at Risk and based upon the qualitative feedback from partners thus far the benefits of such a resource are recognisable, however, it is noted from professionals operating within the hub that without an alternate approach from statutory partners in Health particularly, the current model of practice in the Hub pilot is limited and the longevity and usefulness of the collaborative way of working will not be delivered upon. Therefore, this needs to be kept in mind in relation to future

developments and planning. Safeguarding HUB partners and structure.



3. Communicating with public/ hard to reach/ vulnerable groups on the theme of “safeguarding”

3.1 Members concerns regarding the communication methods currently being used with the public predominantly focussing on web based platforms has been acknowledged, it is recognised that other methods of communication including Newport Matters; leaflets; and disseminating information via partner agencies are all avenues which must be considered and utilised as alternative methods to interact and engage with the

public, particularly harder to reach and vulnerable groups. Members are respectfully reminded that there are also legal requirements under the new legislation and regulations that as a Council we must provide certain information in web based formats and that often, this is the most accessible and easily developed form of communication.

3.2 Members are advised that the proposed key “safeguarding” information that the Council intends to communicate to the citizens of Newport in the forthcoming period is as follows;

(NB. A full equalities and needs impact assessment is yet to be completed and strategies for dissemination to be considered and agreed with communication and marketing department)

What are we going to communicate?	Who is our target audience?	How will we communicate?	When will we communicate it?
Are you Caring for somebody else's child?	Any Adult in the Newport population who may be caring for a child who is not their own child and is unaware that they may have a private fostering arrangement or other arrangement which the local authority should be aware of.	<p>Initial Advert in Newport Matters/ Newport Comms- Twitter; Facebook</p> <p>Consider leaflet/ flyer print out of initial advert to provide to GAVO; Flying Start & other Partner agencies for distribution (include at libraries/ leisure centres/ community centres) to direct to Newport Website for detailed information.</p> <p>Newport.gov website Develop with IT department:</p> <p>Caring for Somebody else's child" web pages- detailed information from private family arrangements- private fostering arrangements- special</p>	<p>Content development of pages and ratification of content by CSMT by end of quarter 1 (June 2019)</p> <p>Dissemination strategy to be agreed with Comms & Marketing department upon agreement of information content (June 2019)</p> <p>IT web page content development and launch by end of quarter 2 (September 2019)</p> <p><i>NB these are provisional dates only and are dependant and subject to the work planning of the Shared Resource Service (IT department). If SRS are unavailable to develop the web-page content then there may be</i></p>

		<p>guardianship orders- (NB. regulatory requirement for web-based information in relation to SGO to be provided)</p> <p>Secondary advert in Newport Matters/ external comms platforms to launch and make citizens aware of the new web based pages “Caring for somebody else’s child?”</p>	<p><i>a delay in the publication of this information as the work cannot be out sourced due to cost implications.</i></p>
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4. Collaboration with Education Achievement Service (EAS)

4.1 Members commented how the council could consider utilising collaborative working with EAS to promote safeguarding within schools and ways to encourage all governing bodies to have safeguarding as a standing item on their agendas. In response to this recommendation the Chief Executive Officer for Education and Safeguarding in Education Officer for Newport City Council wish to assure members of both Scrutiny and Cabinet that there are already effective collaborative working processes in place in terms of promoting “safeguarding” within schools, both locally and regionally. This is already effectively evidenced within the range of projects currently occurring within Newport, to name those which went live within this reporting period include the Encompass model and the commitment of Education services contribution to the Safeguarding HUB pilot. Members are also advised that annually the chief Executive Officer also provides a report to all governing bodies re-iterating the safeguarding responsibilities of all governing bodies and schools as set out in ‘Keeping Learners Safe’ 2015 (www.cymru.gov.uk) with the key recommendation that *“All Governing Bodies must have safeguarding as a standard agenda item”* (September, 2018). As “safeguarding” is a requirement as set out in ‘Keeping Learners Safe’ 2015 the EAS will have a duty to ensure that this is a consideration within their service planning, therefore, as Newport is one of the five contributing authorities to EAS any identified or proposed areas of improved practice/ recommendations for schools will not only be contributed to by Newport as Council but will also ensure that actions are implemented into our schools. Education Service- ESTYN Inspection conducted in November 2018 favourably noted that in terms of safeguarding ***“The Local Authority has clear policies and procedures for safeguarding that meet requirements”***.

5. Training

5.1 The local authority has a duty to ensure that safeguarding training proportionate to an employee's role is provided. All new employees of Newport City Council must complete corporate induction training which has mandatory safeguarding awareness training and references the council's safeguarding policies and procedures. During the last year, **139** new starters completed the corporate induction programme. It is recognised that the mandatory corporate basic induction is proportionate for all new employees. Unless there is a specific requirement for your role to complete additional safeguarding training there is little opportunity for refresher information or training currently, therefore, it is intended through the role of the safeguarding champion, the relaunching of the "safeguarding involves us all" campaign and the forthcoming proposed e-learning safeguarding training that these measures will assist in addressing the identified weaknesses in building the safeguarding knowledge for all employees across the Council. Training for Members regarding a range of Safeguarding topics to commence in July 2019 (with democratic services timetabling) Members are also welcome to attend the safeguarding champions meetings throughout the year.

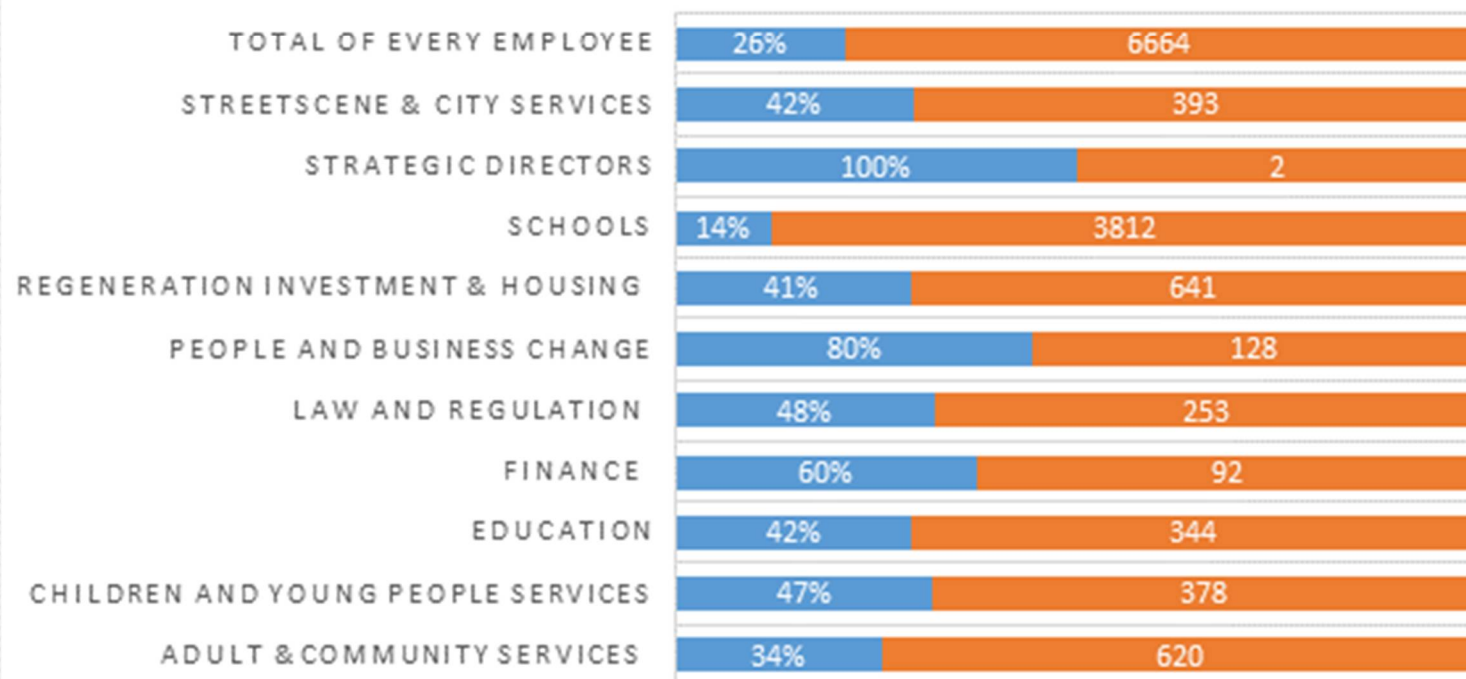
5.2 Safeguarding e-learning

It is planned for the forthcoming year that "safeguarding" e-learning is developed and rolled out across all service areas with the objectives of assisting the Council in ensuring that "All council staff have a basic awareness of safeguarding" and "All council staff will know that they have a duty to take action if they have a concern for a child or adult and will know how to report this information". Cabinet are therefore requested to endorse the proposal that an e-learning "safeguarding awareness training" package is developed (20 minute, basic e-learning akin to the VAWDASV e-learning training) and the e-learning is made a mandatory requirement for all council employees to complete to evidence the Council's commitment to our policy statement that "Safeguarding Involves Us All".

5.3 Violence Against Women Domestic Abuse and Sexual Violence e-learning

The Welsh Government requirement for the mandatory training, Violence Against Women, Domestic Abuse and Sexual Violence (VAWDASV), has been in effect since the previous annual reporting period. Members are provided with percentages of employee completion per service area as at November 2018. Members are advised that there have been mitigating circumstances in terms of schools' access to the e-learning package which have now been rectified with the support of the SRS (IT service department) in January 2019, so there is the expectation that there will be a marked increase in the amount of schools' employees accessing the training from that service area and this will also aid in improving the overall percentage figure of employees who have undertaken the training within Newport City Council.

VAWDASV MANDATORY TRAINING AS AT NOVEMBER 2018



5.4 Continued monitoring of the VAWDASV training and the associated reporting requirements remain the primary objective of the VAWDASV Partnership Scrutiny Board, however, Members are respectfully reminded that the continuation of the VAWDASV 'Ask and Act' e-learning is a corporate responsibility and needs to be part of corporate and safeguarding plans.

6. Corporate Safeguarding Action Plan (2019/2020)

What outcomes do we want to achieve? (objectives to achieve)	How will we make this happen?	When will we achieve this?	How will we know it's making a difference? (performance measures)	RAG status
(Risk 3.06) Review Schools DBS Procedures	DBS review for Education staff is underway in collaboration with HR, starting with a scoping exercise of numbers of staff with a DBS over 3 years old and with a preparation of accurate information to be discussed with SLT regarding options going forward. Schools will be required to hold the information locally and update.	Working for completion July 2019	Full data available from HR, schools holding all staff information, SLT agree timescales for DBS reviews for existing staff if, over 3 years. Schools ability to provide full data for inspection purposes.	Amber
Improve how "safeguarding" information is communicated externally to the citizens of Newport in order to ensure that our citizens know who to contact if they need information, advice or assistance and to promote the Council's commitment to safeguarding by communicating essential information and highlighting where changes have or will be made (ie. legislation/	Develop and launch "Are you caring for Somebody Else's child?" campaign to bring to citizen's attention their legal requirements in relation to private fostering obligations. Review the current Newport.gov webpages below- can this information be presented in an alternate format to improve ease of access: How Social Services Can Help? Safeguarding and Abuse: (Child Protection/ Adults at Risk)	1) Content development of pages and ratification of content by CSMT by end of quarter 1 (June 2019) Dissemination strategy to be agreed with Comms & Marketing department upon agreement of information content (June 2019) IT web page content development and launch	Citizens of Newport report that they can access information, advice and assistance and feel that the information is communicated to them clearly.	Amber

policy/ council procedures).	Develop a webpage for children/ young people- "I'm worried about someone or something"	by end of quarter 2 (September 2019)		
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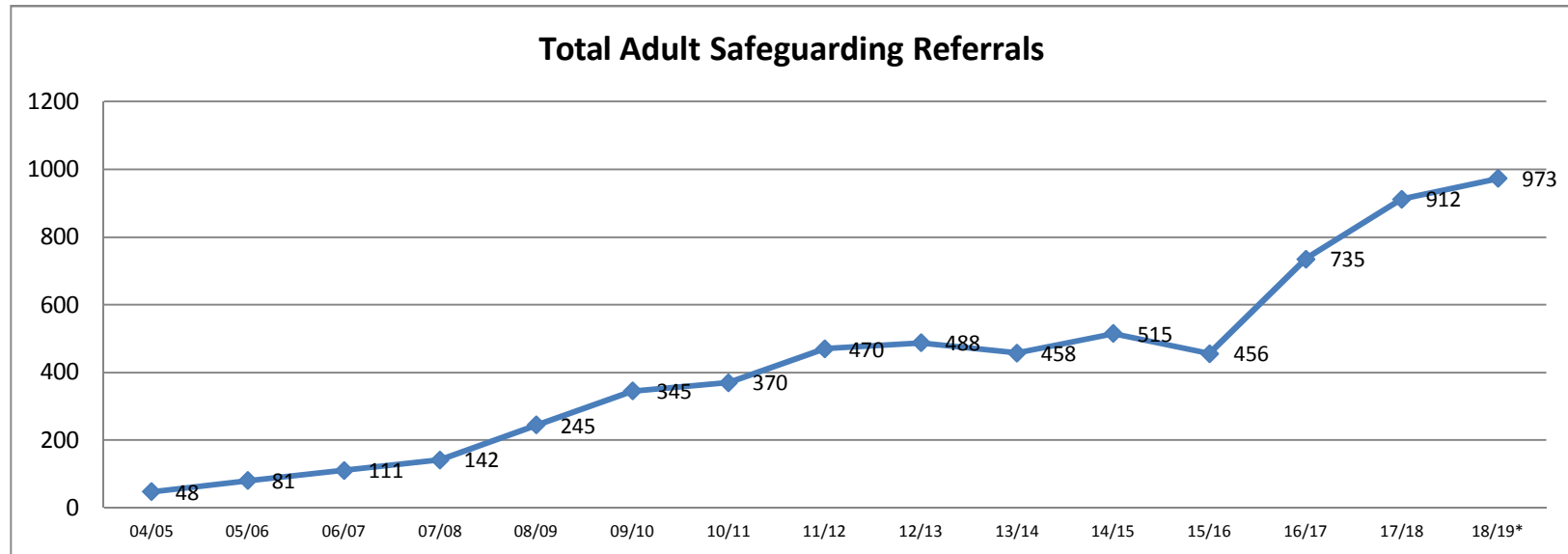
7. Safeguarding Service areas within Newport City, Council Individual Teams Key Priority Plans 2019/20

7.1 Adult Safeguarding

What outcomes do we want to achieve? (Objectives to achieve)	How will we make this happen?	When will we achieve this?	How will we know it's making a difference? (Performance measures)	RAG status
The development of an effective information system and participate in developing an All Wales Adults Safeguarding recording document.	implementation of WCCIS in March 2018	March 2018	Improved accurate and accessible data will be provided.	GREEN
	The system, adopted across Wales has started to capture greater sharing of information across Wales Not just for local performance measures but Welsh Government requirements and regional Developments.	May – June 2019	An All Wales recording system for the Adult Safeguarding process. Monitored by the Safeguarding Boards and Welsh Government.	AMBER
To raise general public and professional awareness of	Continue to support the work of the Communication and	The Communication	Web development	Amber

<p>'adults at risk' everybody's responsibility</p>	<p>Engagement Sub group, (GWASB) which includes raising awareness to the public and professionals. Support the development of a new Gwent Safeguarding web site that will provide information in respect of Adults, Children and VAWDASV. Newport City Council web based information is accurate and updated regularly (for internal and external access). Task and Finish group is in progress to review and amend literature and leaflets. Arrange meetings and forums with multi-cultural groups within the City to raise awareness of abuse.</p>	<p>and Engagement Group have a detailed work plan for the next 12 months.</p> <p>Launch date in May 2019.</p> <p>April 2019</p>	<p>completed. Finishing information pages to be added. As part of the relaunch of the safeguarding policy and process's within NCC, and to be included in the development of the Safeguarding Champions in NCC.</p> <p>Communication and Engagement subgroup in GWASB. Attend the Mosque Forum and community based events to raise awareness of safeguarding in the community.</p>	<p>Amber</p> <p>AMBER</p> <p>AMBER</p>
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7.2 Adults at Risk data



7.3 The projected figure, for this year (18/19), for the total number of reports of an adult suspected of being at risk is 973 referrals, a further percentage rise of 7%.

7.4 The total number of Adult Safeguarding cases closed in the year to date at 31/01/2019 is 575.

7.5 Future Planning for adults at risk service

Threshold discussions within the safeguarding HUB pilot are being held to consider the requirement for strategy discussions with partners. Early intervention and prevention is also a key consideration in the threshold discussions.

Findings in a Newport domestic homicide review indicated stronger partnership and sign posting is required for adult safeguarding and the VAWDASV legislation. The team continue to work closely with specialist IDVA's in the HUB and therefore have data to support early intervention by specialist services.

The team continue to work regionally with GWASB and the VAWDASV partnership board and be active members of sub-groups continually developing practice and learning across Newport and Gwent.

Adult Practice Review's and the lessons and messages from them will continue to be a key feature of the service. The development of staff to undertake and participate in the reviews and share the messages with colleagues in practice and through learning events will be a necessity.

8. Children Criminal exploitation (Child sexual exploitation)

8.1 Child Sexual Exploitation is managed within children services receiving direct referrals and using the All Wales child protection procedures to address safety planning with the child/family.

8.2 Key Priorities / themes for Child Sexual Exploitation and emerging child criminal exploitation will be expanded upon in 2019/20 for Newport children.

What outcomes do we want to achieve? (Objectives to achieve)	How will we make this happen?	When will we achieve this?	How will we know it's making a difference? (Performance measures)	RAG status
Preventative services to be in place to support children and their families where there are risks of CCE/CSE. Specific work with preventative services engaged in roll out of peer development	CCE/CSE prevention and awareness raising completed within schools and peer work developed and rolled out.	Academic Year: Full programme of preventative work prepared.	Reduction in high risk CCE/CSE cases in Newport Increase in referrals to preventative services to work around issues related to CCE/CSE (healthy relationships, self-esteem, keep safe)	AMBER

Measures in place to ensure children are protected from CSE/CCE and that agencies are acting appropriately to reduce risks and protect children. Agencies to work together to ensure effective safety planning and disruption tactics are employed to tackle CSE in Newport.	Strategic multi agency Sexual Exploitation Meeting (MASE) established in Newport.	Ongoing in Newport City Council 19/20 for CSE. MASE meetings across Gwent, improving communication and key children at risk. This needs to be expanded to incorporate the CCE agenda Oct 2019	Robust system to ensure effective multi-agency planning to safeguard the children. Reduction in numbers of children at high risk of CCE/CSE in Newport	AMBER
All agencies working with children to have a clear and shared understanding about how they can contribute to the disruption and prosecution of perpetrators and to the support of victims through a consistent child centred approach	Supporting children to disclose by developing positive relationships; Multi-agency agreement on Key Professional role Effective multi-agency working and information sharing leading to: Increased prosecutions and the development of a Problem Profile for Newport. Completion of the NRM	Ongoing Established for CSE needs to be developed for CCE during 2019	Increase in related convictions Annual case audit identifies better understanding of problem profile and risk areas in Newport. Practitioners report increased concerns of CCE in Newport and in	Amber

			case discussions at the CSE forum	
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8.3 Future Planning for CCE/CSE

There has been a significant improvement in recognition of other forms of exploitation of children, including child criminal exploitation (CCE) and how this interacts with CSE, trafficking and modern slavery. Service delivery needs to reflect this focus for safeguarding children in Newport.

9. Education safeguarding

9.1 The Education Safeguarding, Care & Support Officer's responsibility is to provide advice, guidance and support to the Designated Safeguarding Leads for child protection in the 53 primary schools, 9 secondary schools and 3 alternative education provisions in the Newport area and to other education colleagues.

9.2 Key Priorities/Themes for Education Safeguarding, Care & Support Officer 2019/20

What outcomes do we want to achieve?	How will we make this happen?	When will we achieve this?	Who is responsible?	How will we know it's making a difference?	RAG status
Embed VAWDASV agenda: further develop school-based support for children experiencing domestic abuse.	Deliver VAWDA strategic plan objectives relevant to Education: 'whole-school approach' checklist.	December 2019	Nicola Davies Mary Ryan	Regional VAWDA strategic plan evaluation. Completion rates for VAWDA training monitored termly.	AMBER

Encompass roll out across schools and education placements in Newport.	Ensure safeguarding training package for schools includes awareness of Encompass.	September 2019	ND/MR	Safeguarding self-evaluations to show evidence of impact from Sept '19.	AMBER
	Further develop work with Gwent police/ACES regional group to provide support to schools/families.	Nov 2019	ND/MR		AMBER
	Evaluation of whole-school approach to be added to safeguarding self-evaluation document for schools from Sept 19.	Sept 2019	ND/MR		AMBER
Implement a Prevention/intervention programme for children at risk of both criminal and sexual exploitation, in partnership with other agencies (social services, police, YOS).	Multi-agency working group to be convened to plan a programme of support/interventions.	Started Dec 2018	ND/MR	Identification toolkit in place and shared with schools. Schools have understanding of risks and referral pathways. Partnership work in place to support children at risk of exploitation.	AMBER
	Continue to work with police as part of SOC group and support multi-agency interventions.	2019/20	ND/MR		AMBER
Embed the implementation of the new national 'safeguarding toolkit' for schools.	Provide opportunities for sharing good practice in regard to evidence of embedded practice: termly safeguarding meetings.	SEPT 2019	ND/MR	Completion of safeguarding self-evaluations monitored.	AMBER
	Monitor completion of school self-evaluations.	DEC 2019	ND	Samples of self-evaluations demonstrate good practice.	AMBER

	Work with pilot cluster on 'peer review' of self-evaluations.	NOV 2019	ND	Peer-review pilot in place.	
Facilitate effective multi-agency working between education/social services to support vulnerable children.	Written guidance for schools to be provided regarding Child Protection conferences/reports. Liaison with IROs.	AUG 2019	ND IRO TEAM	IROs report increase in written reports submitted to conference (termly monitoring)	AMBER
	Provide termly 'safeguarding learning events' following network meetings to address issues raised. To be attended by Social Services team manager & Headteacher representatives.	EACH TERM	ND/MR	Issues raised by schools are addressed effectively.	AMBER
	Explore joint training opportunities for social services/education.		ND/MR	Joint training opportunities identified/provided.	AMBER

9.3 Future planning: -

9.3.1 Develop ACES work within education; particularly focusing on 'Operation Encompass' and supporting children who have experienced domestic abuse.

9.3.2 Develop support package for schools dealing with young people and 'risky behaviours': use of weapons/sexually harmful behaviour

10. Safeguarding/Quality Assurance (Lead Authority Designated Officer)

10.1 The LADO has responsibility for managing allegations where there are concerns raised about professionals working with children in Newport. Professionals includes those in paid employment and volunteers, both within statutory settings and in the voluntary sector, and would include any adult in a position of trust.

10.2 Key Priorities/Themes for Quality Assurance/LADO

What outcomes do we want to achieve?	How will we make this happen?	When will we achieve this?	How will we know it's making a difference?	RAG status
Embed further developments of WCCIS recording processes	Agreement of data sharing and establishing appropriate safeguards to protect confidential employee information	August 2019	System in practice Improvement in workflow	Amber:
Raising the awareness of the processes for investigation of allegations against professionals	Training program developed Roll out to professionals	October 2019	The number of professionals who have completed the training & evaluation.	Amber:

10.3 Future Planning

10.3.1 To look at integration with the safeguarding HUB pilot and examine potential for more joined up multi agency response

10.3.2 Continue to raise awareness of PSM process

10.3.3 To consider integration of adult and children processes, (under development with Gwent safeguarding Board)

10.3.4 To produce for annual reporting a graph of trends over the last 3 years with outcomes of the PSM investigation.

10.3.5 Support children services to develop QA tools for practice.

11. Independent Reviewing service (Children looked after reviews and Child Protection conferences)

11.1 The IRO's chair all reviews for Looked After Children (In the care of the Local Authority), all adoption reviews (Looked After Children placed for adoption) The service also chair all Child Protection Conferences for children who are deemed to be at risk of significant harm.

11.2 Key Priorities/ Themes for Child Protection Reviewing service 2019/2020

What outcomes do we want to achieve?	How will we make this happen?	When will we achieve this?	How will we know it's making a difference?	RAG status
Develop a Monitoring System to increase service user participation in children's reviews.	Develop different methods for consultation with young people utilising modern technology where appropriate Implement a children led review of the IRO service	Aug 2019	Information from the review by children will give clear direction for service improvement and feedback from them via consultation process	AMBER
IRO's to work with the new standards and put into practice required changes.	Team agreement and review of the IRO whole service plan. Discuss implications and embed across children services	July 2019	Improved communication within children services	AMBER

11.3 Key Data for Child Protection Unit

11.3.1 Number of Looked After Children subject to Reviews (including adoption reviews) as of February 2019 – **365 Number**
(Approximately) of Looked After Children's Reviews (including adoption reviews) to be completed within statutory timescales from January 2018 to the end of December 2018- **756** (N.B There are at least 2 reviews per year)

11.3.2 Number of Initial Child Protection Conferences completed January 2018- December 2018- **91**

12. Resource implications (employment and financial)

Members are respectfully reminded that the service area plans do have resource implications in terms of resource commitments across the service areas regarding developments of e-learning packages with HR partners; role identification and monitoring (including non-compliance) of training requirements with HR partners and training department; and the wider developments of external webpage development with the SRS service (IT department). Although each service area is already extensively stretched the proposals within the action plan are not unrealistic, they are cost effective without the requirement for any of the work to be out-sourced and will ensure that we are compliant in terms of W.A.O recommendations.

Report

Cabinet

Part 1

Date: 22 May 2019

Item No: 7

Subject Revenue Budget Out-turn - 2018/19

Purpose This report confirms, and explains the Council's financial position for the year ending 31 March 2019 and lists year-end reserve transfers for Cabinet's review and approval.

Author Head of Finance

Ward All

Summary Under difficult circumstances, the Council has managed its overall revenue budget well and the revenue outturn shows an underspend prior to new earmarked reserve transfers of £2,383k, representing a small variance of just 1.3% of the net budget, excluding schools.

The underspend has increased since the January forecast and there are a few broad issues that explain this:

- additional and unexpected one-off grant income and other contributions from external providers, specifically within social care;
- lower than forecasted overspending (costs) in the SEN independent placements area;
- lower than forecasted overspending within City services including street lighting and signal maintenance;
- savings in respect of forecasted net interest costs and council tax surplus.

Notwithstanding the above, the outturn position follows, in the main, the same pattern of over and under spending in areas established early on in the financial year:

- overspending in the demand led social care and special education needs (SEN) areas;
- underspending/better income in non-service budget areas and use of budget contingencies.

This report makes recommendations to earmark the underspend to reserves for future use, mainly to fund developments within the City, to support Council priorities and to support the ongoing commitment to the Gwent SENCOM service. These funds should be spent within the next year.

The report compares the revenue outturn for the financial year ending 31 March 2019 with the budget and provides a summary statement of key issues, which have the potential to impact upon financial management in future years.

Appendix 3	Revenue summary monitor by activity - March 2019
Appendix 4	School balance outturn position
Appendix 5	2018/19 reserve movements
Appendix 6	Delivery of MTFP savings – March 2019

- Proposal** That Cabinet:
1. Note the out-turn position, which is subject to audit and the major variances for the year (sections 1-3);
 2. To approve use of the underspend as set out in section 6 of the report and note the resulting level of the Council's general and earmarked reserves;
 3. Note the school's outturn and the position on the individual and total school reserves and note / comment on the next steps in this area in section 3;
 4. Note the other areas of budget pressures and challenges in section 1 and 2 and note / comment on the actions currently in place to manage these.

Action by Head of Finance

Timetable Immediate, to meet publication deadline for 2018/19 accounts.

This report was prepared after consultation with:

Chief Executive
Strategic Directors
Heads of Service
Budget Holders
Accountancy Staff

Signed

1 Overview

- 1.1 Newport City Council's budget for 2018/19 is £274.6m, which supports delivery of over 800 activities focussing on the overall mission - "Improving People's Lives". Like previous years, the Council has maintained good overall financial control and finished the year with an underspend (prior to new reserve transfers); which, at £2,383k represents a small variance on its budget of 1.3%, excluding school's budgets. This is a larger underspend compared to January forecasts and is explained, in broad terms, below. In saying this, key issues remain consistent with that reported throughout the year as the analysis also shows.
- 1.2 In common with all authorities across the UK, our financial management is challenging. As a growing City, Newport continues to be under significant pressure from increasing demand for essential services and lower funding. The Council has had to deal with the pressures associated with this growth and therefore the additional costs of delivering services. The Council predicted these and put in place specific contingencies to deal with this in 2018/19 and alongside some one-off underspending/ better income in non-service areas, resulted in the overall underspend.

The high-level analysis of the outturn against budget and forecast is shown below:

	January Forecast		Outturn		Variance
	£'000	£'000	£'000	£'000	£'000
Overspending in service areas (exc. Schools):					
Children's out of area placements (*)	2,148		2,090		
NEW - Additional funding from WG in respect of out of area placements	0		(476)		
Special education needs (*)	1,428		1,330		
Adults community care (*)	869		1,135		
Independent fostering agencies (*)	813		756		
Other service area	(440)		69		
NEW - Lower costs; street lighting and signal maintenance	0		(262)		
NEW - Lower contributions to regional pooled budget and additional external contributions (social care)	0		(226)		
Service area overspends		4,818		4,416	(402)
One-off non service underspends:					
VAT Rebate	(773)		(773)		
Additional rebate – Gwent Crematorium	(385)		(385)		
Rates rebate	(467)		(467)		
People services risk contingency	(2,200)		(2,200)		
Approved transfer to invest to save reserve (Oct 18 Cabinet)	2,000		2,000		
One-off non service underspend		(1,825)		(1,825)	0
On-going non service underspends:					
Savings on C Tax benefit rebates – lower claimant No's	(1,634)		(1,741)		
Council tax surplus saving – housing growth	(1,000)		(1,250)		
Savings against Council's contingency budget	(1,473)		(1,473)		
Other	(463)		(510)		
On-going non service underspends		(4,570)		(4,974)	(404)
Net Underspend (prior to new earmarked reserve transfers)		(1,577)		(2,383)	(806)

- 1.3 Whilst most service areas/ activities are spending close to budget a small number are significantly overspending (*) - £5,311k in overall terms, as shown above. Whilst significant investment has been put into these areas for the current year, 2019/20, there is the risk that the recurring saving in non-service areas cannot be guaranteed at current levels and will not be sufficient to mitigate any future overspending, certainly at current levels.

- 1.4 Appendices 1-3 show the outturn position on service/ non-service areas and activities prior and post transfer of the £2,383k underspend in the areas recommended. Section 6 of this report lists the individual transfers, for Cabinet's review and approval.

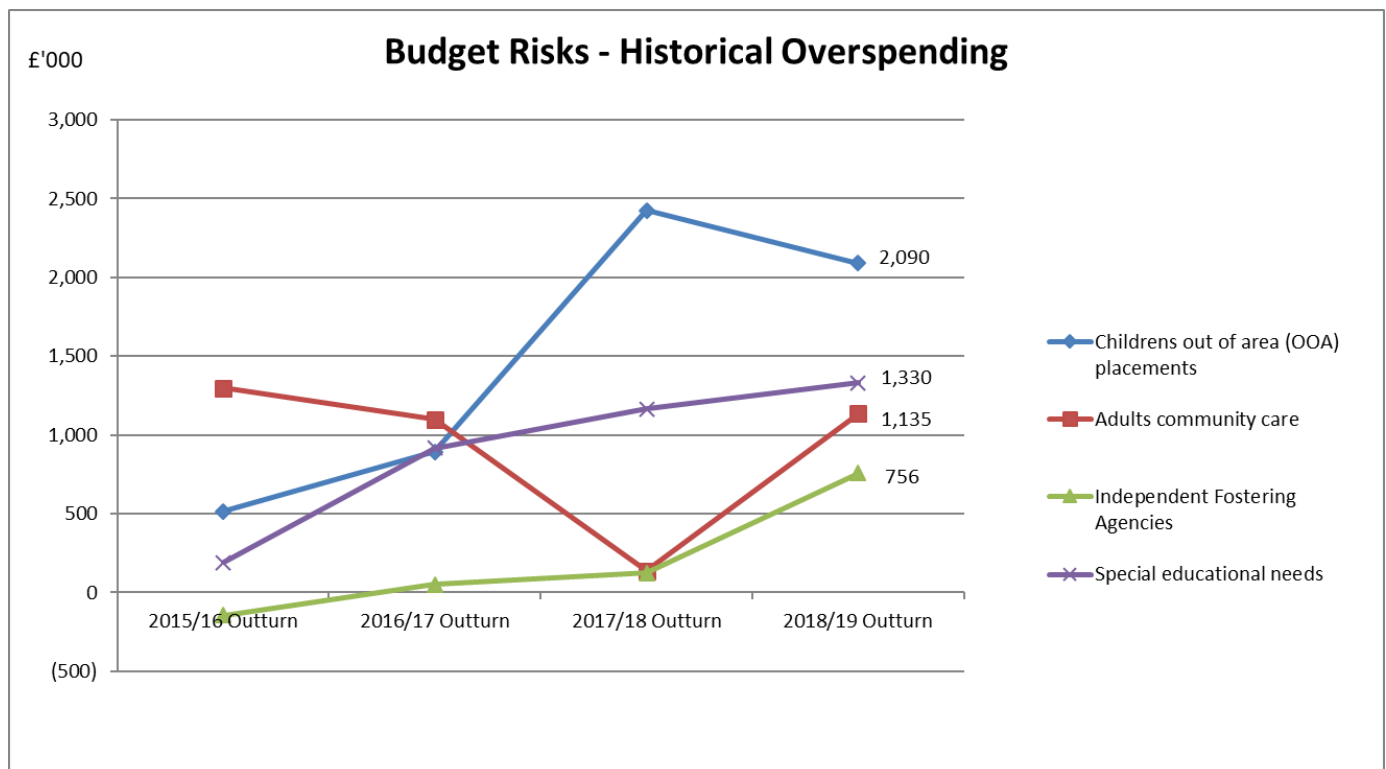
2 Key areas contributing to position

- 1.1 The following section highlights the key areas that contribute to the overall Council position:

- (i) Ongoing budget pressures on a small number of Council activities and how these have been addressed within the 2019/20 budget;
- (ii) Delivery of 2018/19 savings

- (i) Ongoing budget pressures and 2019/20 impact

- 2.2 As highlighted within the overall dashboard (appendix 1) and the high-level analysis of the budget variances above, there are a very small number of areas that contribute in the region of £5m to projected service area overspends. These are not new issues and costs in most of these areas have been increasing over the last 2-3 years as shown in the graph below. Whilst the 18/19 outturn was better than forecasted, costs are still increasing in most of these areas.



- 2.3 Over the period shown above, costs have been increasing faster than the budget investments in these areas and as a result, these have overspent in most years. However, as part of finalising the 2019/20 current year's budget, the Council received significant one-off funding for social care from Welsh Government (WG) and this has enabled significant investments in all these areas, which is sufficient based on current demand. The agreed investment into the above areas has been set out below:

	2018/19 Outturn (Gross overspend)	2019/20 Investment
Childrens out of area (OOA) placements	2,090	2,206
Adults community care	1,135	654
Special educational needs	1,330	1,414
Independent Fostering Agencies	756	765
	5,311	5,039

- 2.4 Notwithstanding this, managing/ reducing demand and thus reducing costs in these areas are key priorities in terms of financial management going forward, though the challenge of managing demand in these areas are clearly significant and difficult.

(ii) Delivery of medium term revenue savings

- 2.5 The position on delivery of savings is shown in appendix 6. Performance on delivery of 2018/19 savings has been good, with 92% of the c£8.6m savings delivered. In saying this, the balance of undelivered savings in 18/19, at £728k is still a significant sum and, in addition, there was £10k of savings from 2017/18 still undelivered by the end of the year - £738k in total.
- 2.6 As part of work in finalising the 2019/20 current year's budget, the outstanding savings were reviewed in respect of deliverability and £91k is being carried forward into 2019/20 for delivery, the balance taken out through re-instatement of budgets in those areas in 2019/20.

3 Schools

- 3.1 The 'schools' section of the overall dashboard and the school balance outturn summary (appendix 1 and 4) outlines the position on school finances. They show the individual schools and sector in-year under/ overspending for 2018/19, their reserves position and the position on those reserves over the last two years.
- 3.2 Schools received one-off funding from both WG and the Council in 2018/19 – in total £1,895k. These were contributions towards increased pay costs and unused contingency respectively.
- 3.3 Appendix 4 shows that overall, schools would have overspent by £2,622k had they not been in receipt of this additional funding with all secondary schools and 25 out of the 42 primary schools overspending. This is the base position on schools financial management / funding as this additional funding was one-off and additional to their base on-going funding. The position is unsustainable in going forward.
- 3.4 Having received the additional one-off funding, the schools financial outturn has clearly benefitted from this, though the position is still challenging. In overall terms, schools overspent by £727k with 7 out of 9 secondary schools overspending and 14 out of 42 primary schools overspending and this is shown, in detail, in appendix 4.
- 3.5 It is evident that the position has deteriorated for both individual schools and schools as a whole. The outturn by sector is shown below;

Nursery	£1k underspend (1 of the 2 nurseries reporting an in-year overspend position)
Primary	£667k underspend (14 out of 42 schools reporting an in-year overspend position)
Secondary	£1,377k overspend (7 out of 9 schools reporting an in-year overspend position)
Special	£18k overspend (1 out of 2 special schools reporting an in-year overspend position)

- 3.6 With regards to the school reserves position following the overspending outlined above; with the exception of secondary schools, balances are in credit across sectors. The position at individual school level is, however, more challenging, with 44% of secondary schools, 2% of primary schools

and 50% of special schools, with nil or deficit balances. Of particular concern is the secondary sector, with 2 schools with in-year overspending between £200k - £300k and one over £600k and 2 schools with deficit reserves of over £500k. Schools reserves are likely to reduce further in 2019/20 unless action is taken to reduce costs.

The Council's 'scheme for the financing of schools' set's out limits on the value of licensed deficits that the Council can approve and two of the secondary schools are now in breach of this limit whilst in total, the value of all individual school deficits as a percentage of the total value of school reserves has also marginally exceeded the limit set for that within the scheme. This position will need to be considered when reviewing any 2019/20 school budgets / deficit applications and future financial planning.

- 3.7 Schools are currently finalising their 2019/20 budgets and Governing bodies are required to approve these by mid-May. All have been reminded to prepare budgets taking account of their reserves position. Officers will review budgets alongside their reserves position when completed. It is highly likely that a number of schools will need licensed deficits in going forward and the Head of Finance will agree potential action with the Director for People and Chief Education Officer in conjunction with the Cabinet Member.

4 2019/20 impact

- 4.1 Whilst the outturn is positive for the Council finances overall for the year-end 2018/19; it is important to reflect on this and assess what this might mean for the current year 2019/20 and beyond. Key observations and issues include:

General budget

- Significant budget investment has funded the main demand areas at current activity levels and if costs do not increase from further increases in demand - should not overspend at previous year's levels. As said, this is predicated on demand stabilising from this point. In addition, underspending/ more income in non-service areas should continue in the recurring areas identified above in para. 1.2;
- c£2m of the above investment, however, is from one off WG grants in 2019/20 and unless this is put into the base funding of the Council, provides a challenge for the following year's 2020/21 budget.

Schools budget

- Whilst schools overspending was better than forecast, the base financial management funding position is on-going overspending of c£2.6m which is unsustainable and immediate action by school's is required to bring spending back in line with funding levels;
- Cabinet will remember that increased pension costs for teachers, from September 2019 is being funded from one-off grant in the current year 2019/20 at £2,021k and cost for full year in 2020/21 is forecasted at £3,934k. Permanent funding was predicted on the Comprehensive Spending Review including it in Local Government funding but there is some uncertainty on the timing of this currently, given Brexit uncertainties.

5 Use of reserves

- 5.1 The Council's reserves are an integral part of how the Council deals with its financial risks, achievement of key priorities and complying with proper accounting practice. The reserves the Council holds, earmarked for the uses shown illustrates how planning for and using reserves is integrated into the Council's strategic financial planning. As financial austerity continues, it becomes even more important that the Council considers and uses financial reserves to both protect its financial health and enabling its ambitions and priorities.

5.2 There has been an overall decrease in the reserve balances as set out in appendix 5 – decrease from £104.3m as at 31 March 2018 to £102.6m at 31 March 2019. A number of transfers (into)/ from reserves have already been included within the outturn position. These transfers are noted below for Cabinet’s attention and information:

- consistent with existing policy;
 - (i) transferring school overspends out of school’s specific reserves - £727k;
 - (ii) use of Friars walk reserve to fund investment subsidy and increase the provision for future payments - £3,204k.
- specific to the creation of the reserves in the first instance;
 - (i) transferring from investment reserve (Cabinet priority list funded by 2017/18 underspends) to fund one off priority projects - £961k;
 - (ii) usable capital receipts to fund agreed capital projects (mainly Band A schools) - £3,136k;
 - (iii) use of approved invest to save funds to cover cost of implementation costs of saving proposals at £637k (includes £43k for capital);
 - (iv) transfer into insurance reserve in line with proper accounting practice, based on identified risks and claims as at year-end - £1,237k;
 - (v) use of CRM reserve to fund implantation of new system - £250k;
- already been approved specifically by Cabinet as part of the base budget;
 - (i) At their October meeting, Cabinet agreed to transfer £2m into the invest to save reserve.

5.3 The reserves have been categorised according to the planned use of funding and type of reserve, whether this be risk, enabling, smoothing or other. Most reserves are earmarked for specific purposes, most can be used to fund either capital or revenue costs and is transferrable to other reserves, if deemed appropriate. Within the total, only a handful of individual reserves makes up the majority of the total, namely PFI reserves (44%), Invest to Save (11%), Capital Receipts (8%), Capital Funding reserve (6%) and Friar’s Walk (5%). Of the balance, almost half is then made up of the Council’s general and school’s balances. There are no reserves that are freely available without impact and most earmarked reserves are committed to specific projects or already being drawn down over the medium-long term.

6 Recommended use of 2018/19 underspend

6.1 The following reserve transfers are recommended, this will fully utilise the remaining £2,383k revenue underspend:

(i)	Grant/ loan towards the development of the Chartist Tower	£950k
(ii)	Delivery of digital services	£351k
(iii)	Commitment to Gwent SENCOM service	£250k
(iv)	Invest to save transfer	£179k
(v)	Feasibility studies	£177k
(vi)	Leisure services development plan	£150k
(vii)	Contribution to events reserve	£150k
(viii)	Refurbishment of children’s homes	£57k
(ix)	Residential home improvements	£49k
(x)	2 year bus subsidy funding	£40k
(xi)	December 2019 Christmas lights funding	£30k

Timetable

Ongoing

Risks

Risk	Impact of Risk if it occurs* (H/M/L)	Probability of risk occurring (H/M/L)	What is the Council doing or what has it done to avoid the risk or reduce its effect	Who is responsible for dealing with the risk?
Outturn post audit is different	L-M	L	It will be possible to reduce the recommended transfer to reserves if required	Head of Finance

* Taking account of proposed mitigation measures

Links to Council Policies and Priorities

A balanced budget position is reported and the use of the contingency budget was not required to achieve this, this has allowed support to services going forward, thus contributing towards the Council's key priorities.

Options Available and considered

1. Cabinet can choose to accept officers recommendation and transfer the underspend into specific earmarked reserves;
2. Cabinet can choose not to approve the reserve transfers and instead report an underspend of £2,383k, this would be transferred to the general reserve;
3. Cabinet can choose not to approve the reserve transfers and move to alternative earmarked reserves.

Preferred Option and Why

Option 1, as the £2,383k underspend is being reserved for future investment in the areas outlined in paragraph 6.1.

Comments of Chief Financial Officer

The Council has continued with good financial management and the resulting under-spend in 2018/19 has allowed funds to be credited to mainly 2019/20 costs in key priority areas such as regeneration projects and are recommended for approval by Cabinet.

Section 4 points to the key issues in going forward and Cabinets attention is drawn to the issues here, in particular:

- Reliance on one-off grant funding (social care and teacher's pension costs grants) in the current year 2019/20 which, if not continued in the Council's base funding, will provide challenges in the 2020/21 budget;

- Schools deteriorating financial position, in particular within the Secondary sector and 3 secondary schools.

Comments of Monitoring Officer

There are no specific legal issues arising from the report.

Comments of Head of People and Business Change

There are no direct HR implications arising from this report.

The revenue out-turn report describes the budget management process and outcome from the previous financial year, in this case 2018-19. The Well-being of Future Generations Act requires local authorities to ensure they consider the impact of decisions taken today on future generations. As the report shows an overall underspend against the revenue budget, managing the budget effectively will have a positive impact on current and future years.

Comments of Cabinet Member

The Chair of Cabinet confirms she has seen and approved the report, noted the issues and alongside use of the underspend, discussed and agreed these with Cabinet Members.

Local issues

N/A

Scrutiny Committees

N/A

Equalities Impact Assessment and the Equalities Act 2010

N/A

Children and Families (Wales) Measure

N/A

Wellbeing of Future Generations (Wales) Act 2015

Wellbeing of Future Generations (Wales) Act 2015 forms an integral part of the financial management of the Council and the MTFP process of which the outturn of the Council is essential part. Analysis and review of reserves are an important aspect on the future generations and the Head of Finance reviews and assesses the robustness and adequacy of these reserves as part of this outturn report.

Crime and Disorder Act 1998

N/A

Consultation

N/A

Background Papers

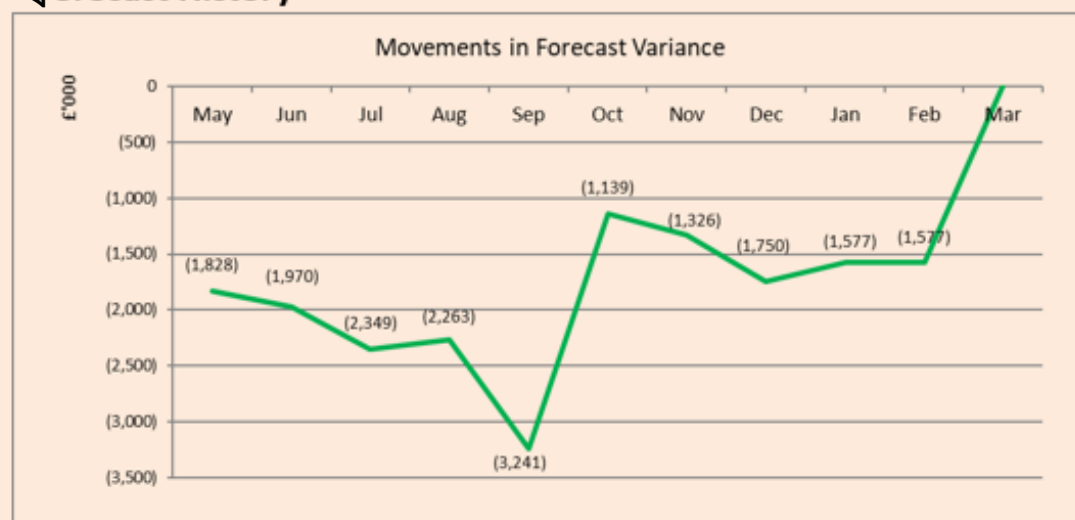
N/A

Budget Monitoring Position – March 2019

Position by Directorate	Current Budget £'000	Outturn £'000	Variance £'000
People (exc Schools)	79,671	85,464	5,793
Place	32,845	32,953	108
Corporate	17,698	17,290	(408)
Service Area Budget	130,214	135,708	5,494
Schools	92,977	92,977	(0)
Service Area Budget (inc Schools)	223,191	228,685	5,494
Schools - transfer from reserve	0	0	0
Non Service	49,932	45,911	(4,021)
Total Budget (excluding contingency)	273,123	274,596	1,472
General Contingency	1,473	0	(1,473)
Total Budget (including contingency)	274,596	274,596	(0)

Detailed explanations can be found within service area dashboards

Forecast History



October forecast reflects Cabinet approved I2S transfer of £2m

Position Summary

- Revenue outturn reports an underspend of £2,383k prior to earmarked reserve transfers of £2,383k.
- Underspend has increased since January in the main due to unexpected income received at year end and lower than forecast overspending.

Key areas contributing to position

- Undelivered 18/19 savings of £728k
- Significant overspending in three key areas:

Children's Out of Area Placements	£2,090k
Community Care	£1,135k
Special Education Needs	£1,330k
Approved I2S transfer	£2,000k

- Mitigated by non service area underspends:

People Services risk contingency	(£2,200k)
Council tax benefit rebates	(£1,741k)
General contingency	(£1,473k)
Other	(£977k)
One-off VAT rebate	(£773k)
Council tax surplus	(£1,250k)
One-off rebate - Gwent Crematorium	(£385k)

Risks

- Service areas are overspending at unsustainable levels specifically given that significant one off grant income has been received by social care in 2018/19.
- Vast majority of schools have spent more than their funding in 18/19.
- School balances already increasingly/becoming negative.

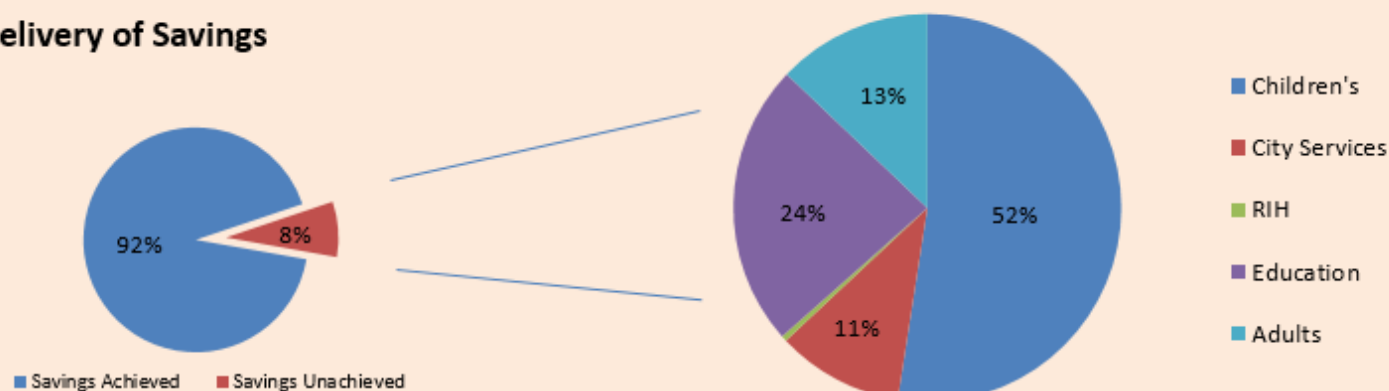
Budget Monitoring Position – March 2019

Staff Forecasts

Overall Staffing	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar
Budget (£'000)	59,450	59,449	59,491	59,491	58,521	58,537	58,552	58,497	58,497	58,610	58,610	58,611
Outturn (£'000)	59,450	59,639	59,641	59,909	58,477	58,217	57,967	57,912	57,655	57,484	57,484	57,432
Variance (£'000)	0	189	149	418	(44)	(320)	(584)	(585)	(841)	(1,126)	(1,126)	(1,179)

- Staff budgets account for almost a quarter of the Councils net budget

2018/19 Delivery of Savings



- The first chart shows that 92% of the total savings are forecast for full delivery in 2018/19
- The second chart illustrates the areas where savings are forecast not to be delivered (8% of overall target)
- 2018/19 balance of £728k undelivered savings remains significant in financial terms
- This is in addition to £10k undelivered savings dating back to 2017/18

Overall Summary	People	Place	Corporate	Non Service	Total
2018/19 MTRP Target (£) Total	3,246	1,244	615	3,488	8,593
End 2018/19	2,598	1,164	615	3,488	7,865
Variation to MTRP Target	(648)	(80)	0	0	(728)
Variation % to MTRP Target	-20%	-6%	0%	0%	-8%

Budget Monitoring Position – March 2019

Risk Based Areas/ Budgets	Annual Budget £'000	Outturn £'000	Variance £'000	Graph Reference
Children & Young People				
Independent Fostering Agencies	1,294	2,050	756	i
Out of Area Residential	2,719	4,334	1,614	ii
In House Fostering	2,810	2,985	175	
Kinship	1,096	961	(135)	
Looked after Children (LAC) Remand	0	72	72	
Adult & Community Services				
Community Care - Residential	20,134	20,384	250	
Community Care - Supported Living	7,776	8,809	1,033	iii
Community Care - Non Residential	9,027	9,310	283	iv
Community Care Income - Residential & Non Residential	(7,174)	(7,591)	(417)	
Education				
SEN Out of County - Local Authority	1,409	1,358	(51)	v
SEN Out of County - Independents	2,239	3,105	866	v
SEN Transport	2,127	2,222	95	
Breakfast Clubs	324	472	148	
Catering - Free School Meals	1,153	1,236	83	
Music Service	0	0	0	
Regeneration, Investment & Housing				
Development Control Fees	(646)	(671)	(25)	
Building Control Fees	(234)	(271)	(37)	
Commercial & Industrial Properties Income	(1,234)	(1,341)	(107)	
City Services				
Commercial/ Asbestos Income	(1,124)	(1,631)	(507)	
Burial Fees	(612)	(540)	72	
Car Parking Income	(650)	(626)	24	
Finance				
Council Tax Benefit Rebates	12,597	10,856	(1,741)	
Law & Regulation				
Public Protection	(840)	(945)	(105)	
Land Charges Income	(167)	(162)	5	
Total Net Budget	52,024	54,376	2,351	

- In 2018/19, there are over 20 budget areas identified at the start of the year by HoS as those areas that have the potential to be high risk or highly volatile. This list is reviewed on an on-going basis

Budget Monitoring Position – March 2019

Risk Based Areas/ Budgets (cont.)

- There are six budget 'hotspot' areas within the risk based monitoring which demonstrate the significant financial impact and risk that only a small number of areas pose to the financial position of the Authority (graph ref i – v)
- These areas alone contribute in the region of £5.0m to the service area overspends
- This overspend has been consistently high throughout the year, therefore, it is critical that managers take action to reduce the spend wherever possible

Other Significant Variances

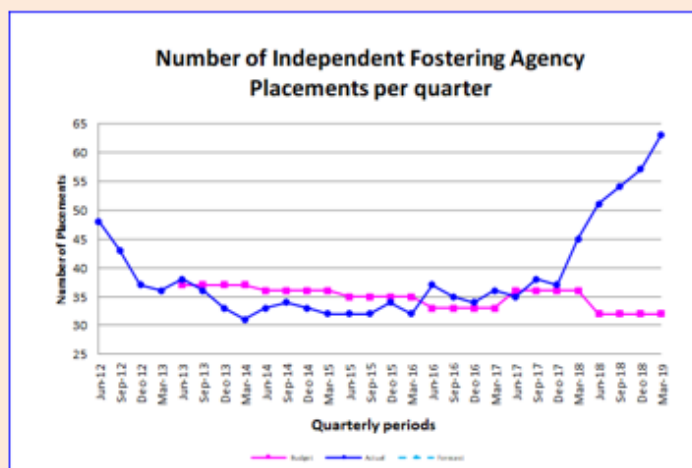
	Annual Budget £'000	Forecast £'000	Variance £'000
Children & Young People			
Inter Agency Adoption	512	722	210
Total Net Budget	512	722	210

The following page highlights the non-financial cost drivers for the risk based areas such as pupil and service user numbers.

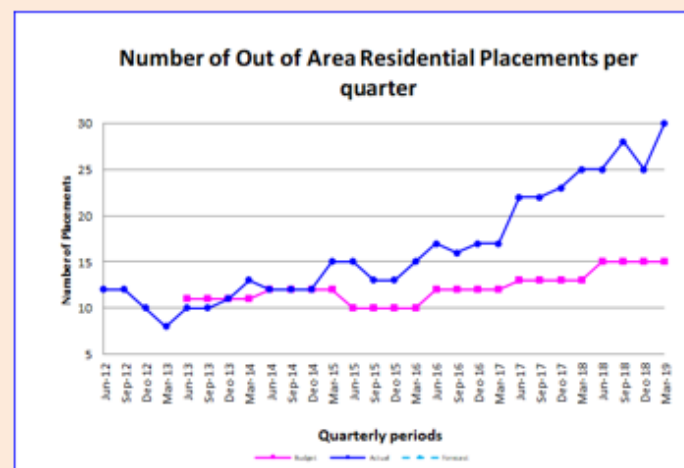
Budget Monitoring Position – March 2019

Risk Based Monitoring graphs

(i) Independent Fostering Agencies



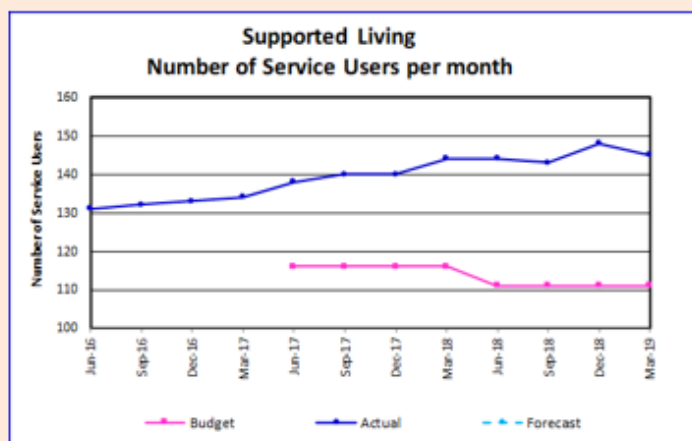
(ii) Out of Area (OOA) Residential Placements



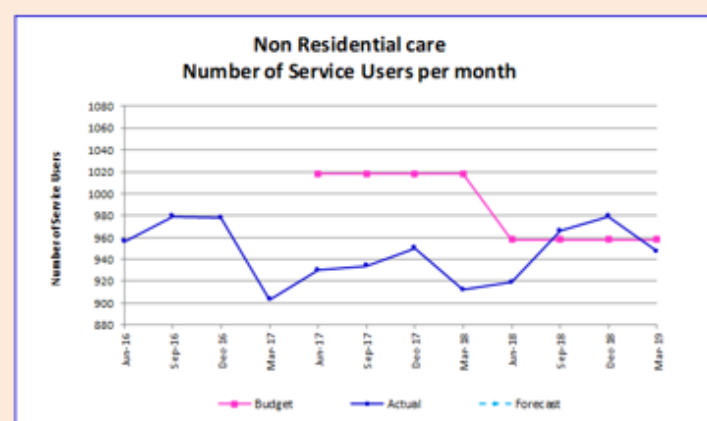
- The 2018/19 budget can afford 32 placements per month at an average cost. The increasing of placements throughout the year has peaked at 63 in March resulting in an overspend of £756k.

- Budget can afford 15 placements per month at an average cost. There are 30 placements resulting in an outturn position of £1.6m overspend.

(iii) Community Care – Supported Living



(iv) Community Care – Non Residential

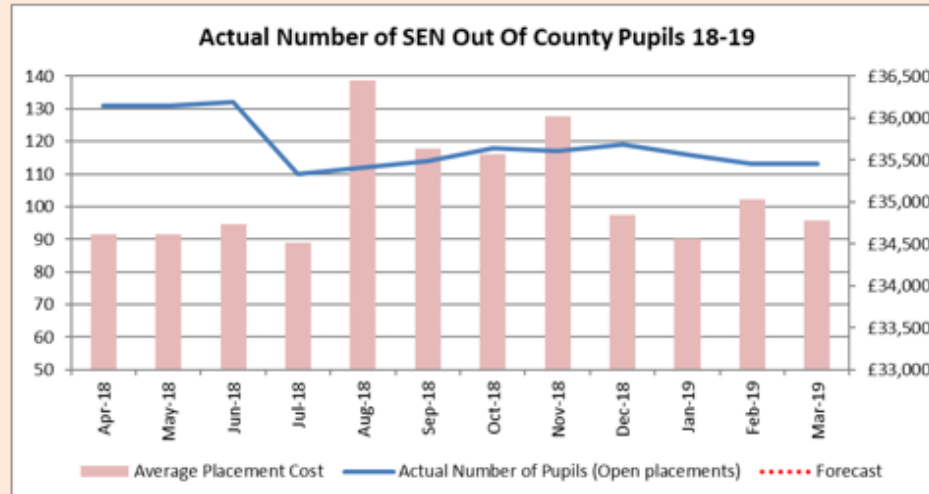


** Due to changes in reporting average budget data is unavailable prior to April 2017.

Budget Monitoring Position – March 2019

Risk Based Monitoring graphs

(v) SEN Out of County Placements – Local Authority and Independent



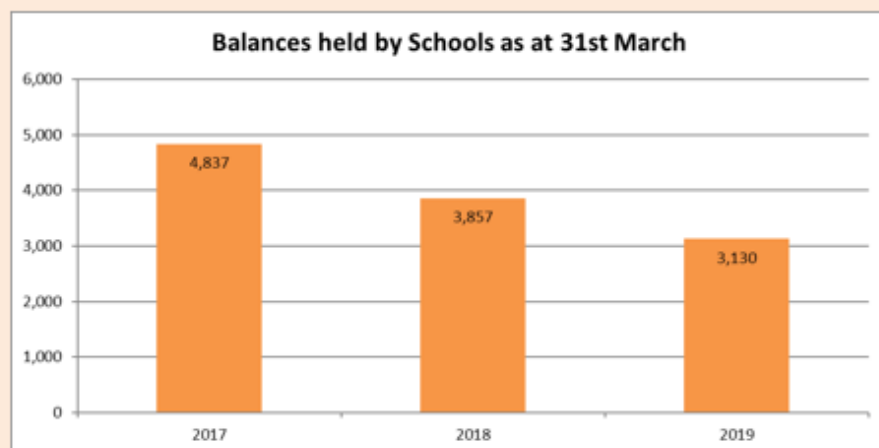
- SEN OOC budget can accommodate 107 placements at an average cost of £34k
- 15% of total placements cost between £60k and £70k. One placement in particular costs the Authority £113k due to the complex needs of the individual

Budget Monitoring Position – March 2019

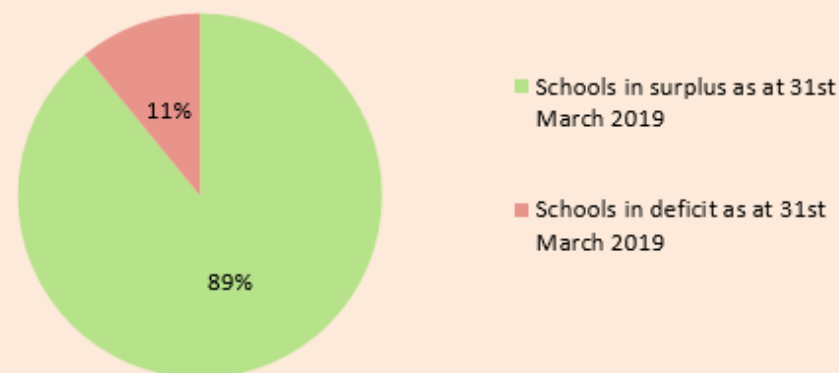
Schools

- Schools received some one-off funding from both WG and the Council in 2018/19 – in total £1,895k. These were contributions towards increased pay costs and unused contingency respectively. Overall, schools would have overspent by £2,622k had they not been in receipt of this additional funding with all secondary schools and 25 out of the 42 primary schools overspending. This is the base position on schools financial management / funding as this additional funding was one-off and additional to their base on-going funding. The position is unsustainable in going forward.
- The the position has deteriorated for both individual schools and schools as a whole. The outturn by sector is shown below;
 Nursery £1k underspend (one of the two nurseries reporting an in-year overspend position)
 Primary £667k underspend (14 out of 42 schools reporting an in-year overspend position)
 Secondary £1,377k overspend (7 out of 9 schools reporting an in-year overspend position)
 Special £18k overspend (1 out of 2 special schools reporting an in-year overspend position)

Budget Overspends in Schools	Mar £'000
Kimberley Nursery	(7)
High Cross Primary	(20)
Caerleon Comprehensive	(737)
Llisbury High	(548)
St Julians School	(5)
Newport High	(46)
Total Net Budget	(1,363)



Proportion of Schools Forecasting Deficit as at 31st March 2019



Schools overall have overspent in year by £727k therefore reducing the school balances from £3,857k to £3,130k as at the end of March 2019.

APPENDIX 2 Revenue Summary Monitor - March 2019

	Current Budget	Out-turn before Proposed Transfers	Proposed 'New' Transfers	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers
	£'000	£'000	£'000	£'000	£'000
Summary Revenue Budget 2018/19					
People					
Children and Young People	22,405	25,793	58	25,850	3,446
Adult and Community Services	44,495	44,842	49	44,891	395
Education	12,771	14,473	250	14,723	1,952
Schools	92,977	92,977		92,977	(0)
	172,648	178,085	356	178,441	5,793
Place					
Regeneration, Investment and Housing	9,931	9,970		9,970	39
City Services	22,914	22,764	220	22,984	70
	32,845	32,733	220	32,953	108
Chief Executive					
Directorate	478	480		480	1
Finance	3,084	2,902		2,902	(182)
People and Business Change	7,234	6,722	351	7,073	(161)
Law and Regulation	6,902	6,685	150	6,835	(67)
	17,698	16,789	501	17,290	(408)
Capital Financing Costs and Interest					
Capital Financing Costs MRP	7,489	7,489		7,489	-
Interest Payable	9,085	8,974		8,974	(111)
Interest Receivable	(37)	(161)		(161)	(124)
Investment Props	-	-		-	-
PFI	8,543	8,543		8,543	0
	25,080	24,846	-	24,846	(235)
Sub Total - Service/Capital Financing	248,271	252,453	1,077	253,530	5,259
Contingency Provisions					
General Contingency	1,473	-		-	(1,473)
Restructuring / Other Savings	-	(0)		(0)	(0)
Centralised Insurance Fund	570	570		570	-
Non Departmental Costs	-	8		8	8
Other Income and Expenditure	3,150	(1,949)	1,127	(824)	(3,973)
	5,192	(1,372)	1,127	(247)	(5,438)
Levies / Other					
Discontinued Operations - pensions	1,577	1,501		1,501	(76)
Discontinued Operations - Ex Gratia Payments	2	3		3	1
Levies - Drainage Board, Fire service etc	8,346	8,448		8,449	103
CTAX Benefit Rebates	12,597	10,856		10,856	(1,741)
	22,521	20,808	-	20,809	(1,712)
Transfers To/From Reserves					
Base budget - Planned Transfers to/(from) Reserves	(1,388)	1,574	179	1,753	3,141
Earmarked reserves: Transfer to/(from) Schools	-	-		-	-
Earmarked reserves: Transfer to/(from) Schools Redundancy	-	-		-	-
Invest to Save Reserve	-	-		-	-
Invest to Save Reserve (from)	-	-		-	-
	(1,388)	1,574	179	1,753	3,141
Total	274,596	273,463	2,383	275,846	1,250
Funded By					
WAG funding (RSG and NNDR)	(212,790)	(212,790)		(212,790)	-
Council Tax	(61,806)	(61,806)		(61,806)	-
Council Tax Surplus		(1,250)		(1,250)	(1,250)
Total	0	(2,383)	2,383	(0)	0

APPENDIX 3 Revenue Summary Monitor by Activity - March 2019

		April 18 Approved Budget	Current Budget	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers	Notes - Explanation as Required
Summary Revenue Budget 2018/19		£'000	£'000	£'000	£'000	
Page 105	People					
	Children and Young People	22,400	22,405	25,850	3,446	
	SOC19 Pathway Team	1,597	1,624	1,510	(113)	Reduced staffing costs due to vacancies and unexpected Welsh Government grant towards Asylum Seeker Children.
	SOC20 Leaving Care	811	784	396	(388)	Costs for supporting children age 18-25 who leave foster care/residential placements. Made up of accommodation costs, allowances to individuals, education costs etc. Budget was above demand levels in 2018/19.
	SOC21 Sthwrk 16+ Homeless	26	26	9	(17)	
	SOC22 LAC Family Contact	98	98	205	107	Increased costs associated with the provision of family contact for Looked After Children
	SOC23 Child Safegrd + Miss	139	139	114	(24)	
	SOC24 Child + Fam Mgt Acct	311	370	398	28	
	SOC26 Integ Fam Supp Serv	1,478	1,480	1,467	(13)	
	SOC27 SE Wales Adoption	512	512	622	110	Overspend is due to the fact that 9 adoptions have been made which is higher than budgeted for.
	SOC28 Child Protection	4,307	4,261	5,326	1,064	There are some major variances within individual Cost Centres within this activity. Current overspend forecasts for legal fees.
	SOC30 NCC Child Res	2,059	2,059	2,371	312	Staffing overspends due to the delayed implementation of new rotas and staffing costs of operating one additional unit during the year.
	SOC31 Out of Auth Res Plac	2,719	2,719	4,406	1,687	Increased number of Out of Area placements in year which exceeded what the budget was able to afford
	SOC32 Ind Foster Ag Plac	1,294	1,294	2,050	756	The number of Independent Fostering placements was at 63 in March 19, the budget can only afford 32 placements.
	SOC33 In-House Fostering	4,084	4,084	4,241	157	The financial year ended with in-house fostering placements at 204, having peaked earlier in the year at 214. The 18-19 budget was able to afford an average of 193.
	SOC34 Special Guardianship Orders	1,096	1,096	961	(135)	Guardianship numbers seem to have plateaued. A £144k net MTRP pressure that has been added into 18-19 budgets appears now not to be required.
	SOC35 Education Supp Team	118	118	114	(4)	
	SOC36 Direct Pay Child Serv	161	161	149	(13)	
	SOC37 S17 Child Dis Aid	30	30	33	3	
	SOC38 Adoption Allowances	296	296	346	50	Increase in number of Adoption Allowances agreed and increased costs from annual review of allowances.
	SOC39 Child Safeguard	590	579	560	(20)	
	SOC40 Youth Offending Service	675	675	574	(101)	The final underspend for the YOS is mainly due to recruitment delays and a reduction in the level of sessional work being undertaken by the Service.

Summary Revenue Budget 2018/19

	April 18 Approved Budget	Current Budget	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers	Notes - Explanation as Required
	£'000	£'000	£'000	£'000	
People					
Adult and Community Services	44,529	44,495	44,891	395	
SOC1 Home Care + Extra Care	1,742	1,742	1,857	116	Delayed service changes.
SOC2 Older People Res Units	2,560	2,487	2,398	(89)	Additional fee income above budget offset by staffing costs.
SOC3 Supp Living Agency	690	690	801	111	One off costs relating to service reprovision.
SOC4 Day Opportunities	1,237	1,230	1,049	(181)	Mainly lower staffing costs due to vacancies.
SOC5 First Contact	426	465	462	(2)	
SOC6 SMAPF	-	-	-	-	
SOC7 Integrated OT Total	623	623	570	(53)	Mainly lower spend on Gwent Wide Integrated Community Equipment Service.
SOC8 Centrica Lodge Resp	222	222	184	(39)	
SOC9 Community Care Teams	1,899	1,942	2,089	148	Increased staffing / Agency costs in the teams and a reduction in grant funding.
SOC10.1 Community Care Residential Packages	17,988	19,342	19,594	252	New admissions and changes in services.
SOC10.2 Community Care Supported Living Packages	6,642	7,416	8,503	1,087	Fee increases & increase in service users has seen pressure on the budget.
SOC10.3 Community Care Non Residential Packages	11,266	10,659	10,676	17	
SOC10.4 Community Care Packages Income	(8,394)	(9,267)	(9,683)	(416)	Increased contributions being received from service users. £150k Welsh Government grant received in Mar 19
SOC11 Community Care Packages-Mental Health	2,377	1,723	1,696	(26)	
SOC12 Frailty Pooled Budget	1,974	1,920	1,720	(201)	Lower contributions to regional pool budget.
SOC13 Adults Mgt Account	574	651	515	(136)	Underspends on staffing and supplies and services budgets plus additional income.
SOC14 Service Dev + Comm	917	806	743	(63)	Staffing savings in year due to secondments and reduced hours.
SOC15 Supporting People Gen	183	183	196	13	
SOC16 Adult Serv Cont Sup	1,072	1,120	963	(157)	Contract savings & grant/contributions at year end.
SOC17 Telecare Contract	82	82	47	(35)	
SOC18 Adult Safeguard Tot	449	459	510	50	Increased costs for work associated with Deprivation of Liberty Safeguards / Mental Capacity Act partly reduced by a Welsh Government grant received in March 19.
Education	14,518	12,648	14,600	1,952	
EDU2 School Based Counsel	206	206	206	-	
EDU3 Autistic Spectrum Disorder	-	-	-	-	
EDU4 Psychology Services	357	357	318	(39)	
EDU5 SEN Team	296	292	274	(19)	

Summary Revenue Budget 2018/19

	April 18 Approved Budget	Current Budget	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers	Notes - Explanation as Required
	£'000	£'000	£'000	£'000	
People					
EDU6 SEN Recoup OOC	3,149	3,149	4,156	1,006	Demand higher than budget. Income less than target. Pressure paper submitted and approved for 2019-20. Includes reserve transfer.
EDU7 SEN Equip & Resource	95	95	159	64	Increased frequency of sessions
EDU8 SEN Local Provision	156	159	419	260	Move to in-house provision (previously within OOC) with Catch 22 and Newport Live services.
EDU9 Inclusion Mngt Account	442	296	386	89	I2S reserve not accessed for redundancy costs incurred.
EDU10 Education Welfare Service	344	344	360	16	
EDU11 Bridge Achievement Centre	728	1,013	1,291	278	Significant increase in demand and long term pupils, no budget to cover high level of sickness issues. No recharge for matrix funded pupils in attendance.
EDU12 EIG-Education Improvement Grant	471	471	431	(40)	Match Funding
EDU13 GEMS	(14)	(14)	(13)	2	
EDU14 Breakfast Clubs	324	324	472	147	Additional breakfast clubs opened and budget not increased in line with demand/provision.
EDU15 School Meals Primary, Secondary, Special and Repairs & Maintenance	282	282	293	12	School cost per meal recharge, agreed in SLA, is higher than the cost per meal charged by provider.
EDU16 Educ Mngt-Mngt Team	200	406	391	(16)	
EDU17 Educ Mngt-Non Team	(394)	(449)	(307)	142	Schools maternity credits received higher than budget. Reserve transfer for SENCOM commitment.
EDU18 Service Dev & Bus	225	199	194	(4)	
EDU19 School Admissions & Appeals	267	242	240	(2)	
EDU20 21CS Programme	84	84	86	1	
EDU21 Early Years & Integ	1,057	1,057	987	(70)	Budget based on previous year child places. 3.02% decrease in child places compared to 17/18.
EDU22 Redund & Superann	1,013	1,013	1,013	(0)	
EDU23 Joint Services	1,447	1,447	1,476	29	
EDU24 Transport	3,783	1,674	1,769	95	Demand was higher than budget (please refer to EDU06).
Schools	93,306	93,099	93,099	-	
EDU01 Schools	93,262	92,977	92,977	-	
EDU1 Schools - Durham Road PFI	44	122	122	-	
Total People	174,752	172,648	178,441	5,793	

Summary Revenue Budget 2018/19

	April 18 Approved Budget	Current Budget	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers	Notes - Explanation as Required
	£'000	£'000	£'000	£'000	
Place					
Regeneration, Investment and Housing	10,028	9,931	9,970	39	
RIH1 Homelessness	871	723	750	27	
RIH2 Strategy & Dev	264	274	236	(38)	
RIH3 Housing Needs	709	847	770	(77)	Delays in recruitment
RIH4 Private Sector Housing	93	72	94	22	
RIH5 Com & Ind Portfolio	(976)	(1,129)	(1,135)	(7)	
RIH6 Provision Market	(133)	(200)	(122)	79	Overspends against building repairs and refuse
RIH7 Civic Centre Facilities Management	659	634	956	322	Main overspends relate to alarms and security, response, grounds maintenance and refuse costs.
RIH8 Station Buildings	365	342	279	(64)	Cost of borrowing and rate charges have reduced
RIH9 Centralised Properties	3,896	4,072	3,916	(155)	Over recovery of income
RIH10 Carbon Reduction	328	327	218	(109)	Reductions in spend across the energy budget pending the implementation of a new energy strategy.
RIH11 Building Control	24	14	15	1	
RIH12 Plan & Dev Mngt Acc	114	114	209	96	External consultant fees and bad debt provision
RIH13 R+R Pooled Admin	44	48	49	1	
RIH14 Urban Regeneration	260	296	296	(0)	
RIH16 Development Mngt	314	299	247	(52)	Staff savings
RIH17 Planning Pol & Imp	215	216	221	5	
RIH18 Local Dev Plan	72	72	72	-	
RIH19 Community Centres	203	201	323	122	Agency costs and other costs associated with neighbourhood hubs
RIH20 Comm Dev Core	199	196	129	(67)	Staff savings
RIH21 Youth Core	276	270	208	(62)	Salary underspends
RIH22 City Playschemes	91	93	91	(3)	
RIH23 Adult Education	(100)	(100)	(156)	(56)	Salary Underspend and income higher than budget
RIH24 Libraries	1,066	1,078	1,034	(43)	
RIH25 Museum & Art Gallery	397	403	431	27	
RIH26 Medieval Ship	73	66	65	(0)	
RIH27 Partnerships	174	174	171	(3)	
RIH28 Tredegar House & Grounds	399	399	400	1	
RIH29 14 Locks	21	21	20	(1)	
RIH30 Transporter Bridge	116	116	179	63	Unplanned repair works
RIH31 CD Communities First	1	-	(25)	(25)	
RIH32 Work & Skills Employ	(0)	-	29	29	
RIH33 Work & Skills Europe	-	-	-	-	
RIH34 Families First	-	-	-	-	
RIH35 Flying Start	1	-	-	-	
RIH36 Monwel	(7)	(6)	3	8	
RIH37 Youth	0	-	(1)	(1)	

Summary Revenue Budget 2018/19

	April 18 Approved Budget	Current Budget	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers	Notes - Explanation as Required
	£'000	£'000	£'000	£'000	
Place					
Streetscene and City Services	20,806	22,914	22,984	70	
STR1 Env Serv	748	771	806	35	
STR2 Cemeteries	(494)	(461)	(416)	45	Budgeted Income not achieved. Additional expenditure for Public Funerals
STR3 Public Transport	1,104	1,101	1,248	148	Bad debt provision
STR4 Asset Management	353	326	335	9	
STR5 Street Lighting	2,365	2,144	1,990	(154)	Cost of borrowing figures has reduced by £25k also rates recharges relating to the information station have been reduced to reflect actual charges (£55k) these reductions have been offset by additional response repairs and repairs to the water meter.
STR6 Senior Management Team	(23)	353	529	175	New reserve - Leisure Services (£150k)and unachieved mtrp savings (£25k)
STR7 Traffic Mngt & Street	(15)	273	213	(60)	Reduced premises expenditure in relation to 1718 signal maintenance supplementaries
STR8 Road Safety	212	182	127	(55)	School Crossing patrol vacancies
STR9 Leisure Trust	2,870	2,842	2,955	113	
STR10 Waste Disposal Site	(287)	(469)	(925)	(455)	Additional commercial income
STR11 Sustainable Waste	2,714	1,769	1,903	134	Increased kerbside collection payments - recycling
STR12 Refuse Collection	2,540	3,023	3,076	53	Reduced external trade waste income(£29k) and increased haulage costs to Trident Park - PG (£23k)
STR13 Civic Amenity Site	361	676	808	132	Ramp reversal works at HWRC
STR15 Drainage Operations	662	655	459	(196)	Vacancy savings (£45k);premises (£34k);additional income (£78k) and reduced supplies (£22k)
STR16 Fleet Management	2,139	2,099	2,132	33	
STR17 Grounds Maint	927	796	848	52	Budgeted Income not achieved offset by staff cost savings due to vacant posts
STR18 Highways	1,566	1,560	1,442	(118)	Income received above budget and staff cost savings achieved due to vacant posts
STR19 SDR South Distrib Road	(115)	(116)	(142)	(26)	
STR20 Off Street Parking	(278)	(278)	(212)	65	Staff costs over-budget due to Redundancy costs. Budget income not achieved offset with some cost savings
STR21 Street Cleansing	1,510	1,599	1,664	65	Reduced contract income (£26k);increased supplies (£29k) and increased staffing costs (£10k)
STR23 Depot Salaries	160	197	239	43	
STR24 Winter Maintenance	128	128	231	103	Staff and other costs - insufficient budget
STR25 Public Features	52	32	40	8	
STR26 Customer Services	1,491	1,533	1,355	(178)	Staff costs were over-budgeted. IT costs less than budget
STR27 Benefits	115	73	126	53	HB subsidy income less than budget offset by staff cost savings
STR28 Home to School Transport	-	2,108	2,153	45	
Total Place	30,833	32,845	32,953	108	

Summary Revenue Budget 2018/19

	April 18 Approved Budget	Current Budget	Out-turn after Proposed Transfers	(Under) / Over after Proposed Transfers	Notes - Explanation as Required
	£'000	£'000	£'000	£'000	
Chief Executive					
Directorate	599	478	480	1	
Finance	3,088	3,084	2,902	(182)	
FIN1 Accountancy	2,064	2,060	2,072	12	
FIN2 Internal Audit	347	347	327	(20)	
FIN3 Purchase to Pay	(1)	(1)	(0)	1	
FIN3 Purchase to Pay 1	126	126	122	(4)	
FIN4 Strategic Procurement	100	100	41	(59)	
FIN4 Strategic Procurement 1	236	236	187	(49)	Staff savings
FIN5 Council Tax & NNDR	58	58	22	(35)	
FIN6 Debtors	158	158	132	(27)	
People and Business Change	6,990	7,234	7,073	(161)	
PBC1 HR Strategy & Op	478	534	612	78	Staff savings offset by underachieved income
PBC2 HR Emp Serv	502	373	321	(52)	Staff costs savings due to vacant posts
PBC3 Business Chg Improv	65	47	46	(1)	
PBC3 Business Chg Improv/PBC4 Perf Mngt Plan	360	300	304	4	
PBC5 Com Cohesion	7	7	26	19	
PBC6 Partnership	518	518	494	(24)	
PBC7 Partnership & Policy	495	643	567	(76)	Staff savings
PBC8 Health and Safety	178	178	176	(2)	
PBC9 Social Services	233	233	237	4	
PBC10 Digital	245	317	274	(43)	
PBC11 Info Gov & Dev	162	144	129	(15)	
PBC12 Shared Res Serv	3,202	3,244	3,224	(20)	
PBC13 Document Services	257	257	219	(38)	
PBC14 Spatial Data Unit / PBC15 Gazetteer & Address / PB	286	438	443	5	
Law and Regulation	6,902	6,902	6,836	(66)	
LAW1 Comms & Market	595	564	532	(31)	
LAW2 Registrars	123	126	164	38	
LAW3 Demographic Services	519	553	514	(39)	
LAW4 Members Allowances	1,050	1,067	1,091	24	
LAW5 Electoral Reg	228	228	243	15	
LAW6 Legal	1,299	1,294	1,393	99	Events reserve
LAW7 Land Charges	(117)	(117)	(115)	2	
LAW8 Insurance	881	881	770	(111)	Vacant posts and reduction in insurance premiums for 18/19.
LAW9 Comm Safety	744	757	841	84	Reduction in income
LAW10 Environmental health	987	955	883	(72)	Additional grant funding and a reduction in spend
LAW11 Trading Standards	724	724	752	28	
LAW12 Licensing	(131)	(131)	(235)	(103)	Additional income relating to Hackney, Licensing and Street Trading Consents.
Total Chief Executive	17,579	17,699	17,290	(408)	

APPENDIX 4 School Balance Outturn Position

School	School Reserves 1/4/2018	In-year under / (over) spending. (Excluding £1.8m one-off additional funding)	In-year under / (over) spending. (Including £1.8m one-off additional funding)	School Reserves 31/3/2019
BASSALEG SCHOOL	317,850	(156,797)	(30,235)	287,615
NEWPORT HIGH	15,410	(154,730)	(61,337)	(45,927)
CAERLEON COMPREHENSIVE	(109,030)	(735,929)	(627,579)	(736,609)
THE JOHN FROST SCHOOL	81,748	(48,276)	51,091	132,839
LLANWERN HIGH	138,830	(181,823)	(110,364)	28,467
LLISWERRY HIGH	(255,561)	(372,547)	(292,346)	(547,907)
ST JOSEPHS R.C. HIGH	179,172	(104,231)	1,169	180,341
ST JULIANS SCHOOL	237,305	(369,548)	(242,801)	(5,495)
YG GWENT IS COED	142,361	(84,148)	(65,145)	77,216
Total Secondary Schools (Inc. Post 16)	748,086	(2,208,030)	(1,377,547)	(629,461)
ALWAY PRIMARY	49,365	(7,783)	26,055	75,420
CAERLEON LODGE HILL PRIMARY	42,035	15,208	35,673	77,708
CHARLES WILLIAMS CIW PRIMARY	134,136	50,184	79,335	213,471
CLYTHA PRIMARY	30,213	(29,465)	(16,650)	13,564
CRINDAU PRIMARY	169,288	(27,466)	421	169,709
EVESWELL PRIMARY	254,930	(44,800)	(15,702)	239,228
GAER PRIMARY	33,244	72,585	102,064	135,308
GLAN USK PRIMARY	47,265	(75,383)	(34,833)	12,432
GLASLLWCH PRIMARY	68,103	(19,328)	(5,752)	62,351
HIGH CROSS PRIMARY	31,456	(67,890)	(51,341)	(19,886)
JUBILEE PARK PRIMARY	46,499	28	12,260	58,759
LANGSTONE PRIMARY	88,510	337	18,532	107,041
LLANMARTIN PRIMARY	44,324	(34,091)	(4,847)	39,477
LLISWERRY PRIMARY	48,883	17,080	57,482	106,366
MAESGLAS PRIMARY	27,081	(43,693)	(23,419)	3,662
MAINDEE PRIMARY	35,101	(3,007)	31,344	66,444
MALPAS CHURCH IN WALES PRIMARY	69,915	19,634	39,361	109,276
MALPAS COURT PRIMARY	74,068	16,857	36,442	110,510
MALPAS PARK PRIMARY	55,804	(49,879)	(36,472)	19,332
MARSHFIELD PRIMARY	77,780	(12,436)	11,695	89,475
MILLBROOK PRIMARY	95,198	8,420	26,524	121,722
MILTON PRIMARY	21,097	6,872	42,454	63,550
MONNOW PRIMARY	145,753	57,203	87,315	233,067
MOUNT PLEASANT PRIMARY	22,136	(28,616)	(19,873)	2,263
PENTREPOETH PRIMARY	49,759	(20,717)	2,934	52,693
PILLGWENLLY PRIMARY	151,884	(55,901)	(8,621)	143,263
RINGLAND PRIMARY	22,225	30,408	50,975	73,200
ROGERSTONE PRIMARY	41,201	14,997	49,952	91,153
SOMERTON PRIMARY	45,520	22,039	37,838	83,358
ST. ANDREWS PRIMARY	38,248	(18,940)	29,223	67,471
ST. DAVIDS RC PRIMARY	72,110	(25,676)	(13,683)	58,427
ST. GABRIELS RC PRIMARY	36,762	292	11,928	48,690
ST. JOSEPHS RC PRIMARY	39,655	(4,560)	7,834	47,488
ST. JULIANS PRIMARY	183,548	(18,564)	22,796	206,343
ST. MARYS RC PRIMARY	68,693	(34,693)	(10,802)	57,891
ST. MICHAELS RC PRIMARY	20,602	(27,064)	(12,261)	8,340
ST. PATRICKS RC PRIMARY	45,578	(8,145)	5,793	51,372
ST. WOOLOS PRIMARY	6,800	(15,489)	9,251	16,051
TREDEGAR PARK PRIMARY	76,756	41,198	73,459	150,215
YSGOL GYMRAEG BRO TEYRNON	164,795	(41,702)	(29,603)	135,193
YSGOL GYMRAEG CASNEWYDD	93,607	8,596	34,725	128,331
YSGOL GYMRAEG IFOR HAEL	130,492	(5,507)	7,363	137,855
Total Primary Schools	3,000,418	(338,859)	667,166	3,667,584
FAIROAK NURSERY	16,527	(10,338)	(7,134)	9,393
KIMBERLEY NURSERY	(15,122)	6,046	8,600	(6,522)
Total Nursery Schools	1,405	(4,292)	1,466	2,871
MAES EBBW SPECIAL	(13,993)	(543)	39,558	25,565
BRYN DERW	121,524	(70,206)	(57,620)	63,904
Total Special Schools	107,532	(70,748)	(18,062)	89,470
Total all schools	3,857,441	(2,621,929)	(26,971)	3,130,464

APPENDIX 5 2018/19 Reserve Movements

		Via Comprehensive I&E Account (Within outturn position)		Proposed Transfers		
Reserve	Balance at 31-Mar-18	Transfers Out	Transfers In	Transfers In	Balance at 31-Mar-19	Type
	£'000	£'000	£'000		£'000	
Council Fund:	(6,500)				(6,500)	General
Balances held by schools for future use	(3,857)	727			(3,130)	School's
Earmarked Reserves:						
Music Service	(124)		(3)		(127)	Risk
Pay Reserve	(1,418)				(1,418)	Risk
Insurance Reserve	(1,594)		(1,237)		(2,831)	Risk
MMI Insurance Reserve	(602)				(602)	Risk
Legal Claims	-				-	Risk
Health & Safety	(16)				(16)	Risk
Council Tax Reduction	-				-	Risk
Education Achievement Service	(92)				(92)	Risk
Schools Redundancies	(708)		(137)		(845)	Risk
Friars Walk	(8,404)	3,204			(5,200)	Risk
Gem Services Reserves	-				-	Risk
European Funding I2A & CFW	(173)		(105)		(278)	Risk
Metro Bus	(9)				(9)	Risk
GEMS Redundancies	(78)				(78)	Risk
SUB TOTAL - RISK RESERVES	(13,218)	3,204	(1,482)	-	(11,496)	
Capital Expenditure	(5,761)	644	(1,415)	(177)	(6,709)	Enabling
Invest to Save	(9,557)	637	(2,000)	(179)	(11,099)	Enabling
Super Connected Cities	(554)				(554)	Enabling
Landfill (fines reserve)	(345)				(345)	Enabling
Christmas Lights	-			(30)	(30)	Enabling
Capital Works - Move to Capital Expenditure reserve	-				-	Enabling
School Reserve Other	(924)	742			(182)	Other
School Works	(347)	100	(137)		(384)	Other
Investment Reserve	(966)	219			(747)	Other
Usable Capital Receipts	(8,901)	3,136	(2,530)		(8,295)	Enabling
Streetscene Manager Support	(200)	46			(154)	Enabling
SUB TOTAL - ENABLING RESERVES	(27,554)	5,524	(6,082)	(386)	(28,498)	
STEP School Computers	(358)	46			(312)	Smoothing
Municipal Elections	(54)		(38)		(92)	Smoothing
Local Development Plan	(599)		(12)		(611)	Smoothing
Glan Usk PFI	(1,605)				(1,605)	Smoothing
Southern Distributor Road PFI	(44,515)	634			(43,881)	Smoothing
Loan modification technical reserve (IFRS 9)	(1,385)	143			(1,242)	Smoothing
Building Control	(48)		(84)		(132)	Smoothing
SUB TOTAL - SMOOTHING RESERVES	(48,564)	823	(134)	-	(47,875)	

		Via Comprehensive I&E Account (Within outturn position)		Proposed Transfers		
Reserve	Balance at 31-Mar-18	Transfers Out	Transfers In	Transfers In	Balance at 31-Mar-19	Type
	£'000	£'000	£'000		£'000	
Works of art	(21)				(21)	Other
Theatre & Arts Centre	(232)				(232)	Other
Cymorth Income	(33)				(33)	Other
Blaen Y Pant	(60)	52			(8)	Other
Chartist Commission	-				-	Other
Gypsy and Traveller Site	(7)	7			-	Other
Homelessness Prevention	(38)				(38)	Other
Environmental Health - Improve Air Quality	(49)				(49)	Other
Refurbishment of a Children / Older People Homes	(62)	55		(106)	(113)	Other
Apprenticeship Scheme	(84)	33			(51)	Other
City Economic Development Reserve	(90)				(90)	Other
Great Western Cities	-				-	Other
Welsh Language Standards	(174)				(174)	Other
YS Dilapidation Costs Information Shop	(41)	41			-	Other
Port Health	(8)		(5)		(13)	Other
CRM	(681)	250			(431)	Other
WCCIS	(38)	38			-	Other
Events	(190)	74		(150)	(266)	Other
MTFP Reserve	(2,715)	1,200	(247)		(1,762)	Other
Development of Leisure Masterplan	(15)				(15)	Other
Voluntary Sector Grants	(66)				(66)	Other
Bus Wifi	(35)				(35)	Other
NEW - SENCOM	-			(250)	(250)	Other
NEW - Bus Subsidy	-			(40)	(40)	Other
NEW - IT Development	-			(351)	(351)	Other
NEW - Leisure Delivery Plan	-			(150)	(150)	Other
NEW Chartist Tower	-			(950)	(950)	Other
SUB TOTAL - OTHER RESERVES	(4,639)	1,750	(252)	(1,997)	(5,138)	-
RESERVES TOTAL	(104,332)	12,028	(7,950)	(2,383)	(102,637)	

APPENDIX 6 Delivery of MTFP Savings – March 2019

Overall Summary

	Education (inc Schools)	Social Services Children	Social Services Adults	Regeneration Investment & Housing	Streetscene	People & Business Change	Finance	Law & Regulation	Non Service	Total 2018/19
2018/19 MTRP Target (£) Total	896	1,351	999	644	600	261	135	219	3,488	8,593
Total Savings Realised by Year End 2018/19	724	969	905	641	523	261	135	219	3,488	7,865
Variation to MTRP Target	-172	-382	-94	-3	-77	0	0	0	0	-728
Variation % to MTRP Target	-19%	-28%	-9%	-1%	-13%	0%	0%	0%	0%	-8%
Undelivered Savings from Previous Years	0	0	0	0	-10	0	0	0	0	-10
Variation to MTRP Target - previous and current year	-172	-382	-94	-3	-87	0	0	0	0	-738

Summary by Portfolio - 2018/19 only

	People	Place	Corporate	Non Service	Total 2018/19
2018/19 MTRP Target (£) Total	3,246	1,244	615	3,488	8,593
Total Savings Realised by Year End 2018/19	2,598	1,164	615	3,488	7,865
Variation to MTRP Target	-648	-80	0	0	-728
Variation % to MTRP Target	-20%	-6%	0%	0%	-8%



Report

Cabinet

Part 1

Date: 22 May 2019

Item No. 8

Subject Cabinet Work Programme

Purpose To report and agree the details of the Cabinet's Work Programme.

Author Cabinet Office Manager

Ward All Wards

Summary The purpose of a work programme is to enable Cabinet to organise and prioritise the reports and decisions that are brought to each meeting. Effective forward planning by Cabinet also impacts positively upon the Council's other Committees, in particular Scrutiny, because work needs to be coordinated on certain reports to ensure proper consultation takes place before a decision is taken.

The current work programme runs to June 2019, but it is a working document. It is important that the work programme is owned and prioritised by Cabinet Members directly, so each month the Cabinet Office Manager brings a report updating Cabinet on any changes, so that the revised programme can be formally approved.

The updated work programme is attached at Appendix 1.

Proposal To agree the updated work programme.

Action by Cabinet Office Manager

Timetable Immediate

This report was prepared after consultation with:

- Chief Officers
- Monitoring Officer
- Head of Finance
- Head of People and Business Change

Background

The purpose of a work programme is to enable Cabinet to organise and prioritise the reports and decisions that are brought to each meeting. Effective forward planning by Cabinet also impacts positively upon the Council's other Committees, in particular Scrutiny, because work needs to be coordinated on certain reports to ensure proper consultation takes place before a decision is taken.

The Wales Audit Office's Corporate Assessment of Newport City Council, published in September 2013, highlighted the need to "strengthen committee work programming arrangements to ensure they are timely, meaningful, informative, transparent, balanced, monitored, and joined up". Since that report was published, these monthly reports have been introduced to provide Cabinet with regular updates on its work programme, and the opportunity to comment upon and shape its priorities as an executive group. The Democratic Services team have also been working to improve the links between this and other work programmes under its management (e.g. Council, Scrutiny, Audit) to ensure the various programmes are properly coordinated.

The current work programme runs to June 2019, but it is a working document. It is important that the work programme is owned and prioritised by Cabinet Members directly, so each month the Cabinet Office Manager brings a report updating Cabinet on any changes, so that the revised programme can be formally approved.

The updated work programme is attached at Appendix 1.

Financial Summary

There is no direct cost to adopting a programme of work.

Risks

Risk	Impact of Risk if it occurs* (H/M/L)	Probability of risk occurring (H/M/L)	What is the Council doing or what has it done to avoid the risk or reduce its effect	Who is responsible for dealing with the risk?
No action taken	M	L	Work programming arrangements are in place to ensure they are timely, meaningful, informative, and transparent, balanced, monitored, and joined up.	Head of Democratic Services
The process is not embraced by report authors and members	M	M	If there is proliferation of unplanned or late items, the opportunity to ensure work programming is timely, meaningful, informative, and transparent, balanced, monitored, and joined up will diminish	Head of Democratic Services

Links to Council Policies and Priorities

These proposals will help the Council provide the best possible service to members and will provide information to the public and elected members.

Options Available and considered

- To adopt the process and adopt or amend the work programme
- To consider any alternative proposals raised by Cabinet members
- To take no action

Preferred Option and Why

To adopt the proposals which should help to ensure work programming arrangements are timely, meaningful, informative, and transparent, balanced, monitored, and joined up.

Comments of Chief Financial Officer

There are no financial implications in adopting a programme of work.

Comments of Monitoring Officer

There are no legal implications in adopting a programme of work.

Staffing Implications: Comments of Head of People and Business Change

There are no specific staffing implications in adopting a programme of work.

Comments of Cabinet Member

The Chair has approved the report for consideration by cabinet.

Local issues

There are no local issues as this report relates to the Council's processes

Scrutiny Committees

Monthly update reports allow the Scrutiny and Cabinet work programmes to be better coordinated. The Scrutiny team and Members are currently developing new ways of working through the new Committees, and continually reviewing the work programmes to focus more on risk, and ensure all scrutiny activity has a defined purpose and constructive outcome.

Equalities Impact Assessment and the Equalities Act 2010

This does not apply to this procedural report.

Children and Families (Wales) Measure

This procedural report does not impact on Children and Young People although certain reports contained in the programme may do and will need appropriate consultation and comment when they are presented to cabinet.

Wellbeing of Future Generations (Wales) Act 2015

This is a procedural report but reports contained within the programme will need to show how consideration has been given to the five things public bodies need to think about to show they have applied the sustainable development principle put into place by the Act.

Crime and Disorder Act 1998

This does not apply to this procedural report

Consultation

As set out above

Background Papers

[Newport City Council Corporate Assessment](#), Wales Audit Office (September 2013)

[Newport City Council – Corporate Assessment Follow Up 2015](#), Wales Audit Office (May 2015)

Dated: 13 May 2019

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NEWPORT CITY COUNCIL: CABINET/COUNCIL WORK PROGRAMME

MEETING	AGENDA ITEMS	LEAD OFFICER
CABINET 18-JUL-18	Revenue Budget Monitor	HoF
	Welsh Language Annual Report	HP&BC
	Performance Update – Early Year End PI Analysis	HP&BC
	Strategic Equality Plan Annual Report	HP&BC
	Improvement Plan Quarter 4 Update	HP&BC
	City Centre Regeneration	SD – Place
	Work Programme	COM
COUNCIL 24-JUL-18	City Centre/Maesglas PSPOs NNDR Relief Scheme	
COUNCIL 11-SEP-18	Treasury Management Democratic Services Annual Reports Welsh Language Annual Report Strategic Equality Plan Annual Report Member/Officer Protocol Scrutiny Annual Report Standards Committee Annual Report Improvement Plan 2016-18	
CABINET 19-SEP-18	Capital Programme Monitoring July 2018	HoF
	WAO Annual Improvement Report	HP&BC
	WAO Certificate of Compliance 1	HP&BC
	July Revenue Budget Monitor	HoF
	Corporate Risk Register Update	HP&BC
	Work Programme	COM
CABINET 17-OCT-18	Final Year End Analysis of PIs (All Wales Data)	HP&BC
	WAO Annual Improvement Report	HP&BC
	WAO Scrutiny Fit for the Future Report	HP&BC
	Academic Results for Newport Schools	CEdO
	Independent Living Strategy 2017-2022	HA&CS
	Director of Social Services Annual Report	SD – People
	Work Programme	COM
CABINET 14-NOV-18	Meeting cancelled – reports moved to December/January cycles	
COUNCIL 27-NOV-18	Director of Social Services Annual Report	
CABINET 12-DEC-18	Revenue Budget Monitor	HoF
	Capital Budget Monitor	HoF
	Revenue Budget and MTFP: Draft Proposals	HoF

	Treasury Management 6 monthly Report	HoF
	Corporate Risk Register Update	HP&BC
	WAO Certificate of Compliance 2	HP&BC
	PSB Summary Document (for information/awareness)	HP&BC
	Work Programme	COM
CABINET 16-JAN-19	Mid-Year Analysis of Pls	HP&BC
	Verified Key Stage 4 and 5 Pupil Outcomes	CEdO
	PSB Summary Document (for information/awareness)	HP&BC
	Work Programme	COM
COUNCIL 29-JAN-19	Mayoral Nomination 2019/20 Council Schedule of Meetings Treasury Management 6 monthly report Council Tax Reduction Scheme	
CABINET 13-FEB-19	Revenue Budget Monitor	HoF
	Capital Budget Monitor	HoF
	2019/20 Budget and Medium Term Financial Projections	HoF
	2019/20 Capital Strategy and Treasury Management Strategy	
	Procurement Report on the Code of Practice	HoF
	Corporate Safeguarding	HC&YPS
	Market	SD – Place
	City Centre Masterplan	SD - Place
	Work Programme	COM
COUNCIL 26-FEB-19	Budget and Medium Term Financial Plan High Street Rate Relief	
CABINET 13-MAR-19	EAS Business Plan	CEdO
	Categorisation of Schools	CEdO
	Corporate Risk Register Update	HP&BC
	Welsh Government Transport White Paper	SD - Place
	City Centre Presentation (Part 2)	SD - Place
	Work Programme	COM
CABINET 17-APR-19	Pay and Reward Policy	HP&BC
	Dying to Work Charter	HP&BC
	Children's Charter – The Young Person's Promise	HP&BC
	Commercialisation Strategy	CX
	Work Programme	COM
	PSB Summary Document (for information/awareness)	HP&BC
	City Centre Presentation (Part 2)	SD Place/HRIH

COUNCIL 30-APR-19	IRP Annual Report NNDR Rate Relief Pay and Reward Policy	
COUNCIL 14-MAY-19	AGM	
CABINET 22-MAY-19	Wellbeing for Future Generations Report (Steps Review) – WAO to present	HP&BC
	Corporate Safeguarding	HC&FS
	Revenue Budget Outturn 2018/19	HoF
	Work Programme	COM
CABINET JUN-19	Corporate Risk Register Update	HP&BC
	Risk Management Strategy	HP&BC
	Air Quality Management Plan (Provisional – dependant on whether public consultation and engagement with stakeholders has been carried out)	HL&R
	Work Programme	COM

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